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# Prime Numbers



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## Prime Numbers

Prime number: an integer p>1 that is divisible only by 1 and itself, ex. 2, 3,5, 7, 11, 13, 17...

Composite number: an integer n>1 that is not prime

✦ Fact: there are infinitely many prime numbers. (by Euclid)

pf:  $\Rightarrow$  on the contrary, assume  $a_n$  is the largest prime number  $\Rightarrow$  let the finite set of prime numbers be  $\{a_0, a_1, a_2, \dots, a_n\}$ 

 $\Rightarrow$  the number  $b = a_0^* a_1^* a_2^* \dots^* a_n + 1$  is not divisible by any  $a_i$ 

i.e. b does not have prime factors  $\leq a_n$ 

2 cases: > if b has a prime factor d, b>d> a<sub>n</sub>, then "d is a prime number that is larger than a<sub>n</sub>" ... contradiction
> if b does not have any prime factor less than b, then "b is a prime number that is larger than a<sub>n</sub>" ... contradiction

## Prime Number Theorem

#### ♦ Prime Number Theorem:

\* Let  $\pi(x)$  be the number of primes less than x

\* Then

$$\pi(\mathbf{x}) \approx \frac{\mathbf{x}}{\ln \mathbf{x}}$$

in the sense that the ratio  $\pi(x) / (x/\ln x) \rightarrow 1$  as  $x \rightarrow \infty$ 

\* Also, 
$$\pi(x) \ge \frac{x}{\ln x}$$
 and for  $x \ge 17$ ,  $\pi(x) \le 1.10555 \frac{x}{\ln x}$ 

♦ Ex: number of 100-digit primes

$$\pi(10^{100}) - \pi(10^{99}) \approx \frac{10^{100}}{\ln 10^{100}} - \frac{10^{99}}{\ln 10^{99}} \approx 3.9 \times 10^{97}$$

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### Factors

Every composite number can be expressible as a product a·b of integers with 1 < a, b< n</p>

Every positive integer has a unique representation as a product of prime numbers raised to different powers.

 $\Rightarrow$  Ex. 504 = 2<sup>3</sup> · 3<sup>2</sup> · 7, 1125 = 3<sup>2</sup> · 5<sup>3</sup>

### Factors

♦ Lemma: p is a prime number and p | a·b ⇒ p | a or p | b, more generally, p is a prime number and p | a·b·...·z
⇒ p must divide one of a, b, ..., z

**\*** proof:

- $\Rightarrow$  case 1: p | a
- $\Rightarrow$  case 2: p  $\nmid$  a,
  - ightarrow p/a and p is a prime number  $\Rightarrow$  gcd(p, a) = 1  $\Rightarrow$  1 = a x + p y
  - > multiply both side by b,  $b = \underline{b \ a} \ x + b \ \underline{p} \ y$

 $\succ$  p | a b  $\Rightarrow$  p | b

☆ In general: if p | a then we are done, if p / a then p | bc...z, continuing this way, we eventually find that p divides one of the factors of the product

# Factorization into primes

Theorem: Every positive integer is a product of primes.
 This factorization into primes is unique, up to

reordering of the factors.

\* Proof: product of primes

- Empty product equals 1.
- Prime is a one factor product.
- ★ assume there exist positive integers that are not product of primes

☆ let n be the smallest such/integer

- $\Rightarrow$  since n can not be 1 or a prime, n must be composite, i.e.  $n = a \cdot b$
- $\Rightarrow$  since n is the smallest, both a and b must be products of primes.
- $aigeta n = a \cdot b$  must also be a product of primes, contradiction
- \* Proof: uniqueness of factorization
  - $\Rightarrow \text{ assume } n = r_1^{c_1} r_2^{c_2} \cdots r_k^{c_k} p_1^{a_1} p_2^{a_2} \cdots p_s^{a_s} = r_1^{c_1} r_2^{c_2} \cdots r_k^{c_k} q_1^{b_1} q_2^{b_2} \cdots q_t^{b_t}$ where  $p_i$ ,  $q_j$  are all distinct primes.
  - $\Rightarrow \text{ let } \mathbf{m} = \mathbf{n} / (\mathbf{r}_1^{c_1} \mathbf{r}_2^{c_2} \cdots \mathbf{r}_k^{c_k})$
  - ★ consider  $p_1$  for example, since  $p_1$  divide  $m = q_1q_1..q_1q_2...q_t$ ,  $p_1$  must divide one of the factors  $q_j$ , contradict the fact that " $p_i$ ,  $q_j$  are distinct primes"

#### ("Fair-MAH")

# Fermat's Little Theorem

 $\Rightarrow$  If p is a prime, p / a then  $a^{p-1} \equiv 1 \pmod{p}$  $\Rightarrow \text{let S} = \{1, 2, 3, ..., p-1\} (Z_p^*), \text{ define } \psi(x) \equiv a \cdot x \pmod{p} \text{ be}$ Proof: a mapping  $\psi: S \rightarrow Z$  $\Rightarrow \forall x \in S, \psi(x) \neq 0 \pmod{p} \Rightarrow \forall x \in S, \psi(x) \in S, i.e. \psi: S \rightarrow S$ if  $\psi(x) \equiv a \cdot x \equiv 0 \pmod{p} \implies x \equiv 0 \pmod{p}$  since  $gcd(a, p) \equiv 1$  $\Leftrightarrow \forall x, y \in S, \text{ if } x \neq y \text{ then } \psi(x) \neq \psi(y) \text{ since }$ if  $\psi(x) \equiv \psi(y) \Rightarrow a \cdot x \equiv a \cdot y \Rightarrow x \equiv y$  since gcd(a, p) = 1 $\Rightarrow$  from the above two observations,  $\psi(1)$ ,  $\psi(2)$ ,...  $\psi(p-1)$  are distinct elements of S  $\Rightarrow 1 \cdot 2 \cdot \dots \cdot (p-1) \equiv \psi(1) \cdot \psi(2) \cdot \dots \cdot \psi(p-1) \equiv (a \cdot 1) \cdot (a \cdot 2) \cdot \dots \cdot (a \cdot (p-1))$  $\equiv a^{p-1} (1 \cdot 2 \cdot ... \cdot (p-1)) \pmod{p}$  $\Rightarrow$  since gcd(j, p) = 1 for  $j \in S$ , we can divide both side by 1, 2, 3, ... p-1, and obtain  $a^{p-1} \equiv 1 \pmod{p}$ 

## Fermat's Little Theorem

♦ Ex:  $2^{10} = 1024 \equiv 1 \pmod{11}$   $2^{53} = (2^{10})^5 2^3 \equiv 1^5 2^3 \equiv 8 \pmod{11}$ i.e.  $2^{53} \equiv 2^{53 \mod 10} \equiv 2^3 \equiv 8 \pmod{11}$ 

♦ if n is prime, then  $2^{n-1} \equiv 1 \pmod{n}$ i.e. if  $2^{n-1} \neq 1 \pmod{n}$  then n is not prime ←(\*) usually, if  $2^{n-1} \equiv 1 \pmod{n}$ , then n is prime \* exceptions:  $2^{561-1} \equiv 1 \pmod{561}$  although  $561 = 3 \cdot 11 \cdot 17$  $2^{1729-1} \equiv 1 \pmod{1729}$  although  $1729 = 7 \cdot 13 \cdot 19$ \* (\*) is a quick test for eliminating composite number

<u>Euler's Totient Function  $\phi(n)$ </u>  $\diamond \phi(n)$ : the number of integers  $1 \le a \le n$  s.t. gcd(a,n) = 1\* ex. n=10,  $\phi(n)=4$  the set is {1,3,7,9}  $\diamond$  properties of  $\phi(\bullet)$  $\star \phi(p) = p-1$ , if p is prime  $\star \phi(p^r) = p^r - p^{r-1} = (1 - 1/p) \cdot p^r$ , if p is prime  $\star \phi(n \cdot m) = \phi(n) \cdot \phi(m)$  if gcd(n,m) = 1排容原理 n m - (n- $\phi(n)$ ) m - (m- $\phi(m)$ ) n + (n- $\phi(n)$ ) (m- $\phi(m)$ ) =  $\phi(n) \phi(m)$  $\star \phi(n \cdot m) =$  $\phi((d_1/d_2/d_3)^2) \cdot \phi(d_2^3) \cdot \phi(d_3^3) \cdot \phi(n/d_1/d_2) \cdot \phi(m/d_1/d_3)$ if  $gcd(n,m)=d_1$ ,  $gcd(n/d_1,d_1)=d_2$ ,  $gcd(m/d_1,d_1)=d_3$  $\star \phi(n) = n \operatorname{Pr}(1-1/p)$ 

 $\Rightarrow$  ex.  $\phi(10) = (2-1) \cdot (5-1) = 4 \quad \phi(120) = 120(1-1/2)(1-1/3)(1-1/5) = 32$ 

# How large is $\phi(n)$ ?

 $\Rightarrow \phi(n) \approx n \cdot 6/\pi^2$  as n goes large

Probability that a prime number p is a factor of a random number r is 1/p

♦ Probability that two independent random numbers r<sub>1</sub> and r<sub>2</sub> both have a given prime number p as a factor is  $1/p^2$ 

♦ The probability that they do not have p as a common factor is thus  $1 - 1/p^2$ 

♦ The probability that two numbers r<sub>1</sub> and r<sub>2</sub> have no common prime factor is P = (1-1/2<sup>2</sup>)(1-1/3<sup>2</sup>)(1-1/5<sup>2</sup>)(1-1/7<sup>2</sup>)...

Pr{ 
$$r_1$$
 and  $r_2$  relatively prime }  
 $\Rightarrow$  Equalities:  
 $\frac{1}{1-x} = 1+x+x^2+x^3+...$   
 $1+1/2^2+1/3^2+1/4^2+1/5^2+1/6^2+...=\pi^2/6$   
 $\Rightarrow$  P =  $(1-1/2^2)(1-1/3^2)(1-1/5^2)(1-1/7^2)\cdot...$   
 $= ((1+1/2^2+1/2^4+...)(1+1/3^2+1/3^4+...)\cdot...)^{-1}$   
 $= (1+1/2^2+1/3^2+1/4^2+1/5^2+1/6^2+...)^{-1}$   
 $= 6/\pi^2$   
 $\approx 0.61$ 

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each positive number has a unique prime number factorization ex.  $45^2 = 3^4 \cdot 5^2$ 

# How large is $\phi(n)$ ?

 $\Rightarrow \phi(n)$  is the number of integers less than n that are relative prime to n

- $\Rightarrow \phi(n)/n$  is the probability that a randomly chosen integer is relatively prime to n
- ♦ Therefore,  $\phi(n) \approx n \cdot 6/\pi^2$
- $P_n = Pr \{ n \text{ random numbers have no common factor } \}$ 
  - n independent random numbers all have a given prime p as a factor is 1/p<sup>n</sup>
  - \* They do not all have p as a common factor  $1 1/p^n$
  - \*  $P_n = (1+1/2^n+1/3^n+1/4^n+1/5^n+1/6^n+...)^{-1}$  is the Riemann zeta function  $\zeta(n)$  http://mathworld.wolfram.com/RiemannZetaFunction.html \* Ex. n=4,  $\zeta(4) = \pi^4/90 \approx 0.92$

Euler's Theorem This is true even when  $n = p^2$  $\Rightarrow$  If gcd(a,n)=1 then  $a^{\phi(n)} \equiv 1 \pmod{n}$ **Proof:**  $\Rightarrow$  let S be the set of integers  $1 \le x \le n$ , with gcd(x, n) = 1, define  $\psi(x) \equiv a \cdot x \pmod{n}$  be a mapping  $\psi: S \rightarrow Z$  $\Rightarrow \forall x \in S \text{ and } gcd(a, n) = 1, \quad \text{if } \psi(x) \equiv a \cdot x \equiv 0 \pmod{n} \Rightarrow x \equiv 0 \pmod{n}$  $gcd(\psi(x), n) = 1 \quad \forall x \in S, \ \psi(x) \in S, \ i.e. \ \psi: S \rightarrow S$  $\Leftrightarrow \forall x, y \in S, \text{ `if } x \neq y \text{ then } \psi(x) \not\equiv \psi(y) \pmod{n}$ '  $- if \psi(x) \equiv \psi(y) \Rightarrow a \cdot x \equiv a \cdot y \Rightarrow x \equiv y \text{ since } gcd(a, n) = 1$  $\Rightarrow$  from the above two observations,  $\forall x \in S, \psi(x)$  are distinct elements of S (i.e.  $\{\psi(x) \mid \forall x \in S\}$  is S)  $\prod x \equiv \prod \psi(x) \equiv a^{\phi(n)} \prod x \pmod{n}$  $x \in S$   $x \in S$ x∈S  $\Rightarrow$  since gcd(x, n) = 1 for x  $\in$  S, we can divide both side by x  $\in$  S one after another, and obtain  $a^{\phi(n)} \equiv 1 \pmod{n}$ 13

## Euler's Theorem

♦ Example: What are the last three digits of 7<sup>803</sup>?
i.e. we want to find 7<sup>803</sup> (mod 1000)  $1000 = 2^3 \cdot 5^3$ ,  $\phi(1000) = 1000(1-1/2)(1-1/5) = 400$   $7^{803} \equiv 7^{803 \pmod{400}} \equiv 7^3 \equiv 343 \pmod{1000}$ 

♦ Example: Compute  $2^{43210} \pmod{101}$ ?  $101 = 1 \cdot 101, \qquad \phi(101) = 100$   $2^{43210} \equiv 2^{43210} \pmod{100} \equiv 2^{10} \equiv 1024 \equiv 14 \pmod{101}$ 

A second proof of Euler's Theorem Euler's Theorem:  $\forall a \in Z_n^*, a^{\phi(n)} \equiv 1 \pmod{n}$ 

 $\diamond$  We have proved the above theorem by showing that the function  $\psi(x) \equiv a \cdot x \pmod{n}$  is a permutation. ♦ We can also prove it through Fermat's Little Theorem consider  $\mathbf{n} = \mathbf{p} \cdot \mathbf{q}$ ,  $\forall a \in Z_p^*, a^{p-1} \equiv 1 \pmod{p} \Longrightarrow (a^{p-1})^{q-1} \equiv a^{\phi(n)} \equiv 1 \pmod{p}$  $\forall a \in Z_q^*, a^{q-1} \equiv 1 \pmod{q} \Rightarrow (a^{q-1})^{p-1} \equiv a^{\phi(n)} \equiv 1 \pmod{q}$ from CRT,  $\forall a \in \mathbb{Z}_n^*$  (i.e. p / a and q / a),  $a^{\phi(n)} \equiv 1 \pmod{n}$ note: the above proof is not valid when p=q

# Carmichael Theorem

### Carmichael's Theorem:

 $\forall a \in \mathbb{Z}_{n}^{*}, a^{\lambda(n)} \equiv 1 \pmod{n} \text{ and } a^{n \cdot \lambda(n)} \equiv 1 \pmod{n^{2}}$ where n=p·q, p ≠ q,  $\lambda(n) = \operatorname{lcm}(p-1, q-1), \lambda(n) | \phi(n)$ 

♦ like Euler's Theorem, we can prove it through Fermat's Little Theorem, consider n = p · q, where p≠q, ∀a∈Z<sub>p</sub>\*, a<sup>p-1</sup> ≡ 1 (mod p) ⇒ (a<sup>p-1</sup>)<sup>(q-1)/gcd(p-1,q-1)</sup> ≡ a<sup>λ(n)</sup> ≡ 1 (mod p) ∀a∈Z<sub>q</sub>\*, a<sup>q-1</sup> ≡ 1 (mod q) ⇒ (a<sup>q-1</sup>)<sup>(p-1)/gcd(p-1,q-1)</sup> ≡ a<sup>λ(n)</sup> ≡ 1 (mod q) from CRT, ∀a ∈ Z<sub>n</sub>\* (i.e. p∤ a and q∤a), a<sup>λ(n)</sup> ≡ 1 (mod n) therefore, ∀a∈Z<sub>n</sub>\*, a<sup>λ(n)</sup> = 1 + k · n raise both side to the n-th power, we get a<sup>n·λ(n)</sup> = (1 + k · n)<sup>n</sup>, ⇒ a<sup>n·λ(n)</sup> = 1 + n·k·n + ... ⇒ ∀a ∈ Z<sub>n</sub>\* (or Z<sub>n</sub>\*), a<sup>n·λ(n)</sup> ≡ 1 (mod n<sup>2</sup>)

## Basic Principle to do Exponentiation

♦ Let a, n, x, y be integers with n≥1, and gcd(a,n)=1 if x ≡ y (mod  $\phi(n)$ ), then a<sup>x</sup> ≡ a<sup>y</sup> (mod n).

♦ If you want to work mod n, you should work mod  $\phi(n)$  or  $\lambda(n)$  in the exponent.

## Primitive Roots modulo p

♦ When p is a prime number, a primitive root modulo p is a number whose powers yield every nonzero element mod p. (equivalently, the order of a primitive root is p-1)
♦ ex: 3<sup>1</sup>=3, 3<sup>2</sup>=2, 3<sup>3</sup>=6, 3<sup>4</sup>=4, 3<sup>5</sup>=5, 3<sup>6</sup>=1 (mod 7)

3 is a primitive root mod 7

\$ sometimes called a multiplicative generator
\$ there are plenty of primitive roots, actually φ(p-1)
\* ex. p=101, φ(p-1)=100·(1-1/2)·(1-1/5)=40 p=143537, φ(p-1)=143536·(1-1/2)·(1-1/8971)=71760

## Primitive Testing Procedure

How do we test whether h is a primitive root modulo p?
\* naïve method:

go through all powers  $h^2$ ,  $h^3$ , ...,  $h^{p-2}$ , and make sure  $\neq 1$  modulo p

\* faster method:

assume p-1 has prime factors  $q_1, q_2, ..., q_n$ , for all  $q_i$ , make sure  $h^{(p-1)/q_i}$  modulo p is not 1, then h is a primitive root

Intuition: let  $h \equiv g^{a} \pmod{p}$ , if gcd(a, p-1)=d (i.e.  $g^{a}$  is not a primitive root),  $(g^{a})^{(p-1)/q_{i}} \equiv (g^{a/q_{i}})^{(p-1)} \equiv 1 \pmod{p}$  for some  $q_{i} \mid d$ 

# Primitive Testing Procedure (cont'd)

#### $\diamond$ Procedure to test a primitive g:

assuming p-1 has prime factors  $q_1, q_2, ..., q_n$ , (i.e. p-1 = $q_1^{r_1}...q_n^{r_n}$ ) for all  $q_i$ , make sure  $g^{(p-1)/q_i} \pmod{p}$  is not 1 Proof:

(a) by definition, g<sup>ord<sub>p</sub>(g)</sup> ≡ 1 (mod p), g<sup>φ(p)</sup> ≡ 1 (mod p) therefore ord<sub>p</sub>(g) ≤ φ(p) if φ(p) = ord<sub>p</sub>(g) \* k + s with s < ord<sub>p</sub>(g) g<sup>φ(p)</sup> ≡ g<sup>ord<sub>p</sub>(g) \* k g<sup>s</sup> ≡ g<sup>s</sup> ≡ 1 (mod p), but s < ord<sub>p</sub>(g) ⇒ s = 0 ⇒ ord<sub>p</sub>(g) | φ(p) and ord<sub>p</sub>(g) ≤ φ(p)
(b) assume g is not a primitive root i.e ord<sub>p</sub>(g) < φ(p)=p-1 then ∃ i, such that ord<sub>p</sub>(g) | (p-1)/q<sub>i</sub> i.e. g<sup>(p-1)/q<sub>i</sub></sup> ≡ 1 (mod p) for some q<sub>i</sub>
(c) if for all q<sub>i</sub>, g<sup>(p-1)/q<sub>i</sub> ≠ 1 (mod p) then ord<sub>p</sub>(g) = φ(p) and g is a primitive root modulo p
</sup></sup>

# Number of Primitive Root in Z<sub>p</sub>\*

 $\Rightarrow$  Why are there  $\phi(p-1)$  primitive roots?  $\star$  let g be a primitive root (the order of g is p-1) an integer less than p-1 \* g,  $g^2$ ,  $g^3$ , ...,  $g^{p-1}$  is a permutation of 1,2,...p-1 \* if gcd(a, p-1)=d, then  $(g^{a})^{(p-1)/d} \equiv (g^{a/d})^{(p-1)} \equiv 1 \pmod{p}$  which says that the order of  $g^a$  is at most (p-1)/d, therefore,  $g^a$  is not a primitive root  $\Rightarrow$  There are at most  $\phi(p-1)$  primitive roots in  $Z_{p}^{*}$ \* For an element  $g^a$  in  $Z_p^*$  where gcd(a, p-1) = 1, it is guaranteed that  $(g^a)^{(p-1)/q_i} \neq 1 \pmod{p}$  for all  $q_i$  ( $q_i$  is factors or p-1) assume that for a certain  $q_i$ ,  $(g^a)^{(p-1)/q_i} \equiv 1 \pmod{p}$  $\Rightarrow$  p-1 | a · (p-1) / q<sub>i</sub>  $\Rightarrow \exists \text{ integer } k, a \cdot (p-1) / q_i = k \cdot (p-1) \text{ i.e. } a = k \cdot q_i$  $\Rightarrow q_i \mid a$  $\Rightarrow q_i | gcd(a, p-1) contradiction$ 

# Multiplicative Generators in Z<sub>n</sub>\*

- ♦ How do we define a multiplicative generator in  $Z_n^*$  if n is a composite number?
  - \* Is there an element in  $Z_n^*$  that can generate all elements of  $Z_n^*$ ?
  - \* If  $n = p \cdot q$ , the answer is negative. From Carmichael theorem,  $\forall a \in Z_n^*$ ,  $a^{\lambda(n)} \equiv 1 \pmod{n}$ , gcd(p-1, q-1) is at least 2,  $\lambda(n) = lcm(p-1, q-1)$  is at most  $\phi(n) / 2$ . The size of a maximal possible multiplicative subgroup in  $Z_n^*$  is therefore less than  $\lambda(n)$ .
  - \* How many elements in  $Z_n^*$  can generate the maximal possible subgroup of  $Z_n^*$ ?

# Finding Square Roots mod n

 $\diamond$  For example: find x such that  $x^2 \equiv 71 \pmod{77}$ \* Is there any solution? \* How many solutions are there? \* How do we solve the above equation systematically?  $\diamond$  In general: find x s.t.  $x^2 \equiv b \pmod{n}$ , where  $b \in QR_n$ ,  $n = p \cdot q$ , and p, q are prime numbers ♦ Easier case: find x s.t.  $x^2 \equiv b \pmod{p}$ , where p is a prime number,  $b \in QR_p$ 

Note:  $QR_n$  is "Quadratic Residue in  $Z_n$ "" to be defined later

# Finding Square Root mod p

 $\Leftrightarrow$  Given  $y \in \mathbb{Z}_p^*$ , find x, s.t.  $x^2 \equiv y \pmod{p}$ , p is prime Two cases:  $p \equiv 1 \pmod{4}$  (i.e. p = 4k + 1) : probabilistic algorithm  $p \equiv 3 \pmod{4}$  (i.e. p = 4k + 3) : deterministic algorithm  $\diamond$  Is there any solution? check  $y^{\frac{p-1}{2}} \not\supseteq 1 \pmod{p}$  Is y a QR<sub>p</sub>?  $\diamond p \equiv 3 \pmod{4}$ p+1  $x \equiv \pm y^{\frac{1}{4}} \pmod{p}$ (p+1)/4 = (4k+3+1)/4 = k+1 is an integer  $x^2 = v^{(p+1)/2} = v^{(p-1)/2} \cdot v \equiv v \pmod{p}$ 

# Finding Square Root mod p

#### $\diamond p \equiv 1 \pmod{4}$

\* Peralta, Eurocrypt'86,  $p = 2^{s} q + 1$ \* 3-step probabilistic procedure  $\begin{cases}
1. Choose a random number r, if <math>r^{2} \equiv y \pmod{p}, \text{ output } x = r \\
2. Calculate <math>(r + z)^{(p-1)/2} \equiv u + v z \pmod{f(z)}, \quad f(z) = z^{2} - y \\
3. If u = 0 \text{ then output } x \equiv v^{-1} \pmod{p}, \text{ else goto step 1}
\end{cases}$ 

note:  $(b+cz)(d+ez) \equiv (bd+ce z^2) + (be+cd) z$   $\equiv (bd+ce y) + (be+cd) z \pmod{z^2-y}$ use square-multiply algorithm to calculate  $(r+z)^{(p-1)/2}$ 

\* the probability to successfully find *x* for each  $r \ge 1/2$ 

# Finding Square Root mod p

♦ ex: finding x such that  $x^2 \equiv 12 \pmod{13}$ solution:

Why does it work??? Why is the success probability  $> \frac{1}{2}$ ???

# Finding Square Roots mod n

 $\diamond$  Now we return to the question of solving square roots in  $Z_n^*$ , i.e. for an integer  $y \in QR_n$ , find  $x \in \mathbb{Z}_n^*$  such that  $x^2 \equiv y \pmod{n}$  $\diamond$  We would like to transform the problem into solving square roots mod p.  $\diamond$  Question: for n=p·q Is solving " $x^2 \equiv y \pmod{n}$ " equivalent to solving " $x^2 \equiv y \pmod{p}$  and  $x^2 \equiv y \pmod{q}$ "???

# Finding Square Roots mod p.q

### $\Rightarrow$ find x such that $x^2 \equiv 71 \pmod{77}$

- $\star 77 = 7 \cdot 11$
- \* "x\* satisfies  $f(x^*) \equiv 71 \pmod{77}$ "  $\Leftrightarrow$  "x\* satisfies both  $f(x^*) \equiv 1 \pmod{7}$  and  $f(x^*) \equiv 5 \pmod{11}$ "
- \* since 7 and 11 are prime numbers, we can solve  $x^2 \equiv 1 \pmod{7}$ and  $x^2 \equiv 5 \pmod{11}$  far more easily than  $x^2 \equiv 71 \pmod{77}$

 $x^2 \equiv 1 \pmod{7}$  has two solutions:  $x \equiv \pm 1 \pmod{7}$ 

 $x^2 \equiv 5 \pmod{11}$  has two solutions:  $x \equiv \pm 4 \pmod{11}$ 

\* put them together and use CRT to calculate the four solutions

 $\begin{array}{l} x \equiv 1 \pmod{7} \equiv 4 \pmod{11} \Rightarrow x \equiv 15 \pmod{77} \\ x \equiv 1 \pmod{7} \equiv 7 \pmod{11} \Rightarrow x \equiv 29 \pmod{77} \\ x \equiv 6 \pmod{7} \equiv 4 \pmod{11} \Rightarrow x \equiv 48 \pmod{77} \\ x \equiv 6 \pmod{7} \equiv 7 \pmod{11} \Rightarrow x \equiv 62 \pmod{77} \end{array}$ 

## Computational Equivalence to Factoring

Previous slides show that once you know the factoring of *n* to be *p* and *q*, you can easily solve the square roots of *n*Indeed, if you can solve the square roots for one single quadratic residue mod n, you can factor n.

★ from the four solutions ±a, ±b on the previous slide
x ≡ c (mod p) ≡ d (mod q) ⇒ x ≡ a (mod p·q)
x ≡ c (mod p) ≡ -d (mod q) ⇒ x ≡ b (mod p·q)
x ≡ -c (mod p) ≡ d (mod q) ⇒ x ≡ -b (mod p·q)
x ≡ -c (mod p) ≡ -d (mod q) ⇒ x ≡ -a (mod p·q)
we can find out a ≡ b (mod p) and a ≡ -b (mod q)
(or equivalently a ≡ -b (mod p) and a ≡ b (mod q))
★ therefore, p | (a-b) i.e. gcd(a-b, n) = p (ex. gcd(15-29, 77)=7)

q | (a+b) i.e. gcd(a+b, n) = q (ex. gcd(15+29, 77)=11)

## Quadratic Residues

- ♦ Consider y∈Z<sup>\*</sup><sub>n</sub>, if ∃ x ∈Z<sup>\*</sup><sub>n</sub>, such that x<sup>2</sup> ≡ y (mod n), then y is called a quadratic residue mod n, i.e. y∈QR<sup>n</sup>
  ♦ If the modulus is a prime number p, there are (p-1)/2
  - quadratic residues in  $Z_{p}^{*}$ 
    - \* let g be a primitive root in  $Z_p^*$ ,  $\{g, g^2, g^3, ..., g^{p-1}\}$  is a permutation of  $\{1, 2, ..., p-1\}$
    - \* in the above set,  $\{g^2, g^4, \dots, g^{p-1}\}$  are quadratic residues (QR<sub>p</sub>)
    - \*  $\{g, g^3, ..., g^{p-2}\}$  are quadratic non-residues (QNR<sub>p</sub>), out of which there are  $\phi(p-1)$  primitive roots

# Quadratic Residues in $Z_{p}^{*}$

### 1<sup>st</sup> proof:

- ★ For each  $x \in Z_p^*$ ,  $p-x \neq x \pmod{p}$  (since if x is odd, p-x is even), it's clear that x and p-x are both square roots of a certain  $y \in Z_p^*$ ,
- \* Because there are only p-1 elements in  $Z_p^*$ , we know that  $|QR_p| \le (p-1)/2$
- \* Because  $|\{g^2, g^4, ..., g^{p-1}\}| = (p-1)/2$ , there can be no more quadratic residues outside this set. Therefore, the set  $\{g, g^3, ..., g^{p-2}\}$  contains only quadratic nonresidues

# Quadratic Residues in Z<sub>p</sub>\*

#### 2<sup>nd</sup> proof:

- \* Because the squares of x and p-x are the same, the number of quadratic residues must be less than p-1 (i.e. some element in  $Z_p^*$  must be quadratic non-residue)
- \* Consider this set  $\{g, g^3, ..., g^{p-2}\}$  directly
- \* If  $g \in QR_p$ , then g cannot be a primitive (because  $g^k$  must all be quadratic residues)
- \* If  $g^{2k+1} \equiv g^{2k} \cdot g \in QR_p$ , then there exists an  $x \in Z_p^*$  such that  $x^2 \equiv g^{2k} \cdot g \pmod{p}$
- \* Because  $gcd(g^{2k}, p)=1$ ,  $g \equiv x^2 \cdot (g^{2k})^{-1} \equiv (x \cdot (g^{-1})^k)^2 \in QR_p$ contradiction
- \* i.e.  $g^{2k+1} \in QNR_p$

$$(g^{2k})^{-1}(g^{2k}) \equiv (g^{2k})^{-1}g \cdot g \cdot \dots \cdot g \equiv 1 \pmod{p}$$
  
$$\Rightarrow (g^{2k})^{-1} \equiv g^{-1} \cdot g^{-1} \cdot \dots \cdot g^{-1} \equiv (g^{-1})^{2k} \equiv ((g^{-1})^k)^2$$

Quadratic Residues in Z<sub>n</sub>  $\diamond$  ex. p=143537, p-1=143536=2<sup>4</sup> · 8971,  $\phi(p-1)=2^4\cdot 8971\cdot (1-1/2)\cdot (1-1/8971)=71760$ primitives,  $(p-1)/2=71768 \text{ QR}_{p}$ 's and 71768  $\text{QNR}_{p}$ 's \* Note: if g is a primitive, then  $g^3, g^5 \dots$  are also primitives except the following 8 numbers  $g^{8971}$ ,  $g^{8971\cdot 3}$ ,...,  $g^{8971\cdot 15}$ \* Elements in  $Z_{p}^{*}$  can be classified further according to their order  $\frac{\operatorname{since}_{1}}{2} = \frac{1}{4} = \frac{1}{8} = \frac{1}{16} = \frac{1}{8} = \frac{1}{16} = \frac{1}{8} = \frac{1}{16} = \frac{1}{8} = \frac{1}{8} = \frac{1}{16} = \frac{1}{8} =$ ord<sub>p</sub>(x) p-QR<sub>p</sub> QR<sub>p</sub> QR<sub>p</sub> QNR<sub>p</sub> QR<sub>p</sub> QNR<sub>p</sub> QR<sub>p</sub> QR<sub>p</sub> QR<sub>n</sub> QR<sub>n</sub> **φ**(*p*-1) 8 #

## **Composite Quadratic Residues**

 $\Rightarrow$  If y is a quadratic residue modulo n, it must be a quadratic residue modulo all prime factors of n.  $\exists x \in Z_n^*$  s.t.  $x^2 \equiv y \pmod{n} \Leftrightarrow x^2 \equiv k \cdot n + y \equiv k \cdot p \cdot q + y$  $\Rightarrow$  x<sup>2</sup>  $\equiv$  y (mod p) and x<sup>2</sup>  $\equiv$  y (mod q)  $\Rightarrow$  If y is a quadratic residue modulo p and also a quadratic residue modulo q, then y is a quadratic residue modulo n.  $\exists r_1 \in \mathbb{Z}_p^* \text{ and } r_2 \in \mathbb{Z}_q^* \text{ such that} \\ y \equiv r_1^2 \pmod{p} \equiv (r_1 \mod{p})^2 \pmod{p}$  $\equiv r_2^2 \pmod{q} \equiv (r_2 \mod q)^2 \pmod{q}$ from CRT,  $\exists ! r \in \mathbb{Z}_n^*$  such that  $r \equiv r_1 \pmod{p} \equiv r_2 \pmod{q}$ therefore,  $y \equiv r^2 \pmod{p} \equiv r^2 \pmod{q}$ again from CRT,  $y \equiv r^2 \pmod{p \cdot q}$ 

# Legendre Symbol

Legendre symbol L(a, p) is defined when a is any integer, p is a prime number greater than 2

 $\star L(a, p) = 0 \text{ if } p \mid a$ 

- \* L(a, p) = 1 if a is a quadratic residue mod p
- \* L(a, p) = -1 if a is a quadratic non-residue mod p

 $\diamond$  Two methods to compute (a/p)

- $\star (a/p) = a^{(p-1)/2} \pmod{p}$
- \* recursively calculate by  $L(a \cdot b, p) = L(a, p) \cdot L(b, p)$ 
  - 1. If a = 1, L(a, p) = 1
  - 2. If a is even,  $L(a, p) = L(a/2, p) \cdot (-1)^{(p^2-1)/8}$

3. If a is odd prime,  $L(a, p) = L((p \mod a), a) \cdot (-1)^{(a-1)(p-1)/4}$ 

♦ Legendre symbol L(a, p) = -1 if a ∈ QNR<sub>p</sub>
L(a, p) = 1 if a ∈ QR<sub>p</sub>

Legendre Symbol  $y \in QR_p \Leftrightarrow y^{(p-1)/2} \equiv 1 \pmod{p}$  $(\Longrightarrow)$ \* If  $y \in QR_p$ \* Then  $\exists x \in Z_p^*$  such that  $y \equiv x^2 \pmod{p}$ \* Therefore,  $y^{(p-1)/2} \equiv (x^2)^{(p-1)/2} \equiv x^{(p-1)} \equiv 1 \pmod{p}$  $(\Leftarrow)$ \* If  $y \notin QR_p$  i.e.  $y \in QNR_p$ \* Then  $y \equiv g^{2k+1} \pmod{p}$ \* Therefore,  $y^{(p-1)/2} \equiv (g^{2k} \cdot g)^{(p-1)/2} \equiv g^{k(p-1)} g^{(p-1)/2} \equiv g^{(p-1)/2} \equiv 1 \pmod{p}$  $\operatorname{ord}_{p}(g) = p-1$ 

### Jacobi Symbol

 Jacobi symbol J(a, n) is a generalization of the Legendre symbol to a composite modulus n

- ♦ If n is a prime, J(a, n) is equal to the Legendre symbol i.e. J(a, n) =  $a^{(n-1)/2} \pmod{n}$
- A Jacobi symbol can not be used to determine whether a is a quadratic residue mod n (unless n is a prime)
  - ex.  $J(7, 143) = J(7, 11) \cdot J(7, 13) = (-1) \cdot (-1) = 1$ however, there is no integer x such that  $x^2 \equiv 7 \pmod{143}$

# Calculation of Jacobi Symbol

The following algorithm computes the Jacobi symbol J(a, n), for any integer a and odd integer n, recursively:

- \* Def 1: J(0, n) = 0 also If n is prime, J(a, n) = 0 if n|a
- \* Def 2: If n is prime, J(a, n) = 1 if  $a \in QR_n$  and J(a, n) = -1 if  $a \notin QR_n$
- \* Def 3: If n is a composite,  $J(a, n) = J(a, p_1 \cdot p_2 \dots \cdot p_m) = J(a, p_1) \cdot J(a, p_2) \dots \cdot J(a, p_m)$
- \* Rule 1: J(1, n) = 1
- \* Rule 2:  $J(a \cdot b, n) = J(a, n) \cdot J(b, n)$
- \* Rule 3: J(2, n) = 1 if  $(n^2-1)/8$  is even and J(2, n) = -1 otherwise
- \* Rule 4:  $J(a, n) = J(a \mod n, n)$
- Rule 5: J(a, b) = J(-a, b) if a <0 and (b-1)/2 is even, J(a, b) = -J(-a, b) if a<0 and (b-1)/2 is odd</p>
- \* Rule 6:  $J(a, b_1 \cdot b_2) = J(a, b_1) \cdot J(a, b_2)$
- ★ Rule 7: if gcd(a, b)=1, a and b are odd

 $\Rightarrow$  7a: J(a, b) = J(b, a) if (a-1)·(b-1)/4 is even

 $\Rightarrow$  7b: J(a, b) = -J(b, a) if (a-1)·(b-1)/4 is odd

# QR<sub>n</sub> and Jacobi Symbol

♦ Consider n = p · q, where p and q are prime numbers
∀x ∈ Z<sub>n</sub>\*, x ∈ QR<sub>n</sub>
⇔ x ∈ QR<sub>p</sub> and x ∈ QR<sub>q</sub>
⇔ J(x, p) = x<sup>(p-1)/2</sup> ≡ 1 (mod p) and J(x, q) = x<sup>(q-1)/2</sup> ≡ 1 (mod q)
⇒ J(x, n) = J(x, p) · J(x, q) = 1

	J(x, p)	J(x,q)	J(x, n)	
Q <sub>00</sub>	1	1	1	$x \in QR_n$
Q <sub>01</sub>	1	-1	-1	$x \in \text{QNR}_n$
Q <sub>10</sub>	-1	1	-1	$x \in \text{QNR}_n$
Q <sub>11</sub>	-1	-1	1	$x \in \text{QNR}_n$

# Wilson's Theorem $(p-1)! \equiv -1 \pmod{p}$

#### Proof:

- Goal:  $(p-1)! \equiv 1 \cdot 2 \cdot 3 \cdot \cdots (p-1) \equiv -1 \equiv (p-1) \pmod{p}$
- \* Since gcd(p-1, p) = 1, the above is equivalent to  $(p-2)! \equiv 1 \pmod{p}$ \* e.g. p = 5,  $3 \cdot 2 \cdot 1 \equiv 1 \pmod{5}$

p = 7,  $5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 \equiv 1 \pmod{7}$ 

\* We know that  $1^{-1} \equiv 1 \pmod{p}$  and  $(-1)^{-1} \equiv -1 \pmod{p}$ 

- \* Claim:  $\forall i \in \mathbb{Z}_{p}^{*} \setminus \{1, -1\}, i^{-1} \neq i \text{ (pf: if } i^{-1} \neq i \text{ then } i^{2} \equiv 1, i \in \{1, -1\})$
- \* Claim:  $\forall i_1 \neq i_2 \in \mathbb{Z}_p^* \setminus \{1, -1\}, i_1^{-1} \neq i_2^{-1} \text{ (pf: if } i_1^{-1} \equiv i_2^{-1} \text{ then } i_1 \cdot i_2^{-1} \equiv 1$ i.e.  $i_1 \equiv i_2$ , contradiction)
- \* Out of the set  $\{2, 3, \dots, p-2\}$ , we can form (p-3)/2 pairs such that  $i \cdot j \equiv 1 \pmod{p}$ , multiply them together, we obtain  $(p-2)! \equiv 1$

### Another Proof $y \in QR_p \Leftrightarrow y^{(p-1)/2} \equiv 1 \pmod{p}$

- ★ If  $y \in QR_p$
- \* Then  $\exists x \in Z_p^*$  such that  $y \equiv x^2 \pmod{p}$
- \* Therefore,  $y^{(p-1)/2} \equiv (x^2)^{(p-1)/2} \equiv x^{(p-1)} \equiv 1 \pmod{p}$
- $(\Leftarrow)$

 $(\Longrightarrow)$ 

- \* Since  $\forall i \in \mathbb{Z}_p^*$ , gcd(i, p)=1,  $\exists j$  such that  $i \cdot j \equiv y \pmod{p}$
- ★ If  $y \notin QR_p$ , the congruence  $x^2 \equiv y \pmod{p}$  has no solution, therefore,  $j \neq i \pmod{p}$
- ★ We can group the integers 1, 2, ..., p-1 into (p-1)/2 pairs (i, j), each satisfying i · j = y (mod p)
- \* Multiply them together, we have  $(p-1)! \equiv y^{(p-1)/2} \pmod{p}$
- \* From Wilson's theorem,  $y^{(p-1)/2} \equiv -1 \pmod{p}$

#### Exactly Two Square Roots Every $y \in QR_p$ has exactly two square roots i.e. x and p-x such that $x^2 \equiv y \pmod{p}$

pf: \* QR<sub>p</sub> = { $g^2, g^4, ..., g^{p-1}$ },  $|Z_p^*| = p-1$ , and  $|QR_p| = (p-1)/2$ 

- ★ For each  $y \equiv g^{2k}$  in QR<sub>p</sub>, there are at least two distinct  $x \in Z_p^*$  s.t.  $x^2 \equiv y \pmod{p}$ , i.e.,  $g^k$  and  $p g^k$  (if one is even, the other is odd)
- \* Since  $|QR_p| = (p-1)/2$ , we can obtain a set of p-1 square roots S={g, p-g, g<sup>2</sup>, p-g<sup>2</sup>,...,g<sup>(p-1)/2</sup>, p-g<sup>(p-1)/2</sup>}
- ★ Claim: the elements of S are all distinct (1. g<sup>i</sup> ≠ g<sup>j</sup> (mod p) when i≠j since g is a primitive, 2. g<sup>i</sup> <sup>\*</sup>/<sub>\*</sub>-g<sup>j</sup> (mod p) when i≠j, otherwise (g<sup>i</sup>+g<sup>j</sup>)(g<sup>i</sup>-g<sup>j</sup>)≡g<sup>2i</sup>-g<sup>2j</sup>≡0 (mod p) implies i≡j (mod (p-1)/2), 3. g<sup>i</sup> ≠ -g<sup>i</sup> (mod p) since if one is even, the other is odd)
- ★ If there is one more square root z of  $y \equiv g^{2k}$  which is not  $g^k$  and  $-g^k$ , it must belong to S (which is  $Z_p^*$ ), say  $g^j$ ,  $j \neq k$ , which would imply that  $g^{2j} \equiv g^{2k}$  (mod p), and leads to contradiction

# Order q Subgroup $G_q$ of $Z_p^*$

 $\diamond$  Let p be a prime number, g be a primitive in  $Z_p^2$  $\Rightarrow$  Let  $p = k \cdot q + 1$  i.e.  $q \mid p-1$  where q is also a prime number  $♦ Let G_a = \{g^k, g^{2k}, ..., g^{q^k} \equiv 1\}$  $\diamond$  Is G<sub>a</sub> a subgroup in Z<sub>p</sub><sup>\*</sup>? YES  $\forall x, y \in G_a, it is clear that z \equiv g^{i \cdot k} \equiv x \cdot y \equiv g^{(i_1 + i_2) \cdot k} \pmod{p}$ is also in  $G_q$ , where  $i \equiv i_1 + i_2 \pmod{q}$  $\diamond$  Is the order of the subgroup G<sub>a</sub> q? YES  $\forall i_1, i_2 \in \mathbb{Z}_q, i_1 \neq i_2, g^{i_1 + k} \neq g^{i_2 + k} \pmod{p}$  otherwise g is not a primitive in  $Z_p^*$ , also  $g^{q+k} \equiv 1 \pmod{p}$ ♦ How many generators are there in  $G_q$ ?  $\phi(q)=q-1$ a. there are  $\phi(p-1)$  generators in  $Z_p^* = \{g^1, g^2, \dots, g^x, \dots, g^{p-1}\}$ , since gcd(p-1, x) = d > 1 implies that  $ord_p(g^x) = (p-1)/d$ 

## Order q Subgroup $G_q$ (cont'd)

also  $(g^x)^y \equiv 1 \pmod{p}$  and  $g^{p-1} \equiv 1 \pmod{p}$  implies that either x · y | p-1 or p-1 | x · y, gcd(x, p-1) = 1 implies that p-1 | y therefore,  $\operatorname{ord}_p(g^x) = p-1$ 

b. there are  $\phi(q)$  primitives in  $G_q = \{g^k, g^{2k}, ..., g^{q+k} \equiv 1\}$  since q is also a prime number

♦ Is G<sub>q</sub> a unique order q subgroup in Z<sub>p</sub>\*? YES Let S be an order-q cyclic subgroup, S= {g, g<sup>2</sup>, ..., g<sup>q</sup>≡1}. Since p is prime, ∃ a unique k-th root g<sub>1</sub> ∈ Z<sub>p</sub>\*, s.t. g ≡ g<sub>1</sub><sup>k</sup> (mod p) Let g<sub>1</sub> ≠ g be another primitive, clearly g<sub>1</sub> ≡ g<sup>s</sup> (mod p), Is the set S= {g<sub>1</sub><sup>k</sup>, g<sub>1</sub><sup>2k</sup>, ..., g<sub>1</sub><sup>q+k</sup>≡1} different from G<sub>q</sub>? let x ∈ S, i.e. x ≡ g<sub>1</sub><sup>i<sub>1</sub>·k</sup> (mod p), i<sub>1</sub> ∈ Z<sub>q</sub> x ≡ g<sub>1</sub><sup>i<sub>1</sub>·k</sup> ≡ g<sup>s·i<sub>1</sub>·k</sup> ≡ g<sup>i·k</sup> (mod p) where i ≡ s · i<sub>1</sub> (mod q), i.e. S ⊆ G<sub>q</sub> The proof is similar for G<sub>q</sub> ⊆ S. Therefore, S = G<sub>q</sub>

#### Gauss' Lemma

<u>**Lemma**</u>: let p be a prime, a is an integer s.t. gcd(a, p)=1,

define  $\alpha_j \equiv j \cdot a \pmod{p}$   $_{j=1,\ldots,(p-1)/2}$ ,

let n be the number of  $\alpha_j$ 's s.t.  $\alpha_j > p/2$  then L(a, p) = (-1)^n

pf.

★ α<sub>j</sub> ∈ {r<sub>1</sub>, ..., r<sub>n</sub>} if α<sub>j</sub> > p/2 and α<sub>j</sub> ∈ {s<sub>1</sub>, ..., s<sub>(p-1)/2-n</sub>} if α<sub>j</sub> < p/2</li>
★ Since gcd(a, p)=1, r<sub>i</sub> and s<sub>i</sub> are all distinct and non-zero

\* Clearly,  $0 < p-r_i < p/2$  for i=1,...,n

★ no p-r<sub>i</sub> is an s<sub>j</sub>: if p-r<sub>i</sub>=s<sub>j</sub> then s<sub>j</sub> ≡ -r<sub>i</sub> (mod p) rewrite in terms of a: u a ≡ -v a (mod p) where  $1 \le u, v \le (p-1)/2$  $\Rightarrow u \equiv -v \pmod{p}$  where  $1 \le u, v \le (p-1)/2 \Rightarrow$  impossible

 $\Rightarrow \{s_1, \dots, s_{(p-1)/2-n}, p-r_1, \dots, p-r_n\} \text{ is a reordering of } \{1, 2, \dots, (p-1)/2\}$ \* Thus,  $((p-1)/2)! \equiv s_1 \cdots s_{(p-1)/2-n} \cdot (-r_1) \cdots (-r_n) \equiv (-1)^n s_1 \cdots s_{(p-1)/2-n} \cdot r_1 \cdots r_n$  $\equiv (-1)^n ((p-1)/2)! a^{(p-1)/2} \pmod{p} \Rightarrow L(a, p) = (-1)^n$ 

$$\begin{array}{l} \hline \label{eq:constraint} \textbf{Theorem: J(2, p)} = (-1)^{(p^2-1)/8} \\ \hline \textbf{Theorem: let p be a prime, gcd(a, p) = 1 then L(a, p) = (-1)^t \\ & \text{where } t = \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor. \ \text{Also L}(2, p) = (-1)^{(p^2-1)/8} \\ \hline \textbf{pf.} \\ & \textbf{*} \ \alpha_j \in \{r_1, \ldots, r_n\} \ \text{if} \ \alpha_j > p/2 \ \text{and} \ \alpha_j \in \{s_1, \ldots, s_{(p-1)/2-n}\} \ \text{if} \ \alpha_j < p/2 \\ & \textbf{*} \ \textbf{j} \ a = p \lfloor j \cdot a/p \rfloor + \alpha_j \ \text{for } j = 1, \ldots, (p-1)/2 \\ & \implies \sum_{j=1}^{(p-1)/2} j \ a = \sum_{j=1}^{(p-1)/2} p \lfloor j \cdot a/p \rfloor + \sum_{j=1}^n r_j + \sum_{j=1}^{(p-1)/2-n} s_j \\ & \textbf{*} \ \{s_1, \ldots, s_{(p-1)/2-n}, p \cdot r_1, \ldots, p \cdot r_n\} \ \text{is a reordering of } \{1, 2, \ldots, (p-1)/2\} \\ & \implies \sum_{j=1}^{(p-1)/2} j = \sum_{j=1}^n (p \cdot r_j) + \sum_{j=1}^{(p-1)/2-n} s_j = np - \sum_{j=1}^n r_j + \sum_{j=1}^{(p-1)/2-n} s_j \\ & \textbf{* Subtracting the above two equations, we have} \\ & (a \cdot 1)^{\binom{(p-1)/2}{j-1}j} = p \left( \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor - n \right) + 2 \sum_{j=1}^n r_j \end{array}$$

lacksquare

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$$J(2, p) = (-1)^{(p^2-1)/8} (\text{cont'd})$$
  
\*  $\sum_{j=1}^{(p-1)/2} j = 1 + ... + (p-1)/2 = (p-1)/2 (1 + (p-1)/2) / 2 = (p^2-1)/8$   
\* Thus, we have (a-1)  $(p^2-1)/8 \equiv \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor$  - n (mod 2)

★ If a is odd, n = ∑<sub>j=1</sub><sup>(p-1)/2</sup> ↓ j·a/p↓
★ If a = 2, ↓ j·2/p↓ = 0 for j=1, ..., (p-1)/2, n ≡ (p<sup>2</sup>-1)/8 (mod 2)
therefore, J(2, p) = (-1)<sup>(p<sup>2</sup>-1)/8</sup>

# Lemma. ord-k elements in $Z_{p}^{*} \leq \phi(k)$

**Lemma**. There are at most  $\phi(k)$  ord-k elements in  $Z_p^*$ , k | p-1 pf.

- $\diamond Z_p^*$  is a field  $\Rightarrow x^k 1 \equiv 0 \pmod{p}$  has at most k roots
- ♦ if *a* is a nontrivial root (*a*≠1), then {*a*<sup>0</sup>, *a*<sup>1</sup>, *a*<sup>2</sup>, ..., *a*<sup>k-1</sup>} is the set of the k distinct roots.
- ♦ In this set, those a<sup>ℓ</sup> with gcd(ℓ, k) = d > 1 have order at most k/d.
- $\diamond$  Only those  $a^{\ell}$  with  $gcd(\ell, k) = 1$  might have order k.
- ♦ Hence, there are at most  $\phi(k)$  elements (out of k elements) that have order equal to k.

# Lemma. $\Sigma_{k|p-1} \phi(k) = p-1$

**<u>Lemma</u>**.  $\Sigma_{k|p-1} \phi(k) = p-1$ 

pf.

 $p-1 = \sum_{k|p-1} (\# a \text{ in } Z_p^* \text{ s.t. } gcd(a, p-1) = k)$ =  $\sum_{k|p-1} (\# b \text{ in } \{1, \dots, (p-1)/k\} \text{ s.t. } gcd(b, (p-1)/k) = 1)$ =  $\sum_{k|p-1} \phi((p-1)/k)$ =  $\sum_{k|p-1} \phi(k)$ 

ex. { $\phi(1$ },  $\phi(2)$ ,  $\phi(3)$ ,  $\phi(4)$ ,  $\phi(6)$ ,  $\phi(12)$ }, p=13

 $Z_{p}^{*}$  is a cyclic group **Theorem**:  $Z_p^*$  is a *cyclic* group for a prime number p pf. Lemma 1: # of ord-k elements in  $Z_p^* \le \phi(k)$ , where k | p-1 Lemma 2:  $\Sigma_{k|p-1} \phi(k) = p-1$ The order k of every element in  $Z_{p}^{*}$  divides p-1  $\Rightarrow \Sigma_{k|p-1}$  (# of elements with order k) = p-1  $\Rightarrow \Sigma_{k|p-1} \phi(k) \ge p-1$ , combined with lemma 2, we know that # of ord-k elements in  $Z_{p}^{*} = \phi(k)$  $\Rightarrow$  # of ord-(p-1) elements in  $Z_p^* = \phi(p-1) > 1$  $\Rightarrow$  There is at least one generator in  $Z_p^*$ , i.e.  $Z_p^*$  is cyclic Ex. p=13,  $p-1 = |\{1,5,7,11\}| + |\{2,10\}| + |\{3,9\}| + |\{4,8\}| + |\{6\}|$ k=250

### Generators in QR<sub>n</sub>

♦ Number of generators in  $Z_p^*$ :  $\phi(p-1)$ Let g be a primitive,  $Z_{p}^{*} = \langle g \rangle = \{g, g^{2}, g^{3}, ..., g^{k}, ..., g^{p-1}\}$ if  $gcd(k, p-1) = d \neq 1$  then  $g^k$  is not a primitive since  $(g^k)^{(p-1)/d} = (g^{k/d})^{p-1} = 1$ , i.e.  $\operatorname{ord}_p(g^k) \le (p-1)/d$ if gcd(k, p-1) = 1 and  $g^k$  is not a primitive, then  $d=ord_p(g^k) < p-1$ , i.e.  $(g^k)^d = 1$ ; g is a primitive  $\Rightarrow p-1 | k d \Rightarrow p-1 | d$  contradiction.  $\Rightarrow$  Z<sub>n</sub><sup>\*</sup> is not a cyclic group (n = p q, p=2p'+1, q=2q'+1, \lambda(n)=2p'q') Since  $x^{\lambda(n)} \equiv 1 \pmod{n}$ , there is no generator that can generate all members in  $Z_n^*$  $\Rightarrow$  QR<sub>n</sub> is a cyclic group of order  $\lambda(n)/2 = lcm(p-1, q-1)/2 = p'q'$  $\forall x \in Z_n^*, x^{\lambda(n)} \equiv 1 \pmod{n}$  Carmichael's Theorem clearly,  $(x^2)^{\lambda(n)/2} \equiv 1 \pmod{n}$ ,  $QR_n = \{x^2 \mid \forall x \in Z_n^*\}$ i.e.  $\forall y \in QR_n$ ,  $ord_n(y) | p' q' (ord_n(y) \in \{1, p', q', p'q'\})$ 

Generators in QR<sub>n</sub> (cont'd) cyclic?  $\exists x^* \in Z_n^* \text{ ord}_n(x^*) = \lambda(n) = 2 p' q' \Rightarrow$  $\exists y^* (=(x^*)^2) \in QR_n \text{ s.t. } ord_n(y^*) = \lambda(n)/2 = p' q'$  $\diamond$  Let y be a random element in QR<sub>n</sub>, the probability that y is a generator is close to 1 Let  $y^*$  be a generator of  $QR_n$ ,  $|QR_n = \langle y^* \rangle = \{y^*, (y^*)^2, (y^*)^3, \dots, (y^*)^k, \dots, (y^*)^{p'q'}\}$ if  $gcd(k, p'q') = d \neq 1$  then  $(y^*)^k$  is not a generator since  $((y^*)^k)^{p'q'/d} = ((y^*)^{k/d})^{p'q'} = 1$ , i.e.  $\operatorname{ord}_p((y^*)^k) \le (p'q')/d$  $\phi(p'q') = \phi(p') \phi(q') = (p'-1)(q'-1) = p'q' - p' - q' + 1$ = p'q' - (p'-1) - (q'-1) - 1 $\forall x \in \{(y^*)^{q'}, (y^*)^{2q'}, \dots, (y^*)^{(p'-1)q'}\} \text{ ord}_n(x) = p'$  $\forall x \in \{(y^*)^{p'}, (y^*)^{2p'}, \dots, (y^*)^{(q'-1)p'}\} \text{ ord}_n(x) = q'$  $ord_{n}(1) = 1$  $\Pr{x \text{ is a generator } | x \in QR_n} = \phi(p'q') / (p'q') \text{ is close to } 1$ 52

# Subgroups in Z<sub>n</sub>\*

Consider n = p q, p=2p'+1, q=2q'+1, m=p'q',  $\lambda(n) = lcm(p-1, q-1)=2m$ ,  $\phi(n) = (p-1)(q-1) = 4m$ 

 $\mathbf{Z}_{\mathbf{n}}^{*}$  is not a cyclic group

\* Carmichael's theorem asserts that no element in  $Z_n^*$  can generate all elements in  $Z_n^*$ . (maximum order is 2m instead of 4m)

- \* However,  $Z_n^*$  is still a group over modulo n multiplication.
- ♦ QR<sub>n</sub> is a cyclic subgroup of order m = λ(n)/2, QR<sub>n</sub> = {x<sup>2</sup> | ∀ x ∈ Z<sub>n</sub><sup>\*</sup>}
  ★ J<sub>00</sub> = {x ∈ Z<sub>n</sub><sup>\*</sup> | J(x,p)=1 and J(x,q)=1}
  - \* If there exists an element in  $Z_n^*$  whose order is 2m, then  $QR_n$  is clearly a cyclic group. (Will the precondition be true?)
  - ★  $\forall x \in Z_n^* x^{2m} \equiv 1 \pmod{n}$  implies that  $\forall y \in QR_n \operatorname{ord}_n(y) | p'q'$ i.e.  $\operatorname{ord}_n(y)$  is either 1, p', q', or p'q' (if there is one y s.t.  $\operatorname{ord}_n(y)=m$ then y is a generator and QR<sub>n</sub> is cyclic). Let's construct one.

# Subgroups in $Z_n^*$ (cont'd)

Let  $g_1$  be a generator in  $Z_p^*$ , and  $g_2$  be a generator in  $Z_q^*$ Let  $\mathbf{g} \equiv \mathbf{g}_1 \pmod{\mathbf{p}} \equiv \mathbf{g}_2 \pmod{\mathbf{q}}$ , (note that  $J(g, n) = 1, g \in J_{11}$ )  $g^{p-1} \equiv g^{2p'} \equiv g_1^{2p'} \equiv 1 \pmod{p}, g^{q-1} \equiv g^{2q'} \equiv g_2^{2q'} \equiv 1 \pmod{q}$  $\Rightarrow$  g<sup>2p'q'</sup>  $\equiv$  1 (mod p) and g<sup>2q'p'</sup>  $\equiv$  1 (mod q) i.e. g<sup>2p'q'</sup>  $\equiv$  1 (mod n) if there exists a  $k \in \{1, 2, p', q', 2p', 2q', p'q'\}$  s.t.  $g^k \equiv 1 \pmod{n}$ then  $\operatorname{ord}_{n}(g)$  is not 2p'q'1. k=1:  $\Rightarrow$  g<sub>1</sub> = 1 (mod p) contradict with  $ord_p(g_1) = p-1$ 2. k=p':  $\Rightarrow$  g<sup>p'</sup>  $\equiv$  g<sub>1</sub><sup>p'</sup>  $\equiv$  1 (mod p) contradict with ord<sub>p</sub>(g<sub>1</sub>) = 2p' 3.  $k=q': \Rightarrow g^{q'} \equiv g_2^{q'} \equiv 1 \pmod{q}$  contradict with  $\operatorname{ord}_q(g_2) = 2q'$ 4. k=2:  $\Rightarrow g_1^2 \equiv 1 \pmod{p}$  contradict with  $\operatorname{ord}_p(g_1) = p-1$ 5. k=2p':  $\Rightarrow g^{2p'} \equiv g_2^{2p'} \equiv 1 \pmod{q}$  contradict with  $\operatorname{ord}_q(g_2) = 2q'$ 6. k=2q':  $\Rightarrow$  g<sup>2q'</sup>  $\equiv$  g<sub>1</sub><sup>2q'</sup>  $\equiv$  1 (mod p) contradict with ord<sub>p</sub>(g<sub>1</sub>) = 2p'

Subgroups in  $Z_n^*$  (cont'd) 7.  $k=p'q' \Rightarrow g^{p'q'} \equiv g_1^{p'q'} \equiv 1 \pmod{p}$ since  $g_1^{2p'} \equiv 1 \pmod{p}$  and  $gcd(q', 2) = 1 \implies \exists a, b s.t. a q' + b 2 = 1$  $\Rightarrow g_1^{p'} \equiv g_1^{p'} (a q' + b 2) \equiv (g_1^{p' q'})^a (g_1^{2 p'})^b \equiv 1 \pmod{p}$ contradict with  $\operatorname{ord}_{p}(g_{1}) = 2p'$  $1 \sim 7$  implies that  $\operatorname{ord}_{n}(g) = 2p'q'$ , i.e.  $QR_{o} = \{g^{2}, g^{4}, \dots, g^{p'q'}\}$ 

and QR<sub>n</sub> is a cyclic group.

\* Pr{Elements in QR<sub>n</sub> being a generator} =  $\phi(p'q') / (p'q')$ ♦ J<sub>n</sub> is a cyclic subgroup of order  $2m = \lambda(n)$ , J<sub>n</sub> = {x ∈ Z<sub>n</sub><sup>\*</sup> | J(x,n)=1} \*  $J_{11} = \{x \in Z_n^* \mid J(x,p) = -1 \text{ and } J(x,q) = -1\}$ \* The above proof also shows that  $J_n = \{g, g^2, ..., g^{2p'q'}\}$  is cyclic \* Pr{Elements in J<sub>n</sub> being a generator} =  $\phi(p'q') / (2p'q')$  $\downarrow J_{01} \cup J_{10} = Z_n^* \setminus \{J_{00} \cup J_{11}\}$  is not a subgroup in  $Z_n^*$ \* if  $x \in J_{01}$  then  $x * x \in J_{00}$ 

## Generator in QR<sub>n</sub>

- $\Rightarrow$  n = p q, p=2p'+1, q=2q'+1
- $\diamond$  Find a generator in QR<sub>n</sub>
  - 1. Find a generator  $g_1$  of  $Z_p^*$  (i.e.  $Z_p^* = \langle g_1 \rangle$ ) and  $g_2$  of  $Z_q^*$  (i.e.  $Z_q^* = \langle g_2 \rangle$ )
  - 2. Calculate the generator  $h_1 \equiv g_1^2 \pmod{p}$  of  $QR_p$  and  $h_2 \equiv g_2^2 \pmod{1}$  of  $QR_q$
  - 3. Let  $h \equiv h_1 \pmod{p} \equiv h_2 \pmod{q}$ .

It is clear that  $h \equiv g^2 \pmod{n}$ , i.e.  $h \in QR_n$ , where  $g \equiv g_1 \pmod{p} \equiv g_2 \pmod{q}$ . Claim: h is a generator of  $QR_n$ 

pf.

$$y \in QR_n \Rightarrow y \in QR_p \text{ and } y \in QR_q$$
  
i.e.  $\exists x_1 \in Z_{p'} \text{ and } x_2 \in Z_{q'}, y \equiv h_1^{x_1} \pmod{p} \equiv h_2^{x_2} \pmod{q}$   
 $\Rightarrow y \equiv g_1^{2x_1} \pmod{p} \equiv g_2^{2x_2} \pmod{q}$   
 $\Rightarrow y \equiv g^{2x} \pmod{n} \text{ if } 2x \equiv 2x_1 \pmod{p-1} \equiv 2x_2 \pmod{q-1}$   
a unique  $x \in Z_{p'q'}$  exists by CRT since  $gcd(p-1, q-1) \equiv gcd(2p', 2q') \equiv 2$   
 $\Rightarrow y \equiv h^x \pmod{n}$ 

# Generate Elements in Z<sub>n</sub>\*

- $Z_{n}^{*} = \{ g^{a} u^{-e b_{1}} (-1)^{b_{2}} | g \text{ is a generator in } QR_{n}, gcd(e, \phi(n)) = 1, \\ u \in_{R} Z_{n}^{*} \text{ and } J(u,n) = -1, \\ a \in \{0, \dots, m-1\}, b_{1} \in \{0,1\}, \text{ and } b_{2} \in \{0,1\} \} \}$
- Note: 1. J(-1, n) = 1 and  $-1 \in J_n \setminus QR_n$  since  $(-1)^{(p-1)/2} \equiv (-1)^{p'} \equiv -1 \pmod{p}$ 2. e is odd,  $\phi(n)$ -e is also odd,  $J(u^{-e}, n) = J(u, n) = -1$  $\diamond$  We can view the above as 4 parts

1.  $J_{00}$  (QR<sub>n</sub>):  $b_1 = b_2 = 0$ ,  $J_{00} = \{g^a \mid a \in \{0, ..., m-1\}\}$ 2.  $J_{11}$  ( $J_n \setminus QR_n$ ):  $b_1 = 0$ ,  $b_2 = 1$ ,  $J_{11} = \{-g^a \mid a \in \{0, ..., m-1\}\}$ Assume that J(u, p) = -1 and J(u, q) = 13.  $J_{01}$ :  $b_1 = 1$ ,  $b_2 = 0$ ,  $J_{01} = \{g^a u^{-e} \mid a \in \{0, ..., m-1\}\}$ 4.  $J_{10}$ :  $b_1 = 1$ ,  $b_2 = 1$ ,  $J_{01} = \{-g^a u^{-e} \mid a \in \{0, ..., m-1\}\}$ 

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Lagrange's Theorem: for any finite group G, the order (number of elements) of every subgroup H of G divides the order of G.

★ proof sketch: divide G into left cosets H – equivalence classes, and show that they have the same size.

◇ It implies that: the order of any element *a* of a finite group (i.e. the smallest positive integer number *k* with *a<sup>k</sup>* = 1) divides the order of the group. Since the order of *a* is equal to the order of the cyclic subgroup generated by *a*. Also, a<sup>|G|</sup> = 1 since order of *a* divides |G|.