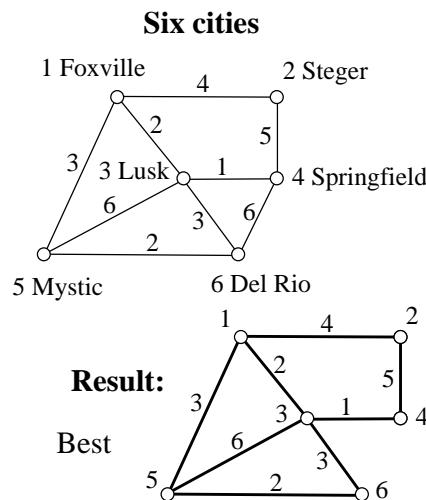


## Minimal Spanning Tree

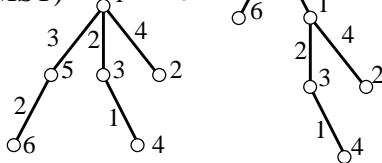
Johnsonbaugh's Algorithms, Section 7.3 (page 284) find Minimal Spanning Tree (MST) with **Prim's algorithm**:



We want to construct a set of interconnecting roads such that one can reach any city from any starting city and the **total construction costs are minimized**.

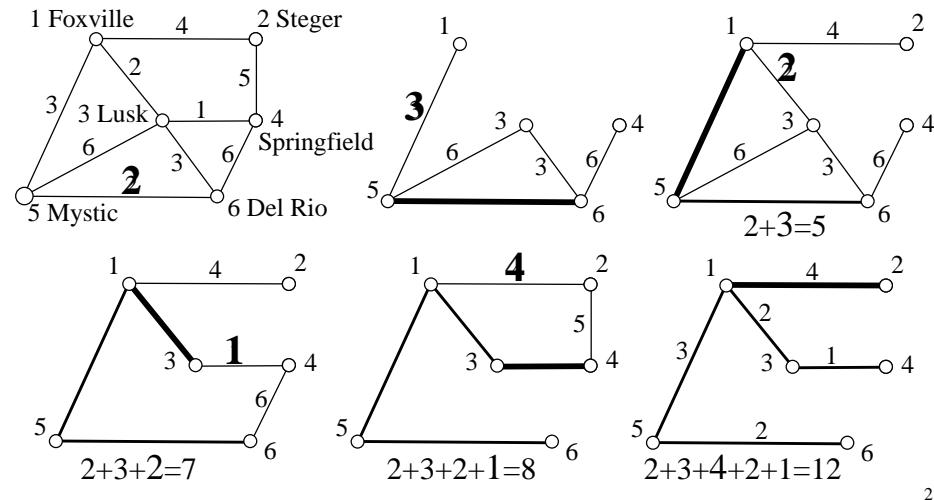
The estimated costs for some pairs of cities are as labeled.

A tree  
(MST)



## Prim's MST (1/7)

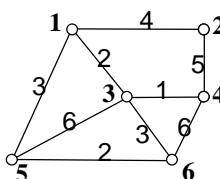
✧ **Prim's algorithm:** starting with vertex 5 (Mystic)



## Prim's MST (2/7)

Adjacency matrix:

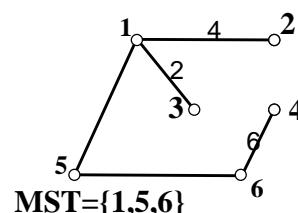
$$\begin{array}{ccccccc} & 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 0 & 4 & 2 & 0 & 3 & 0 \\ 2 & 4 & 0 & 0 & 5 & 0 & 0 \\ 3 & 2 & 0 & 0 & 1 & 6 & 3 \\ 4 & 0 & 5 & 1 & 0 & 0 & 6 \\ 5 & 3 & 0 & 6 & 0 & 0 & 2 \\ 6 & 0 & 0 & 3 & 6 & 2 & 0 \end{array}$$



**h:** a list of vertices  $v$  not in the MST and its minimum weight to MST  
(weight of the edge from  $v$  to the vertex  $\text{parent}[v]$ )

**parent[v]:**  $(v, \text{parent}[v])$  is an edge of the minimal spanning tree

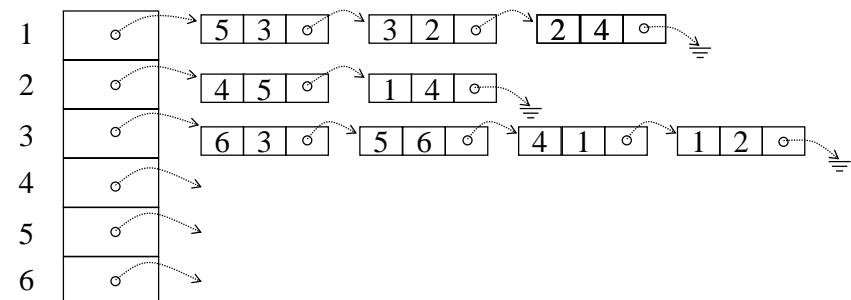
| $v$ | minimum weight from $v$ to MST | $\text{parent}[v]$ |
|-----|--------------------------------|--------------------|
| 2   | 4                              | 1                  |
| 3   | 2                              | 1                  |
| 4   | 6                              | 6                  |



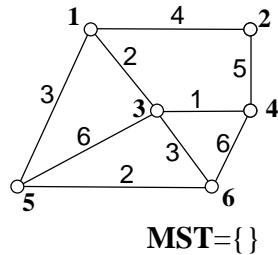
## Prim's MST (3/7)

$$\begin{array}{ccccccc} & 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 0 & 4 & 2 & 0 & 3 & 0 \\ 2 & 4 & 0 & 0 & 5 & 0 & 0 \\ 3 & 2 & 0 & 0 & 1 & 6 & 3 \\ 4 & 0 & 5 & 1 & 0 & 0 & 6 \\ 5 & 3 & 0 & 6 & 0 & 0 & 2 \\ 6 & 0 & 0 & 3 & 6 & 2 & 0 \end{array}$$

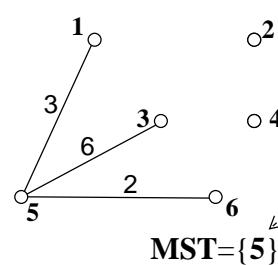
✧ **Adjacency list adj:**



## Prim's MST (4/7)

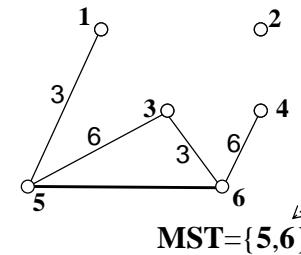


| <i>v</i> | minimum weight from <i>v</i> to MST | <i>parent[v]</i> |
|----------|-------------------------------------|------------------|
| 1        | $\infty$                            | -                |
| 2        | $\infty$                            | -                |
| 3        | $\infty$                            | -                |
| 4        | $\infty$                            | -                |
| 5        | 0                                   | > 0              |
| 6        | $\infty$                            | -                |

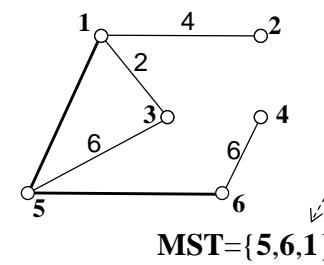


| <i>v</i> | minimum weight from <i>v</i> to MST | <i>parent[v]</i> |
|----------|-------------------------------------|------------------|
| 1        | 2                                   | <del>3</del> 5   |
| 2        | $\infty$                            | -                |
| 3        | $\infty$                            | <del>5</del> 3   |
| 4        | $\infty$                            | -                |
| 5        | $\infty$                            | <del>2</del> 5   |
| 6        | $\infty$                            | -                |

## Prim's MST (5/7)

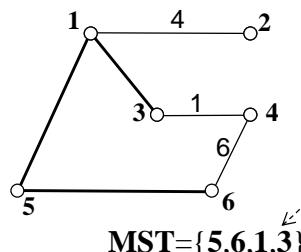


| <i>v</i> | minimum weight from <i>v</i> to MST | <i>parent[v]</i> |
|----------|-------------------------------------|------------------|
| 1        | 3                                   | > 5              |
| 2        | $\infty$                            | -                |
| 3        | <del>3</del> 6                      | <del>5</del> 6   |
| 4        | $\infty$                            | <del>6</del> 6   |
| 5        | 0                                   | 5                |
| 6        | $\infty$                            | -                |

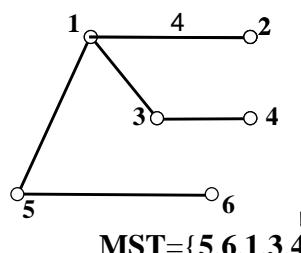


| <i>v</i> | minimum weight from <i>v</i> to MST | <i>parent[v]</i> |
|----------|-------------------------------------|------------------|
| 1        | 2                                   | <del>4</del> 1   |
| 2        | $\infty$                            | -                |
| 3        | $\infty$                            | <del>2</del> 1   |
| 4        | 6                                   | 6                |
| 5        | 1                                   | 0                |
| 6        | 0                                   | 5                |

## Prim's MST (6/7)



| <i>v</i> | minimum weight from <i>v</i> to MST | <i>parent[v]</i> |
|----------|-------------------------------------|------------------|
| 1        | 2                                   | 1                |
| 2        | 4                                   | <del>1</del> 3   |
| 3        | $\infty$                            | -                |
| 4        | $\infty$                            | -                |
| 5        | 0                                   | 5                |
| 6        | 5                                   | 0                |



| <i>v</i> | minimum weight from <i>v</i> to MST | <i>parent[v]</i> |
|----------|-------------------------------------|------------------|
| 1        | 2                                   | 1                |
| 2        | 4                                   | 1                |
| 3        | $\infty$                            | -                |
| 4        | $\infty$                            | -                |
| 5        | 1                                   | 1                |
| 6        | 0                                   | 5                |

## Prim's MST (7/7)

```
prim(adj, start, parent) {
    n = adj.last
    for i = 1 to n
        key[i] =  $\infty$ 
    key[start] = 0
    parent[start] = 0
    h.init(key, n)
    for i = 1 to n {
        v = h.del()
        ref = adj[v]
        v=1
        ref
    }
}
```

**h** is an **abstract data type** that supports the following operations

h.init(key, n): initializes h to the values in key

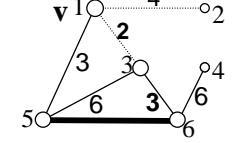
h.del(): deletes the item in h with the smallest weight and returns the vertex

h.isin(w): returns true if vertex w is in h

h.keyval(w): returns the weight corresponding to vertex w

h.decrease(w, new\_weight): changes the weight of w to new\_weight (smaller)

|  |   |                            |                            |
|--|---|----------------------------|----------------------------|
| w=3, w $\notin$ MST<br>ref.weight=2<br>h.keyval(w)=3 | while (ref != null) {<br>w = ref.ver<br>if (h.isin(w) &&<br>ref.weight < h.keyval(w)) {<br>parent[w] = v<br>h.decrease(w, ref.weight)<br>}<br>ref = ref.next<br>} | 1<br>2<br>3<br>4<br>5<br>6 | 1<br>2<br>3<br>4<br>5<br>6 |
|--|---|----------------------------|----------------------------|



## Implementation Hints

1. Write a function to read the file to an adjacency matrix
2. Write a function to convert the matrix to an adjacency list
  - a. Define the list node structure (**vertex**, **weight**, **next**)
  - b. Define a pointer array **adj[]** for list heads
  - c. Write an **insert()** function to insert a node to a specified list
  - d. Write a **freeList()** function free all lists
3. Define the structure of container **h** to store all nodes currently not in MST
  - a. An array **vertices[]** to store nodes
  - b. An array **keys[]** to store the minimal distance of vertices[] to the MST
4. Define the array **parent[]** to store the MST
5. Write a C function for the Prim algorithm of previous page
6. Write an **init()** function to initialize the container **h** from **key[]**
7. Write a **del()** function to find the node with minimal keyvalue in **h** and delete that node/key
8. Write an **isin()** function to test if a node is currently in MST
9. Write a **keyvalue()** function to return the key value of specified node in **h**
10. Write a **decrease()** function to modify the keyvalue fields for all neighboring nodes of the node being deleted from **h**