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# RSA Cryptosystem



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# Naïve Public Key System

- ✧ Encryption and decryption algorithm are not the same
- ✧ Public/private key pair: private key is related to public key but can not be easily derived from public key
- ✧ Illustrating example:

$$m \in \mathbb{Z}_{11}^*$$

$$m * 1 = m \pmod{11}$$

$$m * \overbrace{8}^{\text{encryption}} * \overbrace{8^{-1}}^{\text{decryption}} = m \pmod{11}$$

encryption

decryption

**8** is the public key

$m * 8$  is the ciphertext

**8<sup>-1</sup>** is the private key (if nobody can derive this from the public key, then this system is secure)

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# Knapsack (Subset Sum) PKC

✧ Merkel and Hellman, “Hiding Information and Signatures in Trapdoor Knapsacks,” IT-24, 1978

★ a good application of an NP problem on designing public key cryptosystem; no longer secure

✧ **Super-increasing sequence:**

$\{a_1, a_2, \dots, a_n\}$  such that  $a_i > \sum_{j=0}^{i-1} a_j$       ex. 1, 3, 5, 10, 20, 40

✧ **Note:** 1. Given a number  $c$ , finding a subset  $\{a_j\}$  s.t.  $c = \sum_j a_j$  is an easy problem, ex.  $48 = 40 + 5 + 3$

2. Every subset sum  $\sum_{j \in S} a_j < 2 \cdot a_M$  where  $a_M = \max_{j \in S} \{a_j\}$

3. Every possible subset sum is unique

pf: given  $x$ , assume  $x = \sum_{j \in S} a_j = \sum_{j \in T} a_j$ , where  $S \neq T$ , assume  $\max_{j \in S} \{a_j\} \neq \max_{j \in T} \{a_j\}$  ....

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## Knapsack (Subset Sum) PKC

- ✧ choose a number  $b$  in  $Z_p^*$ , ex.  $p = 101$ ,  $b = 23$ , and convert the super-increasing sequence to a **normal knapsack sequence**  $B = \{b_1, b_2, \dots, b_n\}$  where

$$b_i \equiv a_i \cdot b \pmod{p}$$

ex. 23, 69, 14, 28, 56, 11

- ✧ Since  $\gcd(b, p) = 1$ , this conversion is invertible, i.e.

$$a_i \equiv b_i \cdot b^{-1} \pmod{p}$$

ex.  $b^{-1} \equiv 22 \pmod{101}$  ( $b \cdot b^{-1} \equiv 1 \pmod{p}$ )

- ✧ Given a number  $d$ , finding a subset  $\{b_j\} \subseteq B$  s.t.

$$d \equiv \sum_j b_j \pmod{p}$$

is an **NP-complete problem**, ex.  $94 = 11 + 14 + 69$



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# Knapsack (Subset Sum) PKC

## ✧ Encryption:

- ★ **public key:** normal knapsack seq.  $\{23, 69, 14, 28, 56, 11\}$
- ★ message  $m$ ,  $0 \leq m < 2^6$ , ex.  $(60)_{10} = (111100)_2$
- ★ sum up the corresponding elements of '1' bits, i.e.  
 $23 + 69 + 14 + 28 = 134$  is the ciphertext

## ✧ Decryption:

- ★ **private key:**  $b^{-1}=22$ ,  $p=101$ ,  $\{1, 3, 5, 10, 20, 40\}$
- ★ calculate  $134 * 22 \bmod 101 = 19$
- ★ use the corresponding super-increasing knapsack seq.  $\{1, 3, 5, 10, 20, 40\}$  to decrypt as follows:
  - ✧  $19 < 40$ , mark a '0'
  - ✧  $19 < 20$ , mark a '0'
  - ✧  $19 \geq 10$ , mark a '1' and subtract 10 from 19
  - ✧  $9 \geq 5$ , mark a '1' and subtract 5 from 9
  - ✧  $4 \geq 3$ , mark a '1' and subtract 3 from 4
  - ✧  $1 \geq 1$ , mark a '1' and subtract 1 from 1
- ★ recovered message is  $(111100)_2 = (60)_{10}$

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## Knapsack (Subset Sum) PKC

✧ Why does it work?

let the plaintext be  $(111100)_2$

ciphertext  $c = b_1 + b_2 + b_3 + b_4$

$$\equiv a_1 b + a_2 b + a_3 b + a_4 b \pmod{p}$$

decryption:  $c b^{-1} \pmod{p} \equiv a_1 + a_2 + a_3 + a_4 \pmod{p}$

is a subset sum problem of a  
super-increasing sequence

# RSA and Rabin

✧ In the following, we discuss two important cryptosystems based on the difficulty of **integer factoring** (an NP problem)

✧ RSA's underlying problem

Solving e-th root modulo n is difficult

RSA function

$$y \equiv x^e \pmod{n}$$

✧ Rabin's underlying problem

Solving square root modulo n is difficult

Rabin function

$$y \equiv x^2 \pmod{n}$$

$$n = p \cdot q$$

both functions are **candidates** for trapdoor one way function

# RSA and Rabin Function

- ✧ Solving  $e$ -th root of  $y$  modulo  $n$  is difficult!!!

$$y \equiv x^e \pmod{n}, \text{ where } \gcd(e, \phi(n)) = 1$$

Why don't we take  $(e^{-1})$ -th power of  $y$ ?

$$\text{where } e^{-1} \cdot e \equiv 1 \pmod{\phi(n)}$$

$$\text{ex. } n = 11 \cdot 13 = 143, e = 7$$

$$\phi(n) = 10 \cdot 12 = 120, e^{-1} = 103$$

**Trouble:** How do we know  $\phi(n)$ ?

- ✧ Solving square root of  $y$  modulo  $n$  is difficult!!!

$$y \equiv x^2 \pmod{n}$$

Why don't we take  $(2^{-1})$ -th power of  $y$ ?

$$\text{where } 2^{-1} \cdot 2 \equiv 1 \pmod{\phi(n)}$$

$$\text{ex. } n = 11 \cdot 13 = 143$$

$$\phi(n) = 10 \cdot 12 = 120, \gcd(2, \phi(n)) = 2$$

**Remember** solving square root of  $y$  modulo a prime number  $p$  is very easy

**Trouble:**  $d \cdot 2 \equiv 1 \pmod{\phi(n)}$  has no solution for  $d$



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# RSA Public Key Cryptosystem

- ✧ R. Rivest, A. Shamir and L. Adleman, “A Method for Obtaining Digital Signatures and Public-Key Cryptosystems,” Comm. ACM, pp.120-126, 1978
- ✧ Based on the *Integer Factorization* problem
- ✧ Choose two large prime numbers:  $p, q$  (keep them secret!!)
- ✧ Calculate the modulus  $n = p \cdot q$  (make it public)
- ✧ Calculate  $\Phi(n) = (p-1) \cdot (q-1)$  (keep it secret)
- ✧ Select a random integer such that  $e < \Phi$  and  $\gcd(e, \Phi) = 1$
- ✧ Calculate the unique integer  $d$  such that  $e \cdot d \equiv 1 \pmod{\Phi}$
- ✧ **Public key:  $(n, e)$**                       **Private key:  $d$**

:

## RSA Encryption & Decryption

- ✧ Alice wants to **encrypt** a message  $m$  for Bob
- ✧ Alice obtains Bob's authentic public key  $(n, e)$
- ✧ Alice represents the message as an integer  $m$  in the interval  $[0, n - 1]$
- ✧ Alice computes the modular exponentiation

$$c \equiv m^e \pmod{n}$$

- ✧ Alice sends the ciphertext  $c$  to Bob
  - ✧ Bob **decrypts**  $c$  with his private key  $(n, d)$   
by computing the modular exponentiation
- $$\hat{m} \equiv c^d \pmod{n}$$

⋮

# RSA Encryption & Decryption

✧ Why does RSA work? (simpler but incomplete proof)

★ **Fact 1:**  $e \cdot d \equiv 1 \pmod{\Phi} \Rightarrow e \cdot d = 1 + k \Phi$

★ **Fact 2:**  $\forall m, \gcd(m, n) = 1, m^{\Phi} \equiv 1 \pmod{n}$   
(by Euler's theorem)

★ **From Fact 2:**  $\forall m, \gcd(m, n) = 1,$

$$c^d \equiv m^{ed} \equiv m^{1+k\Phi} \equiv m^{1+k(p-1)(q-1)} \equiv m \pmod{n}$$

note: 1. This only proves that for **all  $m$  that are not multiples of  $p$  or  $q$**  can be recovered after RSA encryption and decryption.

2. For those  $m$  that are multiples of  $p$  or  $q$ , **the Euler's theorem simply does not hold** because  $p^{\Phi} \equiv 0 \pmod{p}$  and

$$p^{\Phi} \equiv 1 \pmod{q}$$

which means that  $p^{\Phi} \not\equiv 1 \pmod{n}$  from CRT.

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# RSA Encryption & Decryption

✧ Why does RSA work?

★ **Fact 1:**  $e \cdot d \equiv 1 \pmod{\Phi} \Rightarrow e \cdot d = 1 + k \Phi$

★ **Fact 2:**  $\forall m, \gcd(m, p) = 1, m^{p-1} \equiv 1 \pmod{p}$   
(by Fermat's Little theorem)

★ **From Fact 2:**  $\forall m, \gcd(m, p) = 1$

note: this equation is  
trivially true when  
 $m = kp$

$$m^{1+k(p-1)(q-1)} \equiv m \pmod{p}$$

★ **From Fact 2:**  $\forall m, \gcd(m, q) = 1$

note: this equation is  
trivially true when  
 $m = kq$

$$m^{1+k(p-1)(q-1)} \equiv m \pmod{q}$$

★ **From CRT:**  $\forall m,$

$$c^d \equiv m^{ed} \equiv m^{1+k\Phi} \equiv m^{1+k(p-1)(q-1)} \equiv m \pmod{n}$$

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# RSA Function is a Permutation

✧ **RSA function** is a permutation: (1-1 and onto, bijective)

✧ Goal: “ $\forall x_1, x_2 \in \mathbb{Z}_n$  if  $x_1^e \equiv x_2^e \pmod{n}$  then  $x_1 = x_2$ ”

- ★  $\forall x \neq r \cdot p, x^{p-1} \equiv 1 \pmod{p}, \forall x \neq s \cdot q, x^{q-1} \equiv 1 \pmod{q}$   
 $\Rightarrow \forall k, \forall x \neq r \cdot p, x^{k\phi(n)} \equiv 1 \pmod{p}, \forall k, \forall x \neq s \cdot q, x^{k\phi(n)} \equiv 1 \pmod{q}$   
 $\Rightarrow \forall k, \forall x, x^{k\phi(n)+1} \equiv x \pmod{p}, \forall k, \forall x, x^{k\phi(n)+1} \equiv x \pmod{q}$

CRT  $\Rightarrow \forall k, \forall x, x^{k\phi(n)+1} \equiv x \pmod{n}$

- ★  $\gcd(e, \phi(n)) = 1 \Rightarrow$  inverse of  $e \pmod{\phi(n)}$  exists  
 $\Rightarrow d$  is the inverse s.t.  $e \cdot d \equiv 1 \pmod{\phi(n)}$

- ★  $\forall x_1, x_2 \in \mathbb{Z}_n$  if  $x_1^e \equiv x_2^e \pmod{n}$

**Note: Euler Thm is valid  
only when  $x \in \mathbb{Z}_n^*$**

$$\begin{aligned} &\Rightarrow (x_1^e)^d \equiv (x_2^e)^d \pmod{n} \\ &\Rightarrow (x_1^e)^{1+k\phi(n)} \equiv (x_2^e)^{1+k\phi(n)} \pmod{n} \\ &\Rightarrow x_1 \equiv x_2 \pmod{n} \end{aligned}$$

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# RSA Cryptosystem

- ✧ Most popular PKC in practice
- ✧ Tens of dedicated crypto-processors are specifically designed to perform modular multiplication in a very efficient way.
- ✧ **Disadvantage:** long key length,  
complex key generation scheme,  
deterministic encryption
- ✧ For acceptable level of security in commercial applications, 1024-bit (300 digits) keys are used. For a symmetric key system with comparable security, about 100 bits keys are used.
- ✧ In constrained devices such as smart cards, cellular phones and PDAs, it is hard to store, communicate keys or handle operations involving large integers

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# Matlab examples

## ✧ rsatest.m

★ maple('p := nextprime(1897345789)')

★ maple('q := nextprime(278478934897)')

★ maple('n := p\*q');

★ maple('x := 101');

★ maple('e := nextprime(12345678)')

★ maple('d := e&^(-1) mod ((p-1)\*(q-1))')

★ maple('y := x&^(e) mod n')

★ maple('xp := y&^(d) mod n')

Very likely to be relatively  
prime with  $(p-1)(q-1)$

extended Euclidean algo.

⋮

## Rabin Cryptosystem (1/3)

- ✧ M.O. Rabin, “Digitalized Signatures and Public-key Functions As Intractable As Factorization”, Tech. Rep. LCS/TR212, MIT, 1979
- ✧ Choose two large prime numbers:  $p, q$  (keep them secret!!)
- ✧ Calculate the modulus  $n = p \cdot q$  (make it public)
- ✧ **Public Key**  $n$
- ✧ **Private Key**  $p, q$



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## Rabin Cryptosystem (2/3)

- ✧ Alice want to **encrypt** a message  $m$  (with some fixed format) for Bob
- ✧ Alice obtains Bob's authentic public key  $n$
- ✧ Alice represents the message as an integer  $m$  in the interval  $[0, n - 1]$
- ✧ Alice computes the modular square
$$c \equiv m^2 \pmod{n}$$
- ✧ Alice sends the ciphertext  $c$  to Bob
- ✧ Bob **decrypts**  $c$  using his private key  $p$  and  $q$
- ✧ Bob computes the four square roots  $\pm m_1, \pm m_2$  using CRT, one of them satisfying the fixed message format is the recovered message

⋮

## Rabin Cryptosystem (3/3)

- ✧ The range of the Rabin function is not the whole set of  $Z_n^*$  (compare with RSA).
  - ★ The range covers all the quadratic residues. (for a prime modulus, the number of quadratic residues in  $Z_p^*$  is  $(p-1)/2$ ; for a composite integer  $n=p \cdot q$ , the number of quadratic residues in  $Z_n^*$  is  $(p-1)(q-1)/4$ )
  - ★ In order to let the Rabin function have inverse, it is necessary to make the Rabin function a permutation, ie. 1-1 and onto. Therefore, the number of elements in the domain of the Rabin function should also be  $(p-1)(q-1)/4$  for  $n=p \cdot q$ . There are 4 possible numbers with their square equal to  $y$ , and we have to make 3 of them illegal.

# Number of Quadratic Residues

- ✧ For a prime modulus  $p$ : number of  $QR_p$ 's in  $Z_p^*$  is  $(p-1)/2$   
 pf: find a primitive  $g$ , at least  $\{g^2, g^4, \dots, g^{p-1}\}$  are  $QR_p$ 's  
 assume there are  $(p+1)/2$  QRs,  
 since there are exactly two square roots of a QR modulo  $p$   
 there are  $p+1$  square roots for these  $(p+1)/2$  QRs, i.e. there must  
 be at least two pairs of square roots are the same (pigeon-hole),  
 i.e. two out of these  $(p+1)/2$  QRs are the same, contradiction
- ✧ For a composite modulus  $p \cdot q$ : number of  $QR_n$ 's in  $Z_{p \cdot q}^*$  is  $(p-1)(q-1)/4$   
 pf: find a common primitive in  $Z_p^*$  and  $Z_q^*$   $g$ , at least  $\{g^2, g^4, \dots,$   
 $g^{p-1} \dots, g^{q-1} \dots, g^{\lambda(n)}\}$  are  $QR_n$ 's, where  $\lambda(n) = \text{lcm}(p-1, q-1)$  can be  
 as large as  $(p-1)(q-1)/2$ , this set has  $(p-1)(q-1)/4$  distinct elements  
 assume there are  $(p-1)(q-1)/4 + 1$   $QR_n$ 's in  $Z_n^*$ , since there are  
 exactly four square roots of a QR modulo  $p \cdot q$ , these  $QR_n$ 's have  
 $(p-1)(q-1) + 4$  square roots in total, which include repeated  
 elements, therefore, there are at most  $(p-1)(q-1)/4$   $QR_n$ 's in  $Z_n^*$

# Matlab examples

- ✧ `maple('p:= nextprime(189734535789)')`    `% 189734535811 = 4 k + 3`
- ✧ `maple('p mod 4')`
- ✧ `maple('q:= nextprime(27847815934897)')`    `% 27847815934931 = 4 k + 3`
- ✧ `maple('q mod 4')`
- ✧ `maple('n:=p*q');`
- ✧ `maple('x:=070411111422141711030000')`    `% text2int('helloworld')`
- ✧ `maple('c:= x&^2 mod n')`


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- ✧ `maple('c1:= c mod p')`
  - ✧ `maple('r1:= c1&^((p+1)/4) mod p')`    `% maple('r1&^2 mod p')`

- ✧ `maple('c2:= c mod q')`
- ✧ `maple('r2:= c2&^((q+1)/4) mod q')`    `% maple('r2&^2 mod q')`

- ✧ `maple('m1:= chrem([r1, r2], [p, q])')`    `% 3704440302544264662351219`
- ✧ `maple('m2:= chrem([-r1, r2], [p, q])')`    `% 70411111422141711030000`
- ✧ `maple('m3:= chrem([r1, -r2], [p, q])')`    `% 5213281318342160554284041`
- ✧ `maple('m4:= chrem([-r1, -r2], [p, q])')`    `% 1579252127220037602962822`

⋮

# Security of the RSA Function

- ✧ **Break RSA** means ‘inverting RSA function without knowing the trapdoor’  

- ✧ Factor the modulus  $\Rightarrow$  Break RSA
  - ★ If we can factor the modulus, we can break RSA
  - ★ If we can break RSA, we don't know whether we can factor the modulus...**open problem** (with negative evidences)
- ✧ Factor the modulus  $\Leftrightarrow$  Calculate private key  $d$ 
  - ★ If we can factor the modulus, we can calculate the private exponent  $d$  (the trapdoor information).
  - ★ If we have the private exponent  $d$ , we can factor the modulus.

will be illustrated later after factorization

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## Security of Rabin Function

- ✧ Security of Rabin function is *equivalent* to integer factoring
- ✧ inverting ' $y \equiv f(x) \equiv x^2 \pmod{n}$ ' without knowing  $p$  and  $q \Leftrightarrow$  factoring  $n$

★  $\Leftarrow$

- if you can factor  $n = p \cdot q$  in polynomial time
- you can solve  $y \equiv x_1^2 \pmod{p}$  and  $y \equiv x_2^2 \pmod{q}$  easily
- using CRT you can find  $x$  which is  $f^{-1}(y)$

★  $\Rightarrow$

- given a quadratic residue  $y$  if you can find the four square roots  $\pm x_1$  and  $\pm x_2$  for  $y$  in polynomial time
- you can factor  $n$  by trying  $\gcd(x_1 - x_2, n)$  and  $\gcd(x_1 + x_2, n)$

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## Basic Factoring Principle (1/4)

- ✧ Let  $n$  be an integer and suppose there exist integers  $x$  and  $y$  with  $x^2 \equiv y^2 \pmod{n}$ , but  $x \not\equiv \pm y \pmod{n}$ . Then ①  $n$  is composite, ② both  $\gcd(x-y, n)$  and  $\gcd(x+y, n)$  are nontrivial factors of  $n$ .

Proof:

let  $d = \gcd(x-y, n)$ .

Case 1: assume  $d = n \Rightarrow x \equiv y \pmod{n}$  contradiction

Case 2: assume  $d$  is 1 (the trivial factor)

$$x^2 \equiv y^2 \pmod{n} \Rightarrow x^2 - y^2 = (x-y)(x+y) = k \cdot n$$

$$d=1 \text{ means } \gcd(x-y, n)=1 \Rightarrow$$

$$n \mid x+y \Rightarrow x \equiv -y \pmod{n} \text{ contradiction}$$

Case 1 and 2 implies that  $1 < d < n$

i.e.  $d$  must be a nontrivial factor of  $n$

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## Basic Factoring Principle (2/4)

- ✧  $x^2 \equiv y^2 \pmod{p}$  implies  $x \equiv \pm y \pmod{p}$  since  $p \mid (x+y)(x-y)$   
implies  $p \mid (x+y)$  or  $p \mid (x-y)$ ,  
i.e.  $x \equiv -y \pmod{p}$  or  $x \equiv y \pmod{p}$
- ✧  $x^2 \equiv y^2 \pmod{n}$   
 $pq \mid (x+y)(x-y)$  implies the following 4 possibilities
  1.  $pq \mid (x+y)$  i.e.  $x \equiv -y \pmod{n}$
  2.  $pq \mid (x-y)$  i.e.  $x \equiv y \pmod{n}$
  3.  $p \mid (x+y)$  and  $q \mid (x-y)$  i.e.  $x \equiv -y \pmod{p}$  and  $x \equiv y \pmod{q}$
  4.  $q \mid (x+y)$  and  $p \mid (x-y)$  i.e.  $x \equiv -y \pmod{q}$  and  $x \equiv y \pmod{p}$
  - ★ Case 1 and case 2 are useless for factorization
  - ★ Case 3 leads to the factorization of  $n$ , i.e.  $\gcd(x+y, n) = p$  and  $\gcd(x-y, n) = q$
  - ★ Case 4 leads to the factorization of  $n$ , i.e.  $\gcd(x+y, n) = q$  and  $\gcd(x-y, n) = p$



⋮

## Basic Factoring Principle (3/4)

- ✧ This principle is used in *almost all factoring algorithms*.
- ✧ Why is it working?
  - ★ take  $n = p \cdot q$  ( $p$  and  $q$  are prime) for example
  - ★  $x^2 \equiv y^2 \pmod{n}$  implies  $x^2 \equiv y^2 \pmod{p}$  and  $x^2 \equiv y^2 \pmod{q}$
  - ★ we know ' $x \equiv \pm y \pmod{p}$  are the only solution to  $x^2 \equiv y^2 \pmod{p}$ ' and ' $x \equiv \pm y \pmod{q}$  are the only solution to  $x^2 \equiv y^2 \pmod{q}$ '
  - ★ therefore, from CRT we know  $x^2 \equiv y^2 \pmod{n}$  has four solutions,

✧ $x \equiv y \pmod{p}$ and $x \equiv y \pmod{q}$	$\Rightarrow$	$x \equiv y \pmod{n}$
✧ $x \equiv -y \pmod{p}$ and $x \equiv -y \pmod{q}$	$\Rightarrow$	$x \equiv -y \pmod{n}$
✧ $x \equiv y \pmod{p}$ and $x \equiv -y \pmod{q}$	$\Rightarrow$	$x \equiv z \pmod{n}$
✧ $x \equiv -y \pmod{p}$ and $x \equiv y \pmod{q}$	$\Rightarrow$	$x \equiv -z \pmod{n}$
  - ★ as long as we have  $z$  (where  $z \not\equiv \pm y$ ), we can factor  $n$  into  $\gcd(y-z, n)$  and  $\gcd(y+z, n)$

⋮

## Basic Factoring Principle (4/4)

- ✧ Ex: Consider the roots of 4 (mod 35), i.e.  
solving  $x$  from  $x^2 \equiv 4 \pmod{35}$ 
  - ★ try to take square root of both sides,  
we find  $x = \pm 2$  or  $\pm 12$
  - ★ i.e.  $12^2 \equiv 2^2 \pmod{35}$ , but  $12 \not\equiv \pm 2 \pmod{35}$
  - ★ therefore 35 is composite
  - ★  $\gcd(12-2, 35) = 5$  is a nontrivial factor of 35
  - ★  $\gcd(12+2, 35) = 7$  is a nontrivial factor of 35

# Miller-Rabin Test

Is  $n$  a composite number?

- ✧ Let  $n > 1$  be odd, write  $n-1 = 2^k \cdot m$  with  $m$  being odd
- ✧ Choose a random integer  $a$  with  $1 < a < n-1$
- ✧ Compute  $b_0 \equiv a^m \pmod{n}$ 
  - if  $b_0 \equiv \pm 1 \pmod{n}$ , stop,  $n$  is probably prime
- ✧ Compute  $b_1 \equiv b_0^2 \pmod{n}$ 
  - if  $b_1 \equiv 1 \pmod{n}$ , stop,  $\gcd(b_0-1, n)$  is a factor of  $n$
  - if  $b_1 \equiv -1 \pmod{n}$ , stop,  $n$  is probably prime
- ✧ Compute  $b_2 \equiv b_1^2 \pmod{n}$ 
  - .....
- ✧ Compute  $b_{k-1} \equiv b_{k-2}^2 \pmod{n}$ 
  - if  $b_{k-1} \equiv 1 \pmod{n}$ , stop,  $\gcd(b_{k-2}-1, n)$  is a factor of  $n$
  - if  $b_{k-1} \equiv -1 \pmod{n}$ , stop,  $n$  is probably prime
- ✧ Compute  $b_k \equiv b_{k-1}^2 \pmod{n}$ 
  - if  $b_k \equiv 1 \pmod{n}$ , stop,  $\gcd(b_{k-1}-1, n)$  is a factor of  $n$
  - otherwise  $n$  is composite (Fermat Little Thm,  $b_k \equiv a^{n-1} \pmod{n}$ )

$n$  will pass Fermat test  
 $n$  is called pseudo prime  
with respect to base  $a$

...

⋮

# Miller-Rabin Test Illustrated

$$b_0 \equiv a^m \pmod{n}$$

$$b_1 \equiv a^{2 \cdot m} \pmod{n}$$

...

$$b_k \equiv a^{2^k \cdot m} \equiv a^{n-1} \pmod{n}$$

$n-1 = 2^k \cdot m$

**Consider 4 possible cases:**

①  $b_0 \equiv \pm 1 \pmod{n}$

all  $b_i \equiv 1 \pmod{n}$ ,  $i=1,2,\dots,k$

there is no chance to use

Basic Factoring Principle, **abort**

② ① is not true,

$$b_{i-1} \not\equiv \pm 1 \pmod{n} \text{ and}$$

$$b_i \equiv 1 \pmod{n}, i=1,2,\dots,k$$

Basic Factoring Principle applied, **composite**

③ ① and ② are not true,

$$b_i \equiv -1 \pmod{n}, i=1,2,\dots,k$$

all subsequent  $b_j \equiv 1 \pmod{n}$ ,

there is no chance to use

Basic Factoring Principle, **abort**

④ ①, ②, and ③ are not true,

$$b_k \equiv a^{n-1} \pmod{n}$$

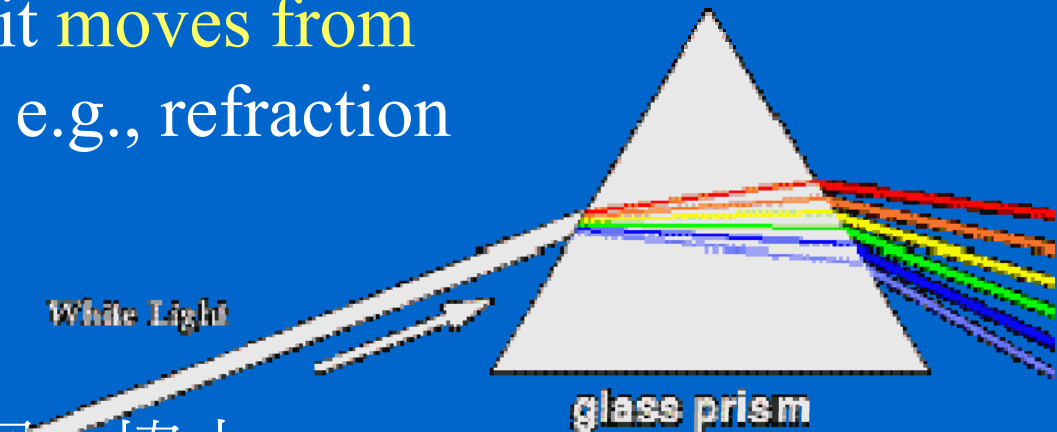
if  $n$  is prime,  $b_k \equiv 1 \pmod{n}$

i.e. if  $b_k \not\equiv 1 \pmod{n}$   $n$  is **composite**

(  $b_k \equiv 1 \pmod{n}$  is covered by ② )

# Uncoordinated Behaviors

- ✧ Light changes speed as it moves from one medium to another, e.g., refraction caused by a prism



- ✧ 趣味競賽: 兩人三腳, 同心協力, ...
- ✧ Squaring a number modulo different prime numbers

	$2^2$	$2^3$	$2^4$	$2^5$	$2^6$	$2^7$	$2^8$
mod 11	4	8	5	10	9	7	3
mod 13	4	8	3	6	12	11	9

# When/How does Basic Factoring Principle work in M-R test?

✧ When:

★ explicitly:  $b_{i-1} \not\equiv \pm 1 \pmod{n}$  and  $b_i \equiv b_{i-1}^2 \equiv 1 \pmod{n}$

If  $n$  is not prime, not often when  $b^k \equiv a^{n-1} \pmod{n}$  but often when  $b^k \equiv a^{r\phi(n)} \pmod{n}$  in universal exponent factoring

✧ How:

★ implicitly: let  $p \mid n$  and  $q \mid n$  ( $p, q$  be two factors of  $n$ )

$b_{i-1}^2 \equiv 1 \pmod{p}$  and  $b_{i-1}^2 \equiv 1 \pmod{q}$

but either  $b_{i-1} \not\equiv 1 \pmod{p}$  or  $b_{i-1} \not\equiv 1 \pmod{q}$

★ catching the moment that  $b_0, b_1, \dots$  behave differently while taking square in  $(\text{mod } p)$  component and  $(\text{mod } q)$  components

# Miller-Rabin Test Example

✧ Ex.  $n = 561$

A Carmichael number: pass the Fermat test for all bases

$$n-1 = 560 = 16 \cdot 35 = 2^4 \cdot 35$$

let  $a = 2$

$$b_0 \equiv 2^{35} \equiv 263 \pmod{561}$$

$$b_1 \equiv b_0^2 \equiv 2^{2 \cdot 35} \equiv 166 \pmod{561}$$

$$b_2 \equiv b_1^2 \equiv 2^{2^2 \cdot 35} \equiv 67 \pmod{561}$$

$$b_3 \equiv b_2^2 \equiv 2^{2^3 \cdot 35} \equiv 1 \pmod{561}$$

561 is composite ( $3 \cdot 11 \cdot 17$ ),

$\gcd(b_2-1, 561) = 33$  is a factor

Note:  $3-1=2$ ,  $11-1=2 \cdot 5$ ,  $17-1=2^4$

$$\phi(561) = 561(1-1/3)(1-1/11)(1-1/17) = 2 \cdot 10 \cdot 16$$

$\phi(561) \mid n-1$  for this special case

mod	3	11	17
	2	10	8
	1	1	13
	1	1	16
	1	1	1

$\text{ord}_{17}(2) = 2^3$

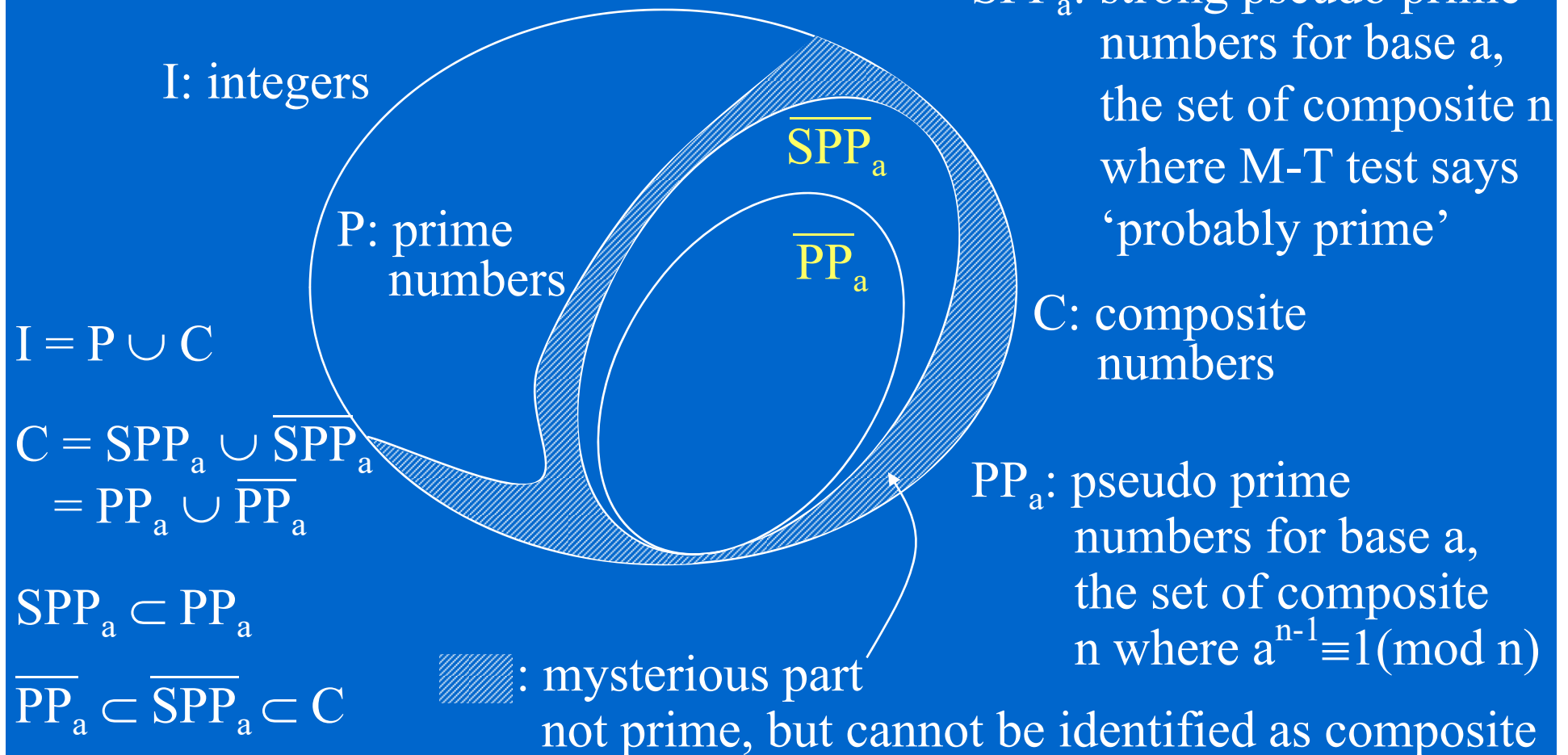
## Pseudo Prime and Strong Pseudo Prime

- ✧ If  $n$  is not a prime but satisfies  $a^{n-1} \equiv 1 \pmod{n}$  we say that  $n$  is a pseudo prime number for base  $a$ .
  - ★ Ex.  $2^{560} \equiv 1 \pmod{561}$
- ✧ If  $n$  is not a prime but passes the Miller-Rabin test with base  $a$  (without being identified as a composite), we say that  $n$  is a strong pseudo prime number for base  $a$ .
- ✧ Up to  $10^{10}$ , there are 455052511 primes, there are 14884 pseudo prime numbers for the base 2, and 3291 strong pseudo prime numbers for the base 2



# Fermat and Miller-Rabin Test

- Both of these two tests are for identifying subsets of composite numbers



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# Composite Witness

- ✧ Note that the M-R test and probably together with the Lucas test leave the strong pseudo prime number *an extremely small set*.
- ✧ In other words, these tests are very close to a *real 'primality test'* between prime numbers and composite numbers.
- ✧ If you have an RSA modulus  $n=p \cdot q$ , you certainly can test it and find out that it is actually a composite number.
- ✧ However, these tests *do not necessarily give you the factors of  $n$*  in order to tell you that  $n$  is a composite number. The factors of  $n$ , i.e.  $p$  or  $q$ , are certainly a kind of witness about the fact that  $n$  is composite.
- ✧ However, there are other kind of witness that  $n$  is composite, e.g., “ $2^{n-1} \pmod n$  does not equal to 1” is also a witness that  $n$  is composite.
- ✧ A composite number will be factored out by the M-R test only if *it is a pseudo prime but it is not a strong pseudo prime number*.

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## Matlab Example

✧ `primetest(n)`

- ★ Miller-Rabin test for 30 randomly chosen base  $a$
- ★ output 0 if  $n$  is composite
- ★ output 1 if  $n$  is prime
- ★ Matlab program can not be used for large  $n$
- ★ use Maple `isprime(n)`, one strong pseudo-primality test and one Lucas test

✧ `primetest(2563)`

`ans = 0`

✧ `factor(2563)`

`ans = 11 233`

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# Questions

✧ What is the probability that Miller-Rabin test fails???

★ If  $n$  is a prime number, it will not be recognized as a composite number

★ If  $n = p \cdot q$ , but

$b_k \equiv a^{n-1} \equiv 1 \pmod{n}$  meets Fermat test (pseudo prime number)

$0 < i \leq k$   $b_i \equiv 1 \pmod{n}$  and  $b_{i-1} \equiv -1 \pmod{n}$

meets Miller-Rabin test (strong pseudo prime number)

$\left[ \begin{array}{l} \text{or } b_i \equiv 1 \pmod{n} \equiv 1 \pmod{p} \equiv 1 \pmod{q} \\ b_{i-1} \equiv -1 \pmod{n} \equiv -1 \pmod{p} \equiv -1 \pmod{q} \end{array} \right]$

★ Note:  $a^{pq-1} \equiv 1 \pmod{n}$

$a^{(p-1)(q-1)} \equiv 1 \pmod{n}$

$a^{\text{lcm}(p-1, q-1)} \equiv 1 \pmod{n}$

⋮

## Note on Primality Testing

- ✧ Primality testing is *different* from factoring
  - ★ Kind of interesting that we can tell something is composite without being able to actually factor it
- ✧ Recent result (2002) from IIT trio (Agrawal, Kayal, and Saxena)
  - ★ Recently it was shown that deterministic primality testing could be done in polynomial time
    - ✧ Complexity was like  $O(n^{12})$ , though it's been slightly reduced since then
  - ★ Does this mean that RSA was broken?
- ✧ Randomized algorithms like Rabin-Miller are far more efficient than the IIT algorithm, so we'll keep using those

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## Finding a Random Prime

- ✧ Find a prime of around 100 digits for cryptographic usage
- ✧ Prime number theorem ( $\pi(x) \approx x/\ln(x)$ ) asserts that the density of primes around  $x$  is approximately  $1/\ln(x)$
- ✧  $x = 10^{100}$ ,  $1/\ln(10^{100}) = 1/230$   
if we skip even numbers, the density is about  $1/115$
- ✧ pick a random starting point, throw out multiples of 2, 3, 5, 7, and use Miller-Rabin test to eliminate most of the composites.
- ✧ `maple('a:=nextprime(189734535789)')`

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# Factoring

- ✧ General number field sieve (GNFS): fastest
$$e^{(1.923+O(1))(\ln(n))^{1/3} (\ln(\ln(n)))^{2/3}}$$
- ✧ Quadratic sieve (QS)
- ✧ Elliptic curve method (ECM), Lenstra (1985)
- ✧ Pollard's Monte Carlo algorithm
- ✧ Continued fraction algorithm
- ✧ Trial division, Fermat factorization
- ✧ Pollard's p-1 factoring (1974), Williams's p+1 factoring (1982)
- ✧ Universal exponent factorization, exponent factorization

⋮

## Simple Factoring Methods

### ✧ Trial division:

- ★ dividing an integer  $n$  by all primes  $p \leq \sqrt{n}$  ... too slow

### ✧ Fermat factorization:

- ★ ex.  $n = 295927$  calculate  $n+1^2, n+2^2, n+3^2 \dots$  until finding a square, i.e.  $x^2 = n + y^2$ , therefore,  
 $n = (x+y)(x-y) \dots$  if  $n = p \cdot q$ , it takes on average  $|p-q|/2$  steps ... too slow

$$\text{assume } p > q, n + y^2 = p \cdot q + ((p-q)/2)^2 = (p^2 + 2pq + q^2)/4 = ((p+q)/2)^2$$

- ★ in RSA or Rabin, avoid  $p, q$  with the same bit length

### ✧ By-product of Miller-Rabin primality test:

- ★ if  $n$  is a pseudoprime and not a strong pseudoprime, Miller-Rabin test can factor it. about  $10^{-6}$  chance



# Universal Exponent Factorization

- ★ if we have an exponent  $r$ , s.t.  $a^r \equiv 1 \pmod{n}$  for all  $a$   $\gcd(a,n)=1$
- ★ write  $r = 2^k \cdot m$  with  $m$  odd
- ★ choose a random  $a$ ,  $1 < a < n-1$
- ★ if  $\gcd(a, n) \neq 1$ , we have a factor
- ★ else
  - ✧ let  $b_0 \equiv a^m \pmod{n}$ , if  $b_0 \equiv \pm 1$  stop, choose another  $a$
  - ✧ compute  $b_{u+1} \equiv b_u^2 \pmod{n}$  for  $0 \leq u \leq k-1$ ,
  - ✧ if  $b_{u+1} \equiv -1$ , stop, choose another  $a$
  - ✧ if  $b_{u+1} \equiv 1$  then  $\gcd(b_u-1, n)$  is a factor (basic factoring principle)
- ★ Question: How do we find a universal exponent  $r$  ??? Hard
- ★ Note: if know  $\phi(n)$ , then any  $r = k \phi(n)$  will do, however, knowing factors of  $n$  is a prerequisite of know  $\phi(n)$
- ★ Note: For RSA, if the private exponent  $d$  is recovered, then  $\phi(n) \mid d \cdot e - 1$ ,  $d \cdot e - 1$  is a universal exponent

$r$  must be even since we can take  $a=-1$   $(-1)^r \equiv 1 \pmod{n}$  requires  $r$  being even

$a \equiv \pm 1$  do not work

# Universal Exponent Factorization

✧ Ex.

$n=211463707796206571$ ;  $e=9007$ ;  $d=116402471153538991$

$r=e*d-1=1048437057679925691936$ ;  $\text{powermod}(2,r,n)=1$

let  $r=2^5*r_1$ ;  $r_1=32763658052497677873$

$\text{powermod}(2,r_1,n)=187568564780117371 \neq \pm 1$

$\text{powermod}(2,2*r_1,n)=113493629663725812 \neq \pm 1$

$\text{powermod}(2,4*r_1,n)=1 \Rightarrow \text{gcd}(2*r_1-1,n)=885320963$  is a factor

✧ Note:  $n = 211463707796206571 = 238855417 \cdot 885320963$

$$238855417 - 1 = 2^3 \cdot 3 \cdot 73 \cdot 136333 = 2^{k_1} \cdot p_1$$

$$885320963 - 1 = 2 \cdot 2069 \cdot 213949 = 2^{k_2} \cdot q_1$$

This method works only when  $k_1$  does not equal  $k_2$ .

✧ Exponent factorization even if  $r$  is valid for one  $a$ , you can still try the above procedure

## p-1 factoring (1/2)

✧ If one of the prime factors of  $n$  has a special property, it is sometimes easier to factor  $n$ .

★ ex. if  $p-1$  has **only small prime factors**

★ Pollard 1974

✧ Algorithm

★ Choose an integer  $a > 1$  (often  $a = 2$  is used)

★ Choose a bound  $B$

have a chance of being larger  
than all the prime factors of  $p-1$

★ Compute  $b \equiv a^{B!} \pmod{n}$  as follows:

✧  $b_1 \equiv a \pmod{n}$  and  $b_j \equiv b_{j-1}^j \pmod{n}$  then  $b \equiv b_B \pmod{n}$

★ Let  $d = \gcd(b-1, n)$ , if  $1 < d < n$ , we have found a factor of  $n$

If  $B$  is larger than all the prime factors of  $p-1$  <sup>(very likely)</sup>  $\Rightarrow p-1 | B!$   
therefore  $b \equiv a^{B!} \equiv (a^{p-1})^k \equiv 1 \pmod{p}$ , i.e.  $p | b-1$

Fermat Little's Thm

If  $n = p \cdot q$ ,  $p-1$  and  $q-1$  both have small factors that are less than  $B$ , then  $\gcd(b-1, n) = n$ , (useless) however,  $b \equiv a^{B!} \equiv 1 \pmod{n}$  and we can use the Universal exponent method

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## p-1 factoring (2/2)

- ✧ How do we choose B?
  - ★ small B will be faster but fails often
  - ★ large B will be very slow
- ✧ In RSA, Rabin, Paillier, or other systems based on integer factoring, usually  $n=p \cdot q$ , we should ensure that  $p-1$  has at least one large prime factor.
  - ★ How do we do this?
    - ex. we want to choose p around 100 digits
      - choose a prime number  $p_0$  around 40 digits
      - look at integer  $k \cdot p_0 + 1$  with k around 60 digits and do primality test
- ✧ Generalization:
  - Elliptic curve factorization method, Lenstra, 1985
- ✧ Best records: p-1: 34 digits (113 bits), ECM: 47 digits (143 bits)

# Quadratic Sieve (1/4)

✧ Example: factor  $n = 3837523$

- ★ form the following relations

$$9398^2 \equiv 5^5 \cdot 19 \pmod{3837523}$$

$$19095^2 \equiv 2^2 \cdot 5 \cdot 11 \cdot 13 \cdot 19 \pmod{3837523}$$

$$1964^2 \equiv 3^2 \cdot 13^3 \pmod{3837523}$$

$$17078^2 \equiv 2^6 \cdot 3^2 \cdot 11 \pmod{3837523}$$

individual factors are small

- ★ multiply the above relations

$$(9398 \cdot 19095 \cdot 1964 \cdot 17078)^2 \equiv (2^4 \cdot 3^2 \cdot 5^3 \cdot 11 \cdot 13^2 \cdot 19)^2$$

$$2230387^2 \equiv 2586705^2$$

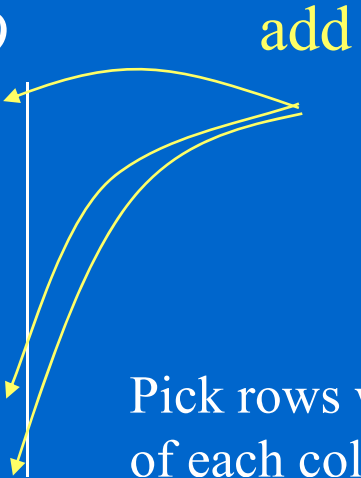
make the number  
of each factors even

hoping they are not equal

- ★ since  $2230387 \not\equiv \pm 2586705 \pmod{3837523}$
- ★  $\gcd(2230387 - 2586705, 3837523) = 1093$  is one factor of  $n$
- ★ the other factor is  $3837523/1093 = 3511$

# Quadratic Sieve (2/4)

- ✧ Quadratic?  $x^2 \equiv$  product of small primes
- ✧ How do we construct these useful relations systematically?
- ✧ Properties of these relations:
  - ★ product of small primes called factor base
  - ★ make all prime factors appear even times
- ✧ Put these relations in a matrix

	2	3	5	7	11	13	17	19	
9398	0	0	5	0	0	0	0	1	 <p>add</p>
19095	2	0	1	0	1	1	0	1	
1964	0	2	0	0	0	3	0	0	
17078	6	2	0	0	1	0	0	0	
8077	1	0	0	0	0	0	0	1	
3397	5	0	1	0	0	2	0	0	
14262	0	0	2	2	0	1	0	0	

Pick rows where sums of each column are even

⋮

## Quadratic Sieve (3/4)

- ✧ Look for linear dependencies mod 2 among the rows
  - ★  $1\text{st} + 5\text{th} + 6\text{th} = (6, 0, 6, 0, 0, 2, 0, 2) \equiv \mathbf{0} \pmod{2}$
  - ★  $1\text{st} + 2\text{nd} + 3\text{rd} + 4\text{th} = (8, 4, 6, 0, 2, 4, 0, 2) \equiv \mathbf{0} \pmod{2}$
  - ★  $3\text{rd} + 7\text{th} = (0, 2, 2, 2, 0, 4, 0, 0) \equiv \mathbf{0} \pmod{2}$
- ✧ When we have such a dependency, the product of the numbers yields a square.
  - ★  $(9398 \cdot 8077 \cdot 3397)^2 \equiv 2^6 \cdot 5^6 \cdot 13^2 \cdot 19^2 \equiv (2^3 \cdot 5^3 \cdot 13 \cdot 19)^2$
  - ★  $(9398 \cdot 19095 \cdot 1964 \cdot 17078)^2 \equiv (2^3 \cdot 3^2 \cdot 5^3 \cdot 11 \cdot 13^2 \cdot 19)^2$
  - ★  $(1964 \cdot 14262)^2 \equiv (3 \cdot 5 \cdot 7 \cdot 13^2)^2$
- ✧ Looking for those  $x^2 \equiv y^2$  but  $x \not\equiv y$

# Quadratic Sieve (4/4)

✧ How do we find numbers  $x$  s.t.

$$x^2 \equiv \text{product of small primes?}$$

★ produce squares that are slightly larger than a multiple of  $n$

ex.  $\lfloor \sqrt{i \cdot n} + j \rfloor$  for small  $j$

the square is approximately  $i \cdot n + 2j\sqrt{i \cdot n} + j^2$

which is approximately  $2j\sqrt{i \cdot n} + j^2 \pmod{n}$

$$8077 = \lfloor \sqrt{17n} + 1 \rfloor$$

$$9398 = \lfloor \sqrt{23n} + 4 \rfloor$$

Probably because this number is small, the factors of it should not be too large. However, there are a lot of exceptions. So it takes time. Also, there are a lot of other methods to generate qualified  $x$  values.



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# The RSA Challenge

- ✧ 1977 Rivest, Shamir, Adleman US\$100
  - ★ given RSA modulus  $n$ , public exponent  $e$ , ciphertext  $c$   
 $n = 114381625757888867669235779976146612010218296721242362$   
 $562561842935706935245733897830597123563958705058989075$   
 $147599290026879543541$   
 $e = 9007$   
 $c = 968696137546220614771409222543558829057599911245743198$   
 $746951209308162982251457083569314766228839896280133919$   
 $90551829945157815154$
  - ★ Find the plaintext message
- ✧ 1994 Atkins, Lenstra, and Leyland
  - ★ use 524339 small primes (less than 16333610)
  - ★ plus up to two large primes ( $16333610 \sim 2^{30}$ )
  - ★ 1600 computers, 600 people, 7 months
  - ★ found 569466 ' $x^2 \equiv \text{small products}$ ' equations, out of which only 205 linear dependencies were found

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# Factorization Records

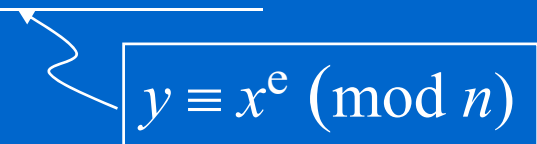
Year	Number of digits
1964	20
1974	45
1984	71
1994	129 (429 bits)
1999	155 (515 bits)
2003	174 (576 bits)

Next challenge  
RSA-640

31074182404900437213507500358885679300373460228427  
27545720161948823206440518081504556346829671723286  
78243791627283803341547107310850191954852900733772  
4822783525742386454014691736602477652346609

⋮

# Security of the RSA Function

- ✧ **Break RSA** means ‘inverting RSA function without knowing the trapdoor’  

$$y \equiv x^e \pmod{n}$$
- ✧ Factor the modulus  $\Rightarrow$  Break RSA
  - ★ If we can factor the modulus, we can break RSA
  - ★ If we can break RSA, we don't know whether we can factor the modulus...**open problem** (with negative evidences)
- ✧ Factor the modulus  $\Leftrightarrow$  Calculate private key  $d$ 
  - ★ If we can factor the modulus, we can calculate the private exponent  $d$  (the trapdoor information).
  - ★ If we have the private exponent  $d$ , we can factor the modulus.

⋮

## Factoring reduces to RSA key recovery

- ✧ DeLaurentis, “A Further Weakness in the Common Modulus Protocol for the RSA Cryptosystem,”  
Cryptologia, Vol. 8, pp. 253-259, 1984
  - ★ If you have a pair of RSA public-key/private-key, you can factor  $n=p \cdot q$  with a probabilistic algorithm.
  - ★ An example of the Universal Exponent Factorization method
- ✧ Basic idea: find a number  $b$ ,  $0 < b < n$  s.t.  
 $b^2 \equiv 1 \pmod{n}$  and  $b \not\equiv \pm 1 \pmod{n}$  i.e.  $1 < b < n-1$ 
  - ★ Note: There are four roots to the equation  $b^2 \equiv 1 \pmod{n}$ ,  $\pm 1$  are two of them, all satisfy  $(b+1)(b-1) = k \cdot n = k \cdot p \cdot q$ , since  $0 < b-1 < b+1 < n$ , we have either  $(p \mid b-1 \text{ and } q \mid b+1)$  or  $(q \mid b-1 \text{ and } p \mid b+1)$ , therefore, one of the factor can be found by  $\gcd(b-1, n)$  and the other by  $n/\gcd(b-1, n)$  or  $\gcd(b+1, n)$

⋮

# Factoring reduces to RSA key recovery

- ✧ **Algorithm** to find  $b$ :  $\Pr\{\text{success per repetition}\} = 1/2$ 
  1. Randomly choose  $a$ ,  $1 < a < n-1$ , such that  $\gcd(a, n) = 1$
  2. Find minimal  $j$ ,  $a^{2^j h} \equiv 1 \pmod{n}$  (where  $h$  satisfies  $e \cdot d - 1 = 2^t h$ )
  3.  $b = a^{2^{j-1} h}$ , if  $b \not\equiv \pm 1 \pmod{n}$ , then  $\gcd(b-1, n)$  is the result, else repeat 1-3
- ✧ Note: If we randomly choose  $b \in \mathbb{Z}_n^*$  and find out that  $b^2 \equiv 1 \pmod{n}$ , the probability that  $b=1$ ,  $b=-1$ ,  $b=c(\neq \pm 1)$ , or  $b=-c(\neq \pm 1)$  would be equal;  $\Pr\{\text{success}\} = \Pr\{a^{2^{j-1} h} \not\equiv \pm 1\} = 1/2$
- ✧ Ex:  $p=131$ ,  $q=199$ ,  $n=p \cdot q=26069$ ,  $e=7$ ,  $d=22063$   
 $\phi(n)=(p-1)(q-1)=25740=2^2 \cdot 6435 \mid ed-1=154440=2^3 \cdot 19305$ ,  
choose  $a=3$ , try  $j=1$  ( $3^{2^1 \cdot 19305} \equiv 1$ ),  $b = a^{2^{j-1} h} = 3^{19305} = 5372 (\neq \pm 1)$   
 $p = \gcd(b-1, n) = \gcd(5371, 26069) = 131$ ,  $q = n/p = 199$

## Factorizing reduces to RSA key recovery

- ✧ The above result says that “if you can recover a pair of RSA keys, you can factorizing the corresponding  $n=p \cdot q$ ” i.e. “once a private key  $d$  is compromised, you need to choose a new pair of  $(n, e)$  instead of changing  $e$  only”
- ✧ The above result suggests that a scheme using  $(n, e_1), (n, e_2), \dots (n, e_k)$  with a common  $n$  for each  $k$  participants without giving each one the value of  $p, q$  is insecure. You should not use the same  $n$  as some others even though you are not explicitly told the value of  $p$  and  $q$ .

## Factorizing reduces to RSA key recovery

- ✧ The above result also suggests that if you can recover arbitrary RSA key pair, you can solve the problem of factoring  $n$ . Whenever you get an  $n$ , you can form an RSA system with some  $e$  (assuming  $\gcd(e, \phi(n))=1$ ), then use your method to solve the private exponent  $d$  without knowing  $p$  and  $q$ , after that you can factor  $n$ .
- ✧ Although factoring is believed to be hard, and factoring breaks RSA, breaking RSA does not simplify factoring. Trivial non-factoring methods of breaking RSA could therefore exist. (What does it mean by breaking RSA? plaintext recovery? key recovery?...)

different things

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# Deterministic Encryption

- ✧ RSA Cryptosystem is a deterministic encryption scheme, i.e. a plaintext message is encrypted to a fixed ciphertext message
- ✧ Suffers from chosen plaintext attack
  - ★ an attacker compiles a large codebook which contains the ciphertexts corresponding to all possible plaintext messages
  - ★ in a two-message scheme, the attacker can always distinguish which plaintext was transmitted by observing the ciphertext (does not satisfy the Semantic Security Notation)
- ✧ Add randomness through padding



⋮

## RSA PKCS #1 v1.5 padding

✧ Ex.  $k=128$  bytes (1024 bits) PKCS#1 v1.5 RSA

★ plaintext message  $M$  (at most  $128-3-8=117$  bytes)

★ pseudorandom nonzero string  $PS$  (at least 8 bytes)

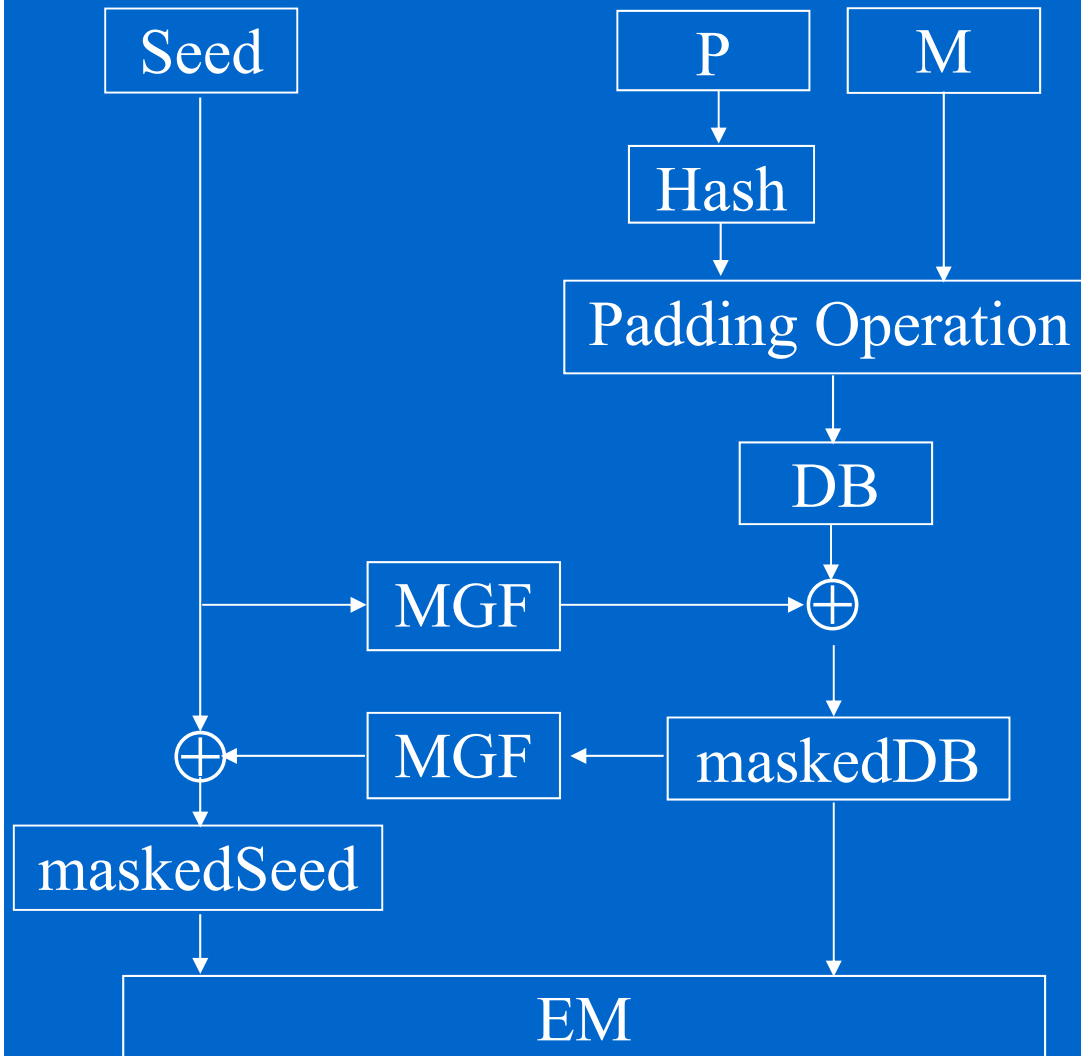
★ message to be encrypted  $m = 00||02||PS||00||M$

★ encryption:  $c \equiv m^e \pmod{n}$

★ decryption:  $m \equiv c^d \pmod{n}$

✧  $c$  is now random corresponding to a fixed  $m$ , however, this only adds difficulties to the compilation of ciphertexts (a factor of  $2^{64}$  times if  $PS$  is 8 bytes)

# PKCS #1 v2 padding - OAEP



M: message (emLen-1-2hLen bytes)

P: encoding parameters,  
an octet string

MGF: mask generation function

Hash: selected hash function  
(hLen is the output bytes)

DB=Hash(P)||PS||01||M

PS is length emLen-  
||M||-2hLen-1 null bytes

Seed: hLen random bytes

dbMask: MGF(seed, emLen-hLen)

maskedDB = DB  $\oplus$  dbMask

seedMask:

MGF(maskedDB, hLen)

maskedSeed = seed  $\oplus$  seedMask

EM: encoded message (emLen bytes)

EM = maskedSeed||maskedDB

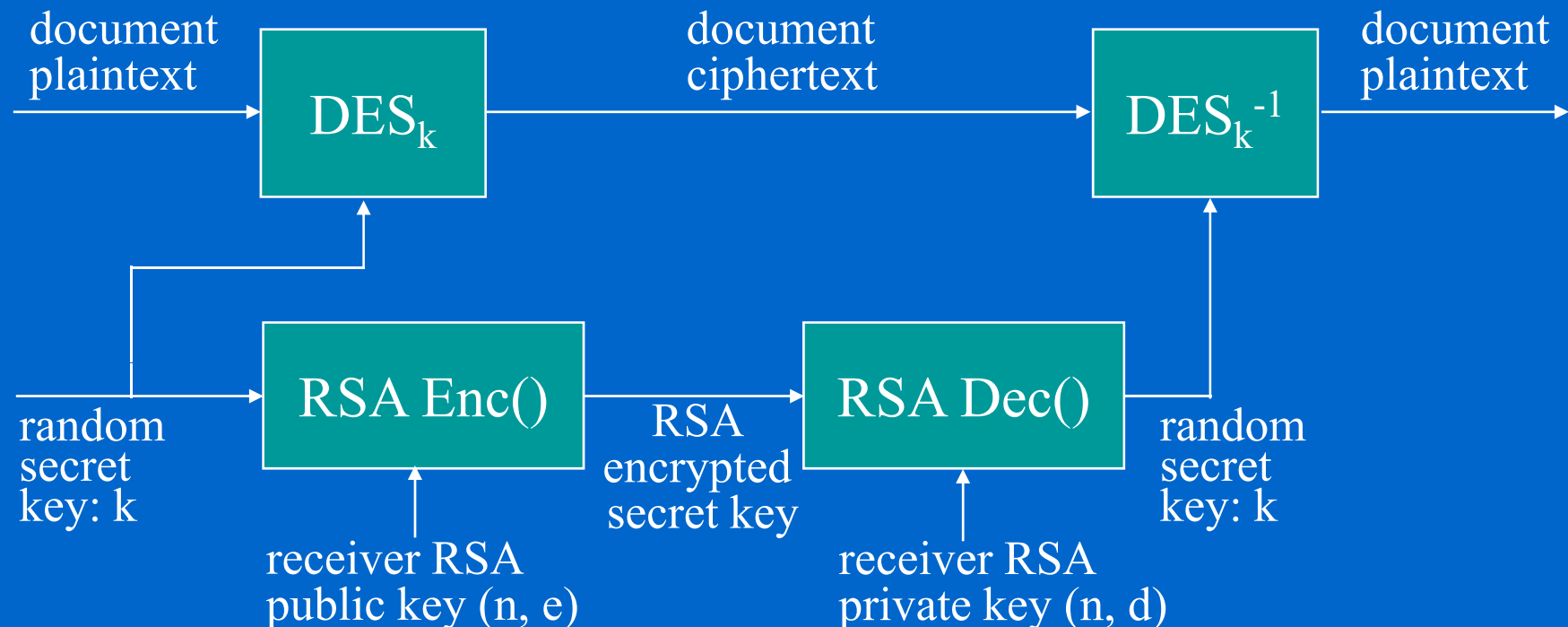
⋮

## PKCS #1 v2 padding - OAEP

- ✧ Optimal Asymmetric Encryption (OAE)
  - ★ M. Bellare, “Optimal Asymmetric Encryption - How to Encrypt with RSA,” Eurocrypt’94
- ✧ Optimal Padding in the sense that
  - ★ RSA-OAEP is semantically secure against adaptive chosen ciphertext attackers in the random oracle model
  - ★ the message size in a k-bit RSA block is as large as possible (make the most advantage of the bandwidth)
- ✧ Following by more efficient padding schemes:
  - ★ OAEP<sup>+</sup>, SAEP<sup>+</sup>, REACT

# Digital Envelop

- ❖ Hybrid system (public key and secret key)
  - ★ computation of RSA is about 1000 times slower than DES
  - ★ smaller exponent is faster (but usually dangerous)



⋮

# RSA Fast Decryption with CRT

✧ Public key (n, e)

$n = p \cdot q$ , p and q are large prime integers  
 $\gcd(e, \phi(n)) = 1$  s.t.  $\exists d, e \cdot d \equiv 1 \pmod{\phi(n)}$   
 $\phi(n) = (p-1)(q-1)$   $3 \leq e \leq n-1$

✧ Private Key (n, d) or

(n, p, q, dp, dq, qInv)

$e \cdot dp \equiv 1 \pmod{p-1}$   
 $e \cdot dq \equiv 1 \pmod{q-1}$   
 $q \cdot qInv \equiv 1 \pmod{p}$

✧ Encryption  $c \equiv m^e \pmod{n}$

✧ Decryption  $m \equiv c^d \pmod{n}$  or

$$m_1 \equiv c^{dp} \pmod{p}$$

$$m_1 \equiv (m^e)^{dp} \equiv m^{e \cdot dp} \equiv m \pmod{p}$$

$$m_2 \equiv c^{dq} \pmod{q}$$

$$m_2 \equiv (m^e)^{dq} \equiv m^{e \cdot dq} \equiv m \pmod{q}$$

$$h \equiv qInv \cdot (m_1 - m_2) \pmod{p}$$

CRT  $\rightarrow m \equiv m_2 + h \cdot q \pmod{n}$

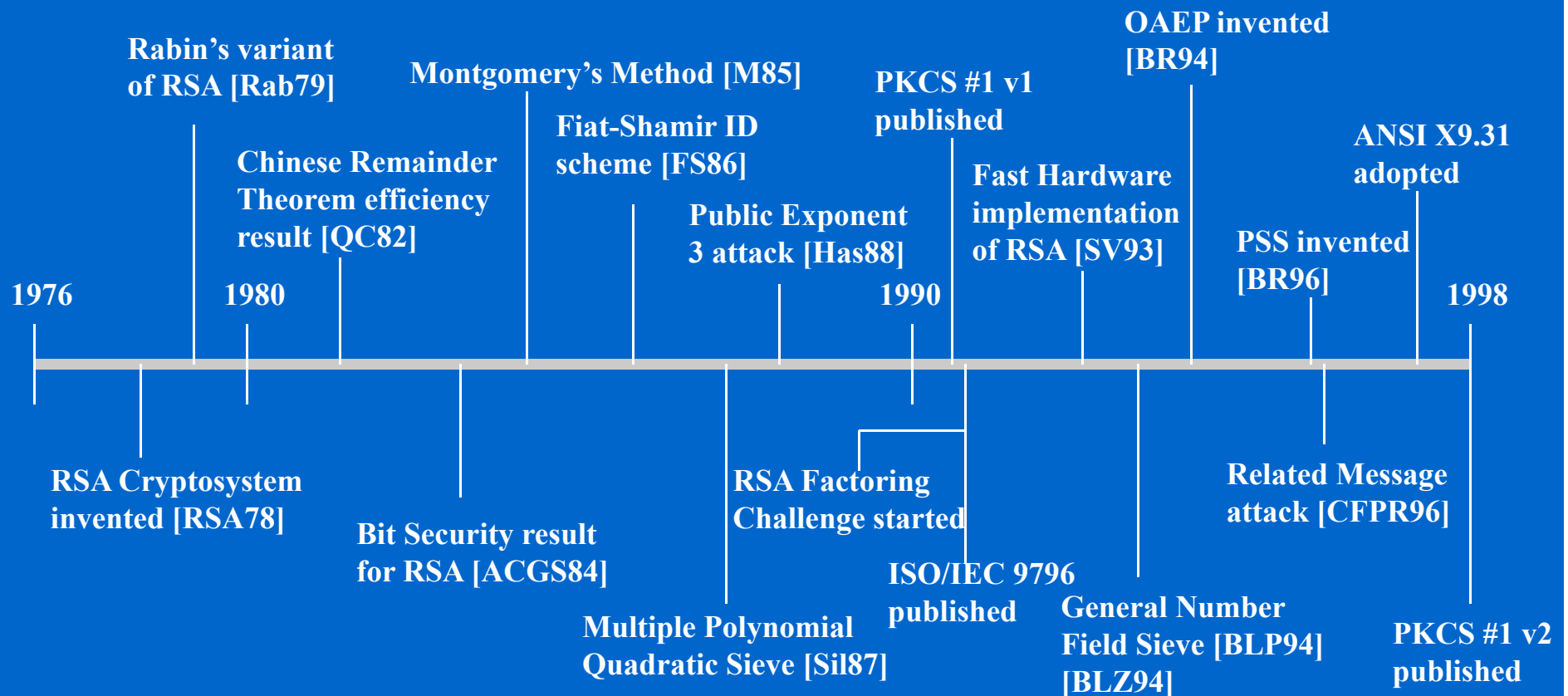
$$m \equiv m_2 \pmod{q} \text{ and } m \equiv m_2 + qInv \cdot (m_1 - m_2) \cdot q \equiv m_1 \pmod{p}$$

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## Multi-Prime RSA

- ✧ RSA PKCS#1 v2.0 Amendment 1
- ✧ the modulus  $n$  may have more than two prime factors
- ✧ only private key operations and representations are affected  $(p, q, dp, dq, qInv) (r_i, d_i, t_i)$ 
  - ★  $n = r_1 \cdot r_2 \cdot \dots \cdot r_k, k \geq 2$ , where  $r_1 = p, r_2 = q$
  - ★  $e \cdot d_i \equiv 1 \pmod{r_i - 1}, i = 3, \dots, k$
  - ★  $r_1 \cdot r_2 \cdot \dots \cdot r_{i-1} \cdot t_i \equiv 1 \pmod{r_i} i = 3, \dots, k$
- ✧ Decryption:
  1.  $m_1 \equiv c^{dp} \pmod{p}$
  2.  $m_2 \equiv c^{dq} \pmod{q}$
  3. if  $k > 2$   $m_i \equiv c^{d_i} \pmod{r_i}, i = 3, \dots, k$
  4.  $h \equiv (m_1 - m_2) qInv \pmod{p}$
  5.  $m = m_2 + q \cdot h$
  6. if  $k > 2$ ,  $R = r_1$ , for  $k = 3$  to  $k$  do
    - a.  $R = R \cdot r_{i-1}$
    - b.  $h \equiv (m_i - m) \cdot t_i \pmod{r_i}$
    - c.  $m = m + R \cdot h$
- ✧ advantages: lower computational cost for the decryption (and signature) primitives if CRT is used (also see 6.8.14)

# Factoring & RSA Timeline



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## Alternative PKC's

- ✧ ElGamal Cryptosystem (Discrete-log based)
  - ★ Also suffers from long keys
- ✧ NTRU (Lattice based)
  - ★ Utilizes short keys
  - ★ Proprietary (License issues prevent from wide implementation)
  - ★ Recently, a weakness found in the signature scheme
- ✧ Elliptic Curve Cryptosystems
  - ★ Emerging public key cryptography standard for constrained devices.
- ✧ Paillier Cryptosystem (High order composite residue based)
- ✧ Goldwasser-Micali Cryptosystem (QR based)
  - ★ very low efficiency



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# Miller-Rabin Primality Test

## ✧ Why does it work?

bottom line of Miller-Rabin test

- ★ if  $n$  is prime,  $a^{n-1} \equiv 1 \pmod{n}$  (Fermat Little theorem)
- ★ therefore, if  $b_k \equiv a^{2^k m} \equiv a^{n-1} \not\equiv 1 \pmod{n}$ ,  $n$  must be composite
- ★ however, there are many composite numbers that satisfy  $a^{n-1} \equiv 1 \pmod{n}$ , Miller-Rabin test can detect many of them
- ★  $b_0, b_1, \dots, b_{k-1} (\equiv a^{(n-1)/2} \pmod{n})$  is a sequence s.t.  $b_{i-1}^2 \equiv b_i \pmod{n}$
- ★ we consider only  $b_{k-1}^2 \equiv a^{n-1} \equiv 1 \pmod{n}$
- ★ if  $b_i \equiv 1$  and  $b_{i-1} \not\equiv \pm 1$ , then  $n$  is composite
- ★ if  $b_i \equiv 1$  and  $b_{i-1} \equiv 1$ , consider  $b_{i-1}$  and then  $b_{i-2} \dots$
- ★ if  $b_0 \equiv 1$ , could be prime, no guarantee
- ★ if  $b_i \equiv 1$  and  $b_{i-1} \equiv -1$  ( $b_{i-2} \not\equiv \pm 1$ ), could be prime, no guarantee

$n$  is pseudo prime

basic factoring principle

there is no chance to apply basic factoring principle

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# Miller-Rabin Primality Test

✧ In summary:

$b_0, b_1, b_2, \dots, b_{i-1}, b_i, \dots, b_k$

there are four cases:

- ✧ Case 1:  $b_k \neq 1$      **$n$  is a composite number**
- ✧ Case 2:  $b_k = 1$ , let  $i$  be the minimal  $i, k \geq i > 0$  such that  $b_i = 1$   
and  $b_{i-1} \neq \pm 1$      **$n$  is a composite number (with  
nontrivial factors calculated)**
- ✧ Case 3:  $b_k = 1$ , let  $i$  be the minimal  $i, k \geq i > 0$  such that  $b_i = 1$   
and  $b_{i-1} = -1$     **a pseudo prime number**
- ✧ Case 4:  $b_k = 1, b_0 = 1$     **a pseudo prime number**

---

4 possible sequences for  $b_0, b_1, b_2, \dots, b_{i-1}, b_i, \dots, b_k$ :

342, 22, 5, 1, 1, 1, 1, ..., 1	composite, factored
45, 5634, 325, 213, -1, 1, ..., 1	possibly prime
1, 1, 1, ..., 1	possibly prime
214, 987, ..., 8931, 321, 134	composite

## M-R Test: Prime Modulus

- ✧ consider  $n$  being a *prime number*  $p$
- ✧  $p-1$  is an even number, therefore, let  $p-1=2^k \cdot m$ ,  $m$  is odd
- ✧ choose one  $a \in_R Z_p^*$ , let  $r$  be the smallest integer s.t.  
 $a^r \equiv 1 \pmod{p}$ , i.e.  $r$  is the order of  $a$  modulo  $p$ ,  $\text{ord}_p(a)$
- ✧ (exercise 3.9)  $a^{p-1} \equiv 1 \pmod{p} \Rightarrow r \mid p-1$
- ✧ because  $r \mid p-1 (= 2^k \cdot m)$ , one of  $\{m, 2 \cdot m, 2^2 \cdot m, \dots, 2^k \cdot m\}$  **might be**  $r$  (probability reduces if  $m$  has many factors)
- ✧ **Case 1: if “ $2^i \cdot m$  (for some  $i > 0$ ) is  $r$ ”,  $a^{2^{i-1} \cdot m}$  must be -1**
  - ★  $r$  is the smallest integer s.t.  $a^r \equiv 1 \Rightarrow$  square root of  $a^r$  must be  $-1$
  - ★  $\{a^m, a^{2 \cdot m}, \dots, a^{2^{i-1} \cdot m}\}$  is  $\{?, ?, -1, 1, \dots, 1\}$
- ✧ **Case 2: if “none of  $2^i \cdot m$  is  $r$ ” or “ $m$  is  $r$ ”,  $a^{2^i \cdot m}$  must all be 1,**
  - ★  $\{a^m, a^{2 \cdot m}, \dots, a^{2^{i-1} \cdot m}\}$  is  $\{1, 1, 1, 1, \dots, 1\}$
  - ★ try some other  $a \in Z_p^*$

⋮

# Miller-Rabin Primality Test

## Why does it work??? an inside view

- ✧  $b_i \equiv 1 \pmod{n}$  and  $b_{i-1} \not\equiv \pm 1 \pmod{n}$  happens when  $b_i \equiv 1 \pmod{p_i}$  for all prime factors  $p_i$  of  $n$  and

$b_{i-1} \equiv 1 \pmod{p_i}$  for some prime factors  $p_i$  but  
 $b_{i-1} \equiv -1 \pmod{q_i}$  for other prime factors  $q_i$

Note: for a prime modulus  $p$ ,  $a^{\text{ord}_p(a)} \equiv 1 \pmod{p}$   
 if  $\text{ord}_p(a)$  is even then  $a^{\text{ord}_p(a)/2} \equiv -1 \pmod{p}$

- ✧ ex.  $n = 561 = 3 \times 11 \times 17$ ,  $560 = 16 \times 35 = 2^4 \times 35$   
 let  $a = 2$

$$b_0 \equiv 263 \pmod{561} \equiv -1 \pmod{3} \equiv -1 \pmod{11} \equiv 8 \pmod{17}$$

$$b_1 \equiv 166 \pmod{561} \equiv 1 \pmod{3} \equiv 1 \pmod{11} \equiv -4 \pmod{17}$$

$$b_2 \equiv 67 \pmod{561} \equiv 1 \pmod{3} \equiv 1 \pmod{11} \equiv -1 \pmod{17}$$

$$b_3 \equiv 1 \pmod{561} \equiv 1 \pmod{3} \equiv 1 \pmod{11} \equiv 1 \pmod{17}$$

i.e. **inconsistent progress w.r.t each prime factor**

# Subset Sum Problem in NP-Complete

## ✧ Subset Sum Problem (SSP)

Given a set  $B$  of positive numbers and a number  $d$

- ★ Search SSP: find a subset  $\{b_j\} \subseteq B$  s.t.  $d = \sum b_j$
- ★ Decision SSP: decide if there exists a subset  $\{b_j\} \subseteq B$  s.t.  $d = \sum b_j$
- ★ Decision SSP is equivalent to Search SSP: (by elimination)

## ✧ Subset Sum Problem is NP-complete

- ★ Cook-Levin Thm: Satisfiability Problem (SAT) is NP-Complete
- ★  $\text{SAT} \leq_M \text{SSP}$ : there exists a poly-time reduction to convert a formula  $\phi$  to an instance  $\langle B, d \rangle$  of SSP problem
  - ✧ If the formula  $\phi$  is satisfiable,  $\langle B, d \rangle \in \text{SSP}$
  - ✧ If  $\langle B, d \rangle \in \text{SSP}$ , formula  $\phi$  is satisfiable

Therefore, SSP is also NP-complete



⋮

## $\text{SAT} \leq_M \text{D-Subset Sum}$

- ✧ Given a formula  $\phi$  with  $k$  clauses  $C_1, C_2, \dots, C_k$  and  $n$  variables
  - ★ For each variable  $x$ , create 2 integers  $n_{xt}$  and  $n_{xf}$
  - ★ For each clause  $C_j$  of length  $\ell_j$ , create  $\ell_j-1$  integers  $m_{j1}, m_{j2}, \dots$
  - ★ Choose  $t$  so that  $T$  must contain exactly one of each ( $n_{xt}$  or  $n_{xf}$ ) pairs and at least one from each clause
- ✧ This construction can be carried out in poly-time
- ✧  $\phi$  is satisfiable iff there exists solution to this SSP

•

# SAT $\leq_M$ D-Subset Sum (cont'd)

Example:  $(x \vee y \vee z) \wedge (\neg x \vee \neg a) \wedge (a \vee b \vee \neg y \vee \neg z)$

	x	y	z	a	b	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>
n <sub>xt</sub>	1	0	0	0	0	1	0	0
n <sub>xf</sub>	1	0	0	0	0	0	1	0
n <sub>yt</sub>		1	0	0	0	1	0	0
n <sub>yf</sub>		1	0	0	0	0	0	1
n <sub>zt</sub>			1	0	0	1	0	0
n <sub>zf</sub>			1	0	0	0	0	1
n <sub>at</sub>				1	0	0	0	1
n <sub>af</sub>				1	0	0	1	0
n <sub>bt</sub>					1	0	0	1
n <sub>bf</sub>					1	0	0	0
m <sub>11</sub>						1	0	0
m <sub>12</sub>						1	0	0
m <sub>21</sub>						0	1	0
m <sub>31</sub>						0	0	1
m <sub>32</sub>						0	0	1
m <sub>33</sub>						0	0	1
t	1	1	1	1	1	3	2	4

Encode all numbers with a base larger than all entries of t e.g. 10