

- Merkel and Hellman, "Hiding Information and Signatures
 - in Trapdoor Knapsacks," IT-24, 1978
 - * a good application of an **NP problem** on designing public key cryptosystem; no longer secure
- Super-increasing sequence:

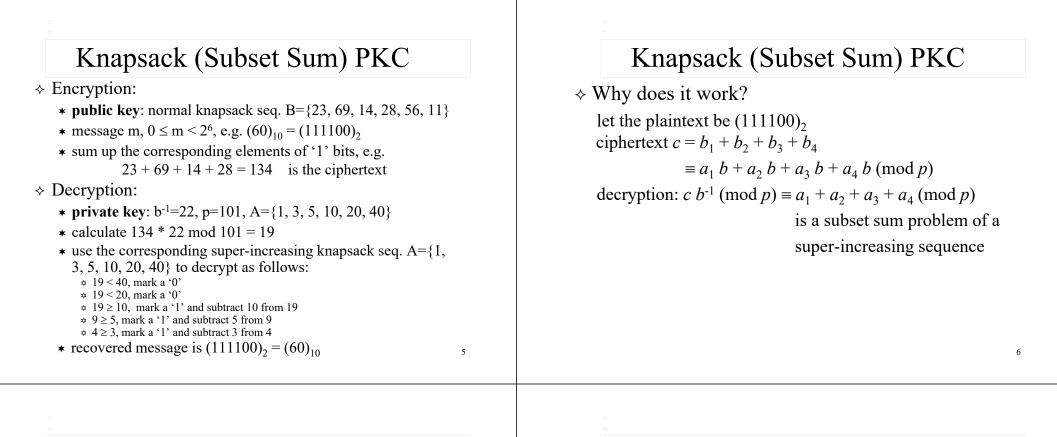
 $\{a_1, a_2, \dots, a_n\}$ such that $a_i > \sum_{i=0}^{i-1} a_i$ e.g. 1, 3, 5, 10, 20, 40

- ♦ Note: 1. Given a number c, finding a subset $\{a_j\}$ s.t. $c = \sum_j a_j$ is an easy problem, e.g. 48 = 40 + 5 + 3
 - 2. Sum of every subset S, $\sum_{i \in S} a_i < 2 \cdot a_M$ where $a_M = \max_{i \in S} \{a_i\}$
 - 3. Every possible subset sum is unique pf: given x, assume $x = \sum_{j \in S} a_j = \sum_{j \in T} a_j$, where $S \neq T$, assume $\max_{j \in S} \{a_j\} \neq \max_{j \in T} \{a_j\} \dots$ 3

Knapsack (Subset Sum) PKC

 \diamond choose a number **b** in \mathbb{Z}_p^* , e.g. p = 101, **b** = 23, and convert the super-increasing sequence to a normal knapsack sequence $B = \{b_1, b_2, \dots, b_n\} \text{ where } b_i \equiv a_i \cdot b \pmod{p}$ e.g. $A = \{1, 3, 5, 10, 20, 40\} \Rightarrow B = \{23, 69, 14, 28, 56, 11\}$ \diamond Since gcd(*b*, *p*)=1, this conversion is **invertible**, i.e. $a_i \equiv b_i \cdot b^{-1} \pmod{p}$ e.g. $b^{-1} \equiv 22 \pmod{101}$ such that $b \cdot b^{-1} \equiv 1 \pmod{p}$ ♦ Given a number d, finding a subset $\{b_i\} \subseteq B$ s.t. $d = \sum_{i} b_{j} \pmod{p}$

is an NP-complete problem, e.g. 94 = 11 + 14 + 69



RSA and Rabin

 two important cryptosystems based on the difficulty of integer factoring (an NP problem) are introduced as follows:

Solving e-th root modulo n is difficult

RSA function

 $v \equiv x^e \pmod{n}$

A Rabin's underlying problem

Solving square root modulo n is difficult

 $y \equiv x^2 \pmod{n}$

Rabin function -

both functions are candidates for trapdoor one way function

 $\mathbf{n} = \mathbf{p} \cdot \mathbf{q}$

RSA and Rabin Function

♦ Solving square root of y modulo n is difficult!!! y = x² (mod n)
Why don't we take (2⁻¹)-th power of y? where 2⁻¹ · 2 ≡ 1 (mod $\phi(n)$) e.g. n = 11 · 13 = 143 $\phi(n) = 10 \cdot 12 = 120$, gcd(2, $\phi(n)$) = 2

Remember solving square root of y modulo a prime number p is very easy

Trouble: $d \cdot 2 \equiv 1 \pmod{\phi(n)}$ has no solution

RSA Public Key Cryptosystem

- R. Rivest, A. Shamir and L. Adleman, "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems," Comm. ACM, pp.120-126, 1978
- ♦ Based on the Integer Factorization problem
- $r \diamond$ Choose two large prime numbers: p, q (keep them secret!!)
- $\Rightarrow \text{ Calculate the modulus } n = p \cdot q \qquad (\text{make it public})$
- $\diamond \text{ Calculate } \Phi(n) = (p-1) \cdot (q-1) \qquad (\text{keep it secret})$
- ♦ Select a random integer such that $e < \Phi$ and $gcd(e, \Phi) = 1$
- ↔ Calculate the unique integer *d* such that *e* · *d* ≡ 1 (mod Φ)
- ♦ Public key: (n, e)
- Private key: d

RSA Encryption & Decryption

- \diamond Alice wants to encrypt a message **m** for Bob
- \diamond Alice obtains Bob's authentic public key (*n*, *e*)
- ♦ Alice represents the message as an integer *m* in the interval [0, *n* -1]
- $\Rightarrow \text{ Alice computes the modular exponentiation} \\ c \equiv m^e \pmod{n}$
- \diamond Alice sends the ciphertext *c* to Bob
- ♦ Bob decrypts c with his private key (n, d) by computing the modular exponentiation $\hat{m} \equiv c^d \pmod{n}$

RSA Encryption & Decryption

- ♦ Why does RSA work? Is this really a problem???
 - * Fact 1: $e \cdot d \equiv 1 \pmod{\Phi} \Rightarrow e \cdot d = 1 + k \Phi$
 - * Fact 2: $\forall m, \gcd(m,n)=1, m^{\Phi} \equiv 1 \pmod{n}$ (by Euler's theorem)
 - * From Fact 2: $\forall m$, gcd(m,n)=1,
 - $c^d \equiv m^{ed} \equiv m^{1+k \Phi} \equiv m^{1+k (p-1)(q-1)} \equiv m \pmod{n}$
- note: 1. This only proves that for all m that are not multiples of p or q can be recovered after RSA encryption and decryption.
 - 2. For those *m* that are multiples of *p* or *q*, the Euler's theorem simply does not hold because $p^{\Phi} \equiv 0 \pmod{p}$ and $p^{\Phi} \equiv 1 \pmod{q}$

which means that $p^{\Phi} \not\equiv 1 \pmod{n}$ from CRT.

RSA Encryption & Decryption

- Why does RSA work?
 - * Fact 1: $e \cdot d \equiv 1 \pmod{\Phi} \Rightarrow e \cdot d = 1 + k \Phi$
 - * Fact 2: $\forall m, \gcd(m,p)=1, m^{p-1} \equiv 1 \pmod{p}$ (by Fermat's Little theorem)

* From Fact 2: $\forall m$, gcd(m,p)=1note: this equation is m = kp* From Fact 2: $\forall m$, gcd(m,q)=1note: this equation is trivially true when m = kq m = kqm =

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RSA Function is a Permutation

♦ RSA function is a permutation: (1-1 and onto, bijective)
♦ Goal: "∀x₁, x₂ ∈ Z_n if x₁^e ≡ x₂^e (mod n) then x₁ = x₂"
∀x≠r·p, x^{p-1} ≡ 1 (mod p), ∀x≠s·q, x^{q-1} ≡ 1 (mod q)
⇒ ∀k, ∀x≠r·p, x^{kφ(n)} ≡ 1 (mod p), ∀x≠s·q, x^{kφ(n)} ≡ 1 (mod q)
CRT ⇒ ∀k, ∀x, x^{kφ(n)+1} ≡ x (mod p), x^{kφ(n)+1} ≡ x (mod q)
⇒ ∀k, ∀x, x^{kφ(n)+1} ≡ x (mod n)
* gcd(e, φ(n))=1 ⇒ inverse of e (mod φ(n)) exists ⇒ let d be the inverse s.t. e·d ≡ 1 (mod φ(n))
* ∀x₁, x₂ ∈ Z_n if x₁^e ≡ x₂^e (mod n)
Note: Euler Thm is valid only when x ∈ Z_n*
⇒ (x₁)^{1+k φ(n)} ≡ (x₂)^{1+k φ(n)} (mod n)
⇒ x₁ ≡ x₂ (mod n)

Matlab examples

 \diamond rsatest.m

* maple('p := nextprime(1897345789)') * maple('q := nextprime(278478934897)') * maple('n := p*q'); * maple('x := 101'); * maple('z := nextprime(12345678)') * maple('d := $e\&^{(-1)} \mod ((p-1)*(q-1))')$ * maple('d := $x\&^{(-1)} \mod ((p-1)*(q-1))')$ * maple('y := $x\&^{(-1)} \mod ((p-1)*(q-1))')$ * maple('y := $x\&^{(-1)} \mod ((p-1)*(q-1))')$ * maple('xp := $y\&^{(-1)} \mod (n')$ extended Euclidean algo.

RSA Cryptosystem

- ♦ Most popular PKC in practice
- ♦ Tens of dedicated crypto-processors are specifically designed to perform modular multiplication in a very efficient way.
- Disadvantage: long key length, complex key generation scheme, deterministic encryption
- For acceptable level of security in commercial applications, 1024bit (300 digits) keys are used. For a symmetric key system with comparable security, about 100 bits keys are used.
- In constrained devices such as smart cards, cellular phones and PDAs, it is hard to store, communicate keys or handle operations involving large integers

Rabin Cryptosystem (1/3)

- M.O. Rabin, "Digitalized Signatures and Public-key Functions As Intractable As Factorization", Tech. Rep. LCS/TR212, MIT, 1979
- \diamond Choose two large prime numbers: p, q (keep them secret!!)
- $\Rightarrow Calculate the modulus <math>n = p \cdot q$ (make it public)
- ♦ Public Key n
- \diamond **Private Key** p, q

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Rabin Cryptosystem (2/3)

- Alice want to encrypt a message *m* (with some fixed format) for Bob
- \diamond Alice obtains Bob's authentic public key *n*
- ♦ Alice represents the message as an integer *m* in the interval [0, *n* -1]
- $\Rightarrow \text{ Alice computes the modular square} \\ c \equiv m^2 \pmod{n}$
- \diamond Alice sends the ciphertext *c* to Bob
- \diamond Bob decrypts *c* using his private key p and q
- ♦ Bob computes the four square roots ±m₁, ±m₂ using CRT, one of them satisfying the fixed message format is the recovered message

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Rabin Cryptosystem (3/3)

- ♦ The range of the Rabin function is not the whole set of Z_n^* (compare with RSA).
 - The range covers all the quadratic residues. (for a prime modulus, the number of quadratic residues in Z_p^{*} is (p-1)/2; for a composite integer n=p·q, the number of quadratic residues in Z_n^{*} is (p-1)(q-1)/4)
 - * In order to let the Rabin function have inverse, it is necessary to make the Rabin function a permutation, ie. 1-1 and onto. Therefore, the number of elements in the domain of the Rabin function should also be (p-1)(q-1)/4 for $n=p \cdot q$. There are 4 possible numbers with their square equal to y, and we have to make 3 of them illegal.

Number of Quadratic Residues

For a prime modulus p: number of QR_p's in Z_p^{*} is (p-1)/2
pf: find a primitive g, at least {g², g⁴, ... g^{p-1}} are QR_p's assume there are (p+1)/2 QRs, since there are exactly two square roots of a QR modulo p there are p+1 square roots for these (p+1)/2 QRs, i.e. there must be at least two pairs of square roots are the same (pigeon-hole), i.e. two out of these (p+1)/2 QRs are the same, contradiction
For a composite modulus p·q: number of QR_n's in Z_p^{*} is (p-1)(q-1)/4 pf: find a common primitive in Z_p^{*} and Z_q^{*} g, at least {g², g⁴, ..., g^{p-1}..., g^{q-1}..., g^{λ(n)}} are QR_n's, where λ(n) = lcm(p-1,q-1) can be as large as (p-1)(q-1)/2, this set has (p-1)(q-1)/4 distinct elements assume there are (p-1)(q-1)/4+1 QR_n's in Z_n^{*}, since there are four

square roots of a QR modulo $p \cdot q$, these QR_n's have (p-1)(q-1)+4 square roots in total. There must be some repeated elements in

this QR_n, therefore, there are at most (p-1)(q-1)/4 QR_n's in Z_n^{*}

Matlab examples

♦	maple('p:= nextprime(189734535789)' maple('p mod 4')) % 189734535811 = 4 k + 3
♦	maple('q:= nextprime(2784781593489 maple('q mod 4')	7)') % 27847815934931 = 4 k +
<	maple('n:=p*q'); maple('x:=0704111114221417110300	00') % text2int('helloworld')
>	$maple('c:= x \&^{2} \mod n')$	
≻ ≻	maple('c1:= c mod p') maple('r1:= c1&^((p+1)/4) mod p')	% maple('r1&^2 mod p')
	maple('c2:= c mod q') maple('r2:= c2&^((q+1)/4) mod q')	% maple('r2&^2 mod q')
	maple('r2:= $c2\&^{((q+1)/4)} \mod q'$) maple('m1:= chrem([r1, r2], [p, q])')	% maple('r2&^2 mod q') % 3704440302544264662351219
¢	maple('r2:= $c2\&^{((q+1)/4)} \mod q'$) maple('m1:= chrem([r1, r2], [p, q])') maple('m2:= chrem([-r1, r2], [p, q])')	% 3704440302544264662351219 % 70411111422141711030000
<	maple('r2:= $c2\&^{((q+1)/4)} \mod q'$) maple('m1:= chrem([r1, r2], [p, q])')	% 3704440302544264662351219

Security of the RSA Function

♦ Break RSA means 'inverting <u>RSA function</u> without knowing the trapdoor' $\sqrt{v = x}$

 $y \equiv x^e \pmod{n}$

- \diamond Factor the modulus \Rightarrow Break RSA
 - \star If we can factor the modulus, we can break RSA
 - * If we can break RSA, we don't know whether we can factor the modulus...**open problem** (with negative evidences)
- \diamond Factor the modulus \Leftrightarrow Calculate private key d
 - * If we can factor the modulus, we can calculate the private exponent d (the trapdoor information).
 - * If we have the private exponent d, we can factor the modulus. will be illustrated later after factorization

Security of Rabin Function

- Security of Rabin function is equivalent to integer factoring
- ♦ inverting 'y = f(x) = x² (mod n)' without knowing p and q ⇔ factoring n
 - ★ ⇐
 if you can factor n = p · q in polynomial time
 you can solve y ≡ x₁² (mod p) and y ≡ x₂² (mod q) easily
 using CRT you can find x which is f ⁻¹(y)
 - ★ ⇒
 given a quadratic residue y if you can find the four square roots ±x₁ and ±x₂ for y in polynomial time
 you can factor n by trying gcd(x₁-x₂, n) and gcd(x₁+x₂, n)

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Basic Factoring Principle (1/4)

Let n be an integer and suppose there exist integers x and y with x² ≡ y² (mod n), but x ≠ ±y (mod n). Then **0** n is composite,
both gcd(x-y, n) and gcd(x+y, n) are nontrivial factors of n. Proof:

let d = gcd(x-y, n).

Case 1: assume $d = n \Rightarrow x \equiv y \pmod{n}$ contradiction

Case 2: assume d is 1 (the trivial factor)

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x^2 \equiv y^2 \pmod{n} \Longrightarrow x^2 - y^2 = (x - y)(x + y) = k \cdot n
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d=1 means gcd(x-y, n)=1 \Rightarrow
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n \mid x+y \Rightarrow x \equiv -y \pmod{n} contradiction
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Case 1 and 2 implies that 1 \le d \le n
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i.e. d must be a nontrivial factor of n
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Basic Factoring Principle (2/4)

 $\Rightarrow x^2 \equiv y^2 \pmod{n}$

- $pq \mid (x+y)(x-y)$ implies the following 4 possibilities
- 1. pq $|(x+y) i.e. x \equiv -y \pmod{n}$
- 2. $pq \mid (x-y) i.e. x \equiv y \pmod{n}$
- 3. p | (x+y) and q | (x-y) i.e. $x \equiv -y \pmod{p}$ and $x \equiv y \pmod{q}$
- 4. $q \mid (x+y)$ and $p \mid (x-y)$ i.e. $x \equiv -y \pmod{q}$ and $x \equiv y \pmod{p}$
- \star Case 1 and case 2 are useless for factorization
- * Case 3 leads to the factorization of n, i.e. gcd(x+y, n) = p and gcd(x-y, n) = q
- * Case 4 leads to the factorization of n, i.e. gcd(x+y, n) = q and gcd(x-y, n) = p

Basic Factoring Principle (3/4)

- ♦ This principle is used in *almost all factoring algorithms*.
- ♦ Why is it working?
 - * take $n = p \cdot q$ (p and q are prime) for example
 - * $x^2 \equiv y^2 \pmod{p}$ and $x^2 \equiv y^2 \pmod{p}$ and $x^2 \equiv y^2 \pmod{q}$
 - * we know 'x $\equiv \pm y \pmod{p}$ are the only solution to $x^2 \equiv y^2 \pmod{p}$ ' and 'x $\equiv \pm y \pmod{q}$ are the only solution to $x^2 \equiv y^2 \pmod{q}$ '
 - * therefore, from CRT we know $x^2 \equiv y^2 \pmod{n}$ has four solutions,
 - $\Rightarrow x \equiv y \pmod{p} \text{ and } x \equiv y \pmod{q}$
 - $\Rightarrow \qquad x \equiv y \pmod{n}$ $\Rightarrow \qquad x \equiv -y \pmod{n}$
 - $\Rightarrow x \equiv -y \pmod{p} \text{ and } x \equiv -y \pmod{q}$ $\Rightarrow x \equiv y \pmod{p} \text{ and } x \equiv -y \pmod{q}$
- $\Rightarrow \qquad x \equiv z \pmod{n}$ $\Rightarrow \qquad x \equiv -z \pmod{n}$
- $\Rightarrow x \equiv -y \pmod{p} \text{ and } x \equiv y \pmod{q}$
- * as long as we have z (where z \neq ±y), we can factor n into gcd(y-z, n) and gcd(y+z, n)
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n will pass Fermat test

٠.

Basic Factoring Principle (4/4)

- ♦ Ex: Consider the roots of 4 (mod 35), i.e. solving x from $x^2 \equiv 4 \pmod{35}$
 - * try to take square root of both sides,

we find $x = \pm 2$ or ± 12

- * i.e. $12^2 \equiv 2^2 \pmod{35}$, but $12 \neq \pm 2 \pmod{35}$
- * therefore 35 is composite
- * gcd(12-2, 35) = 5 is a nontrivial factor of 35
- * gcd(12+2, 35) = 7 is a nontrivial factor of 35

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Miller-Rabin Test

Is *n* a composite number?

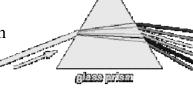
- ♦ Let n > 1 be odd, write $n-1 = 2^k \cdot m$ with *m* being odd
- ♦ Choose a random integer *a* with 1 < a < n-1
- ♦ Compute b₀ ≡ $a^m \pmod{n}$ if b₀ ≡ ±1 (mod n), stop, n is probably prime
 n is called pseudo prime with respect to base a
- ♦ Compute b₁ ≡ b₀² (mod n) if b₁ ≡ 1 (mod n), stop, gcd(b₀-1, n) is a factor of n if b₁ ≡ -1 (mod n), stop, n is probably prime
- $\Rightarrow \text{ Compute } \mathbf{b}_2 \equiv \mathbf{b}_1^2 \pmod{n}$
- ♦ Compute b_{k-1} ≡ b_{k-2}² (mod n) if b_{k-1} ≡ 1 (mod n), stop, gcd(b_{k-2}-1, n) is a factor of n if b_{k-1} ≡ -1 (mod n), stop, n is probably prime
 ♦ Compute b_k ≡ b_{k-1}² (mod n)
 - if $b_k \equiv 1 \pmod{n}$ otherwise *n* is composite (Fermat Little Thm, $b_k \equiv a^{n-1} \pmod{n}$)

Miller-Rabin Test Illustrated

- $n-1 = 2^k \cdot m$ **③ ①** and **②** are not true, $b_0 \equiv a^m \pmod{n}$ $b_i \equiv -1 \pmod{n}, i=1,2,...k$ $b_1 \equiv a^{2 \cdot m} \pmod{n}$ all subsequent $b_i \equiv 1 \pmod{n}$, there is no chance to use $b_k \equiv a^{2k \cdot m} \equiv a^{n-1} \pmod{n}$ Basic Factoring Principle, abort Consider 4 possible cases: (0, 0, 2), and (3) are not true, $b_{\nu} \equiv a^{n-1} \pmod{n}$ all $b_i \equiv 1 \pmod{n}$, i=1,2,...kif $b_{\mu} \neq 1 \pmod{n}$ n is **composite** there is no chance to use since if n is prime, $b_k \equiv 1 \pmod{n}$ Basic Factoring Principle, abort $b_{\rm k} \equiv 1 \pmod{n}$ is covered by 2O O is not true. $b_{i-1} \neq \pm 1 \pmod{n}$ and $b_i \equiv 1 \pmod{n}, i=1,2,...k$
 - Basic Factoring Principle applied, composite

Uncoordinated Behaviors

- Speed of light changes as it moves from one medium to another,
 - e.g., refraction caused by a prism



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- ◆趣味競賽:兩人三腳,同心協力,...
- Squaring a number modulo a composite number (product of different prime numbers)

	22	2 ³	24	25	26	27	28
mod 11	4	8	5	10	9	7	3
mod 13	4	8	3	6	12	11	9

Miller-Rabin Test Example A Carmichael number: pass the Fermat test for all bases \Rightarrow e.g. n = 561 $n-1 = 560 = 16 \cdot 35 = 2^4 \cdot 35$ mod 3 11 17 let a = 210 8 $b_0 \equiv 2^{35} \equiv 263 \pmod{561}$ 13 $b_1 \equiv b_0^2 \equiv 2^{2 \cdot 35} \equiv 166 \pmod{561}$ 16 $b_2 \equiv b_1^2 \equiv 2^{2^2 \cdot 35} \equiv 67 \pmod{561}$ $b_3 \equiv b_2^2 \equiv 2^{2^3 \cdot 35} \equiv 1 \pmod{561}$ 561 is composite $(3 \cdot 11 \cdot 17)$, $ord_{17}(2)=2$ $gcd(b_2-1, 561) = 33$ is a factor Note: 3-1=2, 11-1=2.5, 17-1=2⁴ $\phi(561) = 561(1-1/3)(1-1/11)(1-1/17)=2 \cdot 10 \cdot 16$ $gcd(\phi(561), n-1)=80$, $ord_{561}(2) \mid 80$ in this case 31

When/How does Basic Factoring Principle work in M-R test?

♦ When:

* explicitly: $b_{i-1} \neq \pm 1 \pmod{n}$ and $b_i \equiv b_{i-1}^2 \equiv 1 \pmod{n}$ If n is not prime, sometimes $b_k \equiv a^{n-1} \pmod{n}$ but often $b_k \equiv a^{r\phi(n)} \pmod{n}$ as in universal exponent factoring \Rightarrow How: * implicitly: let p | n and q | n (p, q be two factors of n)

 $b_{i-1}^2 \equiv 1 \pmod{p}$ and $b_{i-1}^2 \equiv 1 \pmod{q}$

but either $b_{i-1} \not\equiv 1 \pmod{p}$ or $b_{i-1} \not\equiv 1 \pmod{q}$

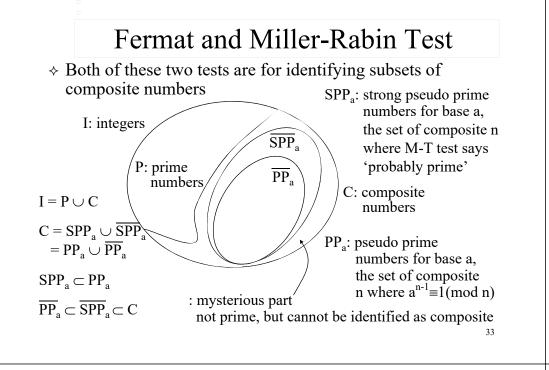
catching the moment that b₀, b₁, ... behave differently while taking square in (mod p) component and (mod q) component

Pseudo Prime and Strong Pseudo Prime

♦ If *n* is not a prime but satisfies $a^{n-1} \equiv 1 \pmod{n}$ we say that *n* is a pseudo prime number for base *a*.

* e.g. $2^{560} \equiv 1 \pmod{561}$

- If *n* is not a prime but passes the Miller-Rabin test with base *a* (without being identified as a composite), we say that *n* is a strong pseudo prime number for base *a*.
- Up to 10¹⁰, there are 455052511 primes, there are 14884 pseudo prime numbers for the base 2, and 3291 strong pseudo prime numbers for the base 2



Composite Witness

- ♦ Note that the M-R test and probably together with the Lucas test leave the strong pseudo prime number an extremely small set.
- ♦ In other words, these tests are very close to a *real 'primality test'* separating prime numbers and composite numbers.
- ♦ If you have an RSA modulus n=p·q, you certainly can test it and find out that it is actually a composite number.
- However, these tests do not necessarily give you the factors of n in order to tell you that n is a composite number. The factors of n, i.e. p or q, are certainly a kind of witness about the fact that n is composite.
- ↔ However, there are other kind of witness that n is composite, e.g., "2ⁿ⁻¹ (mod n) does not equal to 1" is also a witness that n is composite.
- ♦ A composite number will be factored out by the M-R test only if it is a pseudo prime but it is not a strong pseudo prime number.

Matlab Example

- primetest(n)
 - * Miller-Rabin test for 30 randomly chosen base *a*
 - * output 0 if n is composite
 - * output 1 if n is prime
 - * Matlab program can not be used for large n
 - * use Maple isprime(n), one strong pseudo-primality test and one Lucas test

ans=0

ans = 11 233

Questions

- What is the probability that Miller-Rabin test fails???
 - * If n is a prime number, it will not be recognized as a composite number
 - * If $n = p \cdot q$, but $b_k \equiv a^{n-1} \equiv 1 \pmod{n}$ meets Fermat test (pseudo prime number) $0 \le i \le k \ b_i \equiv 1 \pmod{n}$ and $b_{i-1} \equiv -1 \pmod{n}$

meets Miller-Rabin test (strong pseudo prime number)

or
$$b_i \equiv 1 \pmod{p} \equiv 1 \pmod{p} \equiv 1 \pmod{q}$$

 $b_{i-1} \equiv -1 \pmod{p} \equiv -1 \pmod{p} \equiv -1 \pmod{q}$

* Note: $a^{pq-1} \equiv 1 \pmod{n}$ $a^{(p-1)(q-1)} \equiv 1 \pmod{n}$ $a^{lcm(p-1, q-1)} \equiv 1 \pmod{n}$

Note on Primality Testing

- Primality testing is *different* from factoring
 - * Kind of interesting that we can tell something is composite without being able to actually factor it
- Recent result (2002) from IIT trio (Agrawal, Kayal, and Saxena)
 - Recently it was shown that deterministic primality testing could be done in polynomial time
 - \Rightarrow Complexity was like O(n¹²), though it's been slightly reduced since then
 - * Does this meant that RSA was broken?
- Randomized algorithms like Rabin-Miller are far more efficient than the IIT algorithm, so we'll keep using those

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Finding a Random Prime

- ♦ Find a prime of around 100 digits for cryptographic usage
- ↔ Prime number theorem (π(x) ≈ x/ln(x)) asserts that the density of primes around x is approximately 1/ln(x)
- $x = 10^{100}, 1/\ln(10^{100}) = 1/230$

if we skip even numbers, the density is about 1/115

- pick a random starting point, throw out multiples of 2, 3, 5, 7, and use Miller-Rabin test to eliminate most of the composites.
- * maple('a:=nextprime(189734535789)')

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Factoring

 $\Leftrightarrow \mbox{General number field sieve (GNFS): fastest} (1.923+O(1))(\ln(n))^{1/3} (\ln(\ln(n)))^{2/3}$

- Quadratic sieve (QS)
- Elliptic curve method (ECM), Lenstra (1985)
- Pollard's Monte Carlo algorithm
- Continued fraction algorithm
- Trial division, Fermat factorization
- Pollard's p-1 factoring (1974), Williams's p+1 factoring (1982)
- Universal exponent factorization, exponent factorization

Simple Factoring Methods

- ♦ Trial division:
 - * dividing an integer n by all primes $p \le \sqrt{n}$... too slow
- ♦ Fermat factorization:
 - * e.g. n = 295927 calculate $n+1^2$, $n+2^2$, $n+3^2$... until finding a square, i.e. $x^2 = n + y^2$, therefore, n = (x+y) (x-y) ... if $n = p \cdot q$, it takes on average |p-q|/2 steps ... too slow

assume p > q, $n+y^2 = p \cdot q + ((p-q)/2)^2 = (p^2 + 2pq + q^2)/4 = ((p+q)/2)^2$

- * in RSA or Rabin, avoid p, q with the same bit length
- By-product of Miller-Rabin primality test:
 - * if n is a pseudoprime and not a strong pseudoprime, Miller-Rabin test can factor it. about 10⁻⁶ chance

Universal Exponent Factorization

- * if we have an exponent r, s.t. $a^r \equiv 1 \pmod{n}$ for all $a \gcd(a,n)=1$
- * write $r = 2^k \cdot m$ with m odd \leftarrow
- * choose a random *a*, $1 \le a \le n-1 \le \dots \le n-1$
- * if $gcd(a, n) \neq 1$, we have a factor
- * else

 $a=\pm 1$ do not work

r must be even since we can take $a = -1 \ (-1)^r \equiv 1 \ (\mod n)$

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requires *r* being even

- \Rightarrow let $b_0 \equiv a^m \pmod{n}$, if $b_0 \equiv \pm 1$ stop, choose another a
- \Rightarrow compute $b_{u+1} \equiv b_u^2 \pmod{n}$ for $0 \le u \le k-1$,
- \Rightarrow if $b_{u+1} \equiv -1$, stop, choose another a
- * if $b_{u+1} \equiv 1$ then gcd(b_u -1, n) is a factor (basic factoring principle)
- * Question: How do we find a universal exponent *r* ??? Hard
- * Note: if know $\phi(n)$, then any $r = k \phi(n)$ will do, however, knowing factors of n is a prerequisite of know $\phi(n)$
- * Note: For RSA, if the private exponent d is recovered, then $\phi(n) \mid d \cdot e - l, d \cdot e - l$ is a universal exponent

Universal Exponent Factorization

♦ E.g.

n=211463707796206571; e=9007; d=116402471153538991 r=e*d-1=1048437057679925691936; powermod(2,r,n)=1 let r=2⁵*r1; r1=32763658052497677873 $powermod(2,r1,n)=187568564780117371\neq\pm1$ powermod(2,2*r1,n)=113493629663725812≠±1 $powermod(2,4*r1,n)=1 \implies gcd(2*r1-1,n)=885320963$ is a factor \Rightarrow Note: n = 211463707796206571 = 238855417 \cdot 885320963 $238855417 - 1 = 2^3 \cdot 3 \cdot 73 \cdot 136333 = 2^{k_1} \cdot p_1$ $885320963 - 1 = 2 \cdot 2069 \cdot 213949 = 2^{k_2} \cdot q_1$ This method works only when k_1 does not equal k_2 . \diamond Exponent factorization even if r is valid for one a, you can still

try the above procedure 42

p-1 factoring (1/2)

- \diamond If one of the prime factors of *n* has a special property, it is sometimes easier to factor *n*.
 - * e.g. if *p-1* has only small prime factors
 - * Pollard 1974
- ♦ Algorithm
 - * Choose an integer a > 1 (often a = 2 is used) have a chance of being larger
 - * Choose a bound $B \leftarrow /$
 - than all the prime factors of p-1 * Compute $b \equiv a^{B!}$ as follows:
 - $a b_i \equiv a \pmod{n}$ and $b_i \equiv b_{i-1} \pmod{n}$ then $b \equiv b_k \pmod{n}$
 - * Let $d = \gcd(b-1, n)$, if $1 \le d \le n$, we have found a factor of n If *B* is larger than all the prime factors of $p-1 \xrightarrow{(\text{very likely})} p-1|B!$ therefore $b \equiv a^{B!} \equiv (a^{p-1})^k \equiv I \pmod{p}$, i.e. p|b-1 Fermat Little _Fermat Little's Thm

If $n=p \cdot q$, p-1 and q-1 both have small factors that are less than B, then gcd(b-1,n)=n, (useless) however, $b \equiv a^{B!} \equiv l \pmod{n}$ and we can use the Universal exponent method 43

p-1 factoring (2/2)

- \diamond How do we choose B?
 - * small B will be faster but fails often
 - * large B will be very slow
- ♦ In RSA, Rabin, Paillier, or other systems based on integer factoring, usually $n=p \cdot q$, we should ensure that p-1 has at least one large prime factor.
 - * How do we do this?
 - e.g. we want to choose p around 100 digits
 - > choose a prime number p_0 around 40 digits
 - > look at integer k p_0+1 with k around 60 digits and do primality test
- \diamond Generalization:

Elliptic curve factorization method, Lenstra, 1985

♦ Best records: p-1: 34 digits (113 bits), ECM: 47 digits (143 bits)

Quadratic Sieve (1/4)Quadratic Sieve (2/4) $x^2 \equiv$ product of small primes \diamond Example: factor n = 3837523♦ Ouadratic? ♦ How do we construct these useful relations systematically? * form the following relations individual factors are small $9398^2 \equiv 5^5 \cdot 19 \pmod{3837523}$ ♦ Properties of these relations: $19095^2 \equiv 2^2 \cdot 5 \cdot 11 \cdot 13 \cdot 19 \pmod{3837523}$ * product of small primes called factor base $1964^2 \equiv 3^2 \cdot 13^3 \pmod{3837523}$ * make all prime factors appear even times make the number \diamond Put these relations in a matrix $17078^2 \equiv 2^6 \cdot 3^2 \cdot 11 \pmod{3837523}$ of each factors even * multiply the above relations 19 add 2 3 5 7 11 13 17 $(9398 \cdot 19095 \cdot 1964 \cdot 17078)^2 \equiv (2^4 \cdot 3^2 \cdot 5^3 \cdot 11 \cdot 13^2 \cdot 19)^2$ 5 0 0 9398 2 0 1 0 1 0 $2230387^2 \equiv 2586705^2$ hope they are not equal 19095 0 2 0 0 0 0 0 1964 * since $2230387 \neq \pm 2586705 \pmod{3837523}$ 6 2 0 0 1 0 0 0 17078 * gcd(2230387-2586705, 3837523) = 1093 is one factor of n Pick rows where sums 1 0 0 0 0 0 8077 of each column are even * the other factor is 3837523/1093 = 35115 0 1 0 0 0 0 3397 14262 0 0 2 2 0 0 0 45 46

Quadratic Sieve (3/4)

- Look for linear dependencies mod 2 among the rows
 - * 1st + 5th + 6th = (6, 0, 6, 0, 0, 2, 0, 2) = **0** (mod 2)
 - * 1st + 2nd + 3rd + 4th = (8, 4, 6, 0, 2, 4, 0, 2) = 0 (mod 2)
 - * $3rd + 7th = (0, 2, 2, 2, 0, 4, 0, 0) \equiv 0 \pmod{2}$
- When we have such a dependency, the product of the numbers yields a square.
 - * $(9398 \cdot 8077 \cdot 3397)^2 \equiv 2^6 \cdot 5^6 \cdot 13^2 \cdot 19^2 \equiv (2^3 \cdot 5^3 \cdot 13 \cdot 19)^2$
 - * $(9398 \cdot 19095 \cdot 1964 \cdot 17078)^2 \equiv (2^3 \cdot 3^2 \cdot 5^3 \cdot 11 \cdot 13^2 \cdot 19)^2$
 - * $(1964 \cdot 14262)^2 \equiv (3 \cdot 5 \cdot 7 \cdot 13^2)^2$
- $\ \ \, \diamond \ \ \, Looking \ for \ \ those \ \ x^2 \equiv y^2 \ \ but \ \ x \neq \pm y$

Quadratic Sieve (4/4)

- \diamond How do we find numbers x s.t.
 - $x^{2} \equiv \text{product of small primes?}$ * produce squares that are slightly larger than a multiple of n e.g. $\left\lfloor \sqrt{i \cdot n} + j \right\rfloor$ for small j the square is approximately $i \cdot n + 2j\sqrt{i \cdot n} + j^{2}$ which is approximately $2j\sqrt{i \cdot n} + j^{2} \pmod{n}$ $8077 = \left\lfloor \sqrt{17n} + 1 \right\rfloor$ Probably because this number is small, the factors of it should not be too large. However, there are a lot of exceptions. So it takes time. Also, there are a lot of other methods to generate

qualified x values.

The RSA Challenge

- ♦ 1977 Rivest, Shamir, Adleman US\$100
 - * given RSA modulus n, public exponent e, ciphertext c
 - $$\label{eq:n} \begin{split} n &= 114381625757888867669235779976146612010218296721242362 \\ & 562561842935706935245733897830597123563958705058989075 \\ & 147599290026879543541 \end{split}$$
 - e = 9007
 - $\label{eq:c} \begin{aligned} & c = 968696137546220614771409222543558829057599911245743198\\ & 746951209308162982251457083569314766228839896280133919\\ & 90551829945157815154 \end{aligned}$
 - * Find the plaintext message
- ♦ 1994 Atkins, Lenstra, and Leyland
 - * use 524339 small primes (less than 16333610)
 - * plus up to two large primes $(16333610 \sim 2^{30})$
 - * 1600 computers, 600 people, 7 months
 - * found 569466 'x²≡small products' equations, out of which only 205 linear dependencies were found

Security of the RSA Function

- ♦ **Break RSA** means 'inverting <u>RSA</u> function without knowing the trapdoor' $< \sqrt{1 - r^2}$
 - $y \equiv x^e \pmod{n}$

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- \diamond Factor the modulus \Rightarrow Break RSA
 - \star If we can factor the modulus, we can break RSA
 - * If we can break RSA, we don't know whether we can factor the modulus...**open problem** (with negative evidences)
- \diamond Factor the modulus \Leftrightarrow Calculate private key d
 - * If we can factor the modulus, we can calculate the private exponent d (the trapdoor information).
 - * If we have the private exponent d, we can factor the modulus.

Factorization Records

Year	Number of digits				
1964	20				
1974	45				
1984	71				
1994	129	(429 bits)			
1999	155	(515 bits)			
2003	174	(576 bits)			

Next challenge

RSA-640

31074182404900437213507500358885679300373460228427 27545720161948823206440518081504556346829671723286 78243791627283803341547107310850191954852900733772 4822783525742386454014691736602477652346609

Factoring reduces to RSA key recovery

- DeLaurentis, "A Further Weakness in the Common Modulus Protocol for the RSA Cryptosystem," Cryptologia, Vol. 8, pp. 253-259, 1984
 - ★ If you have a pair of RSA public-key/private-key, you can factoring n=p·q with a probabilistic algorithm.
 - * An example of the Universal Exponent Factorization method
- \diamond Basic idea: find a number b, 0<b<n s.t.

 $b^2 \equiv 1 \pmod{n}$ and $b \neq \pm 1 \pmod{n}$ i.e. $1 \le b \le n-1$

* Note: There are four roots to the equation b² ≡ 1 (mod n), ±1 are two of them, all satisfy (b+1)(b-1) = k ⋅ n = k ⋅ p ⋅ q, since 0<b-1<b+1<n, we have either (p | b-1 and q | b+1) or (q | b-1 and p | b+1), therefore, one of the factor can be found by gcd(b-1,n) and the other by n/gcd(b-1,n) or gcd(b+1,n)

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Factoring reduces to RSA key recovery

- ♦ Algorithm to find b: $Pr\{success per repetition\} = \frac{1}{2}$ 1. Randomly choose a, $1 \le a \le n-1$, such that gcd(a, n) = 1
 - 2. Find minimal j, $a^{2^{j}h} \equiv 1 \pmod{n}$ (where h satisfies $e \cdot d 1 = 2^{t}h$) 3. $b = a^{2^{j-1}h}$, if $b \neq -1 \pmod{n}$, then gcd(b-1, n) is the result, else repeat 1-3
- ♦ Note: If we randomly choose $b \in Z_n^*$ and find out that $b^2 \equiv 1 \pmod{n}$, the probability that b=1, b=-1, $b=c(\neq\pm 1)$, or $b=-c(\neq\pm 1)$ would be equal; $Pr\{success\}=Pr\{a^{2^{j-1}h}\neq\pm 1\}=1/2$
- ♦ Ex: p=131, q=199, n=p·q=26069, e=7, d=22063 $\phi(n)=(p-1)(q-1)=25740=2^{2*}6435 \mid ed-1=154440=2^{3*}19305,$ choose a=3, try j=1 (3^{2¹19305=1}), b= a^{2^{j-1}h=3¹⁹³⁰⁵=5372 (≠±1)}
 p = gcd(b-1,n) = gcd(5371,26069) = 131, q = n/p = 199

Factoring reduces to RSA key recovery

- The above result also suggests that if you can recover arbitrary RSA key pair, you can solve the problem of factoring n. Whenever you get an n, you can form an RSA system with some e (assuming gcd(e, φ(n))=1), then use your method to solve the private exponent d without knowing p and q, after that you can factor n.
- Although factoring is believed to be hard, and factoring breaks RSA, <u>breaking RSA</u> does not simplify factoring. Trivial non-factoring methods of breaking RSA could therefore exist. (What does it mean by breaking RSA? plaintext recovery? key recovery?...)

Factoring reduces to RSA key recovery

- ♦ The above result says that "if you can recover a pair of RSA keys, you can factoring the corresponding n=p · q" i.e. "once a private key d is compromised, you need to choose a new pair of (n, e) instead of changing e only"
- The above result suggests that a scheme using (n, e₁), (n, e₂), ... (n, e_k) with a common n for each k participants without giving each one the value of p, q is insecure. You should not use the same n as some others even though you are not explicitly told the value of p and q.

Deterministic Encryption

- RSA Cryptosystem is a deterministic encryption scheme,
 i.e. a plaintext message is encrypted to a fixed ciphertext
 message
- Suffers from chosen plaintext attack
 - * an attacker compiles a large codebook which contains the ciphertexts corresponding to all possible plaintext messages
 - in a two-message scheme, the attacker can always distinguish which plaintext was transmitted by observing the ciphertext (does not satisfy the Semantic Security Notation)
- Add randomness through padding

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RSA PKCS #1 v1.5 padding

- - * plaintext message M (at most 128-3-8=117 bytes)
 - * pseudorandom nonzero string PS (at least 8 bytes)
 - * message to be encrypted m = 00||02||PS||00||M
 - * encryption: $c \equiv m^e \pmod{n}$
 - * decryption: $m \equiv c^d \pmod{n}$
- c is now random corresponding to a fixed m, however, this only adds difficulties to the compilation of ciphertexts (a factor of 2⁶⁴ times if PS is 8 bytes)

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P M Seed P M Hash P: encoding parameters, an octet string MGF: mask generation function Hash: selected hash function Hash Padding Operation

DB

Ĥ

maskedDB

MGF

MGF

EM

maskedSeed

dbMask: MGF(seed, emLen-hLen) maskedDB = DB ⊕ dbMask seedMask: MFG(maskedDB, hLen) maskedSeed = seed ⊕ seedMask

||M||-2hLen-1 null bytes

DB=Hash(P)||PS||01||M

Seed: hLen random bytes

PS is length emLen-

EM: encoded message (emLen bytes) EM = maskedSeed||makedDB

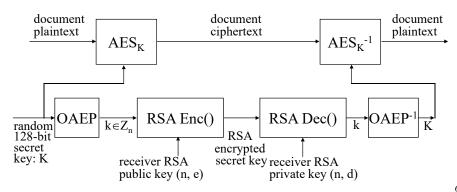
PKCS #1 v2 padding - OAEP

- Optimal Asymmetric Encryption (OAE)
 - * M. Bellare, "Optimal Asymmetric Encryption How to Encrypt with RSA," Eurocrypt'94
- \diamond Optimal Padding in the sense that
 - * RSA-OAEP is semantically secure against adaptive chosen ciphertext attackers in the random oracle model
 - * the message size in a k-bit RSA block is as large as possible (make the most advantage of the bandwidth)
- Following by more efficient padding schemes:
 - * OAEP⁺, SAEP⁺, REACT

Digital Envelop

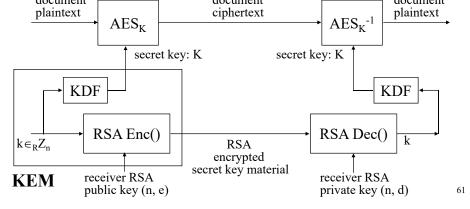
Hybrid system (public key and secret key)

- * RSA is about 1000 times slower than AES
- * smaller exponent is faster (but more dangerous)

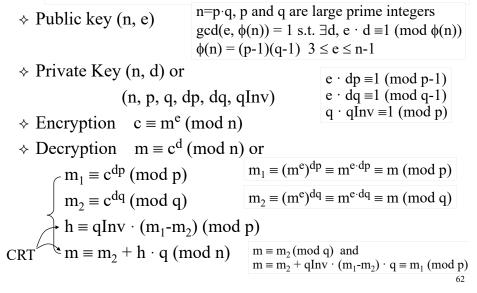


KEM/DEM

- $\begin{array}{l} \diamond \ Key/Data \ Encapsulation \ Mechnism, \ hybrid \ scheme \\ \diamond \ k \overset{OAEP}{\Leftrightarrow} K, \ in \ a \ digital \ envelope \ scheme, \ K \ is \ a \ session \ key, \end{array}$
- might get compromized, forward security, requires OAEP



RSA Fast Decryption with CRT



Multi-Prime RSA

- ♦ RSA PKCS#1 v2.0 Amendment 1
- $\diamond\,$ the modulus n may have more than two prime factors
- only private key operations and representations are
 - affected $(p, q, dp, dq, qInv) (r_i, d_i, t_i)$
 - * $n = r_1 \cdot r_2 \cdot \ldots \cdot r_k$, $k \ge 2$, where $r_1 = p$, $r_2 = q$

*
$$e \cdot d_i \equiv 1 \pmod{r_i - 1}, i = 3, \dots k$$

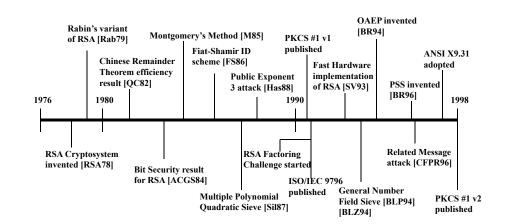
* $r_1 \cdot r_2 \cdot \ldots \cdot r_{i-1} \cdot t_i \equiv 1 \pmod{r_i} i=3,\ldots k$

♦ Decryption:

J 1	5. $m = m_2 + q \cdot h$
1. $m_1 \equiv c^{dp} \pmod{p}$	6. if $k > 2$, $R = r_1$, for $k = 3$ to k do
2. $m_2 \equiv c^{dq} \pmod{q}$	a. $\mathbf{R} = \mathbf{R} \cdot \mathbf{r}_{i-1}$
3. if k>2 $m_i \equiv c^{d_i} \pmod{r_i}, i=3,,k$	b. $h \equiv (m_i - m) \cdot t_i \pmod{r_i}$
4. $h \equiv (m_1 - m_2) \text{ qInv} \pmod{p}$	
$= (\min_{1} - \min_{2}) \operatorname{qmv} (\operatorname{mod} p)$	c. $\mathbf{m} = \mathbf{m} + \mathbf{R} \cdot \mathbf{h}$

 advantages: lower computational cost for the decryption (and signature) primitives if CRT is used (also see 6.8.14)

Factoring & RSA Timeline



Alternative PKC's

- ElGamal Cryptosystem (Discrete-log based)
 - * Also suffers from long keys
- - * Utilizes short keys
 - * Proprietary (License issues prevent from wide implementation)
 - * Recently, a weakness found in the signature scheme
- ♦ Elliptic Curve Cryptosystems
 - * Emerging public key cryptography standard for constrained devices.
- Paillier Cryptosystem (High order composite residue based)
- $\diamond \ Goldwasser-Micali \ Cryptosystem (QR \ based)$
 - \star very low efficiency